



UNIVERSITY OF NORTH CAROLINA
CHARLOTTE

**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Wednesday, February 8, 2023, 8:30 – 9:45 a.m.
Popp Martin Student Union, Room, Room ABDE

Open Session

Present

Christine Katziff, Chair
Sasha Weintraub, Vice Chair
Dennis Bunker III
Susan DeVore, *ex officio*

Absent

Sharon Decker

Staff/Guests in Attendance (including via Zoom)

Sharon Gaber, Chancellor
Rich Amon, Vice Chancellor for Business Affairs
Jesse Beaman, Assistant Vice Chancellor for OneIT and Deputy Chief Information Officer
Alicia Bertone, Provost and Vice Chancellor for Academic Affairs
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees
Anne Brown, Associate Vice Chancellor for Finance
Mike Carlin, Vice Chancellor for OneIT and Chief Information Officer
Anna Clark, Executive Assistant to the Chief of Staff
Tina Dadio, University Public Records Officer/Paralegal
Shari Dunn, Senior Executive Assistant to the Chancellor
Steven Dunham, Chief Risk Officer
Amy Hisler, Controller
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Melva Jenkins, Administration Liaison for University Governance
Deidra Harris-Lumpkins, Associate Vice Chancellor for Human Resources and University
Affirmative Action Officer (*successor*)
David Mildenberg, Board of Trustees
Brian Mosley, Technology Analyst
Mark Price, Manager, Desktop Support, OneIT
Michelle Reinken, Director of Civil Rights and Title IX/Title IX Coordinator
Zach Rogers, Financial Audit Manager, Office of the State Auditor
Erica Solosky, Associate General Counsel and Interim Director of Ethics, Policy, & Compliance
Darin Spease, Deputy Athletic Director
Sean Stallings, ITV Classroom Engineer/Content Producer

Gary Stinnett, Associate Vice Chancellor for Human Resources and University Affirmative
Action Officer
Natasha Stracener, AISLE Onsite Zoom Support
Greg Verrett, Director of Treasury Services
Jennifer Walker, Chief Audit Officer
Ben Willis, Chief Information Security Officer
Leslie Zenk, Assistant Provost

Call to Order. Ms. Katziff called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and advised Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. Katziff requested action on the November 29, 2022, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Action. On a motion by Mr. Bunker, seconded by Ms. DeVore, the Committee approved the November 29, 2022, Audit, Compliance, and Enterprise Risk Management Committee minutes.

(Note: This item was presented first due to technical audio difficulties).

National Collegiate Athletics Association (NCAA) Agreed-Upon Procedures. Ms. Hisler and Mr. Spease reported that, as with all National Collegiate Athletics Association (NCAA) member institutions, UNC Charlotte is required to submit financial data detailing operating revenues and expenses related to its intercollegiate athletics program to the NCAA on an annual basis and is subject to “agreed-upon-procedures” performed by an independent accountant. The accountant’s report was returned with no major findings for the University. Mr. Spease also noted that post-COVID, there are no deficits to report for FY 2022.

Report on 2021-2022 External Audits. Mr. Rogers addressed the Committee *via Zoom*. He reported that the Office of the State Auditor completed the FY 2022 financial statement audit for the University and presented an unmodified (clean) opinion with no findings resulting from that audit. Mr. Rogers noted that the state’s audit report was issued on December 10, 2022, and can be found on the State Auditor’s website. Mr. Rogers also noted that there is a statewide audit underway and that will be completed in late-March 2023. Mr. Rogers reported that he did not identify any noncompliance or deficiencies. Mr. Rogers thanked the University team for their continued excellent work and looks forward to another successful year.

Mr. Verrett, provided an update on the annual financial audits of the affiliated entities. Mr. Verrett informed the Committee that the FY 2022 financial statement audits for the Foundation, the Athletic Foundation, and Charlotte Investment Fund, Inc. were completed by external auditors, and that all audits received were unmodified (clean) with no findings.

Enterprise Risk Management (ERM) Update. Mr. Dunham provided the Committee with an updated risk dashboard and an executive summary addressing the University’s key enterprise risks. Mr.

Dunham reported that while some risks are underperforming against operational targets, all are within the University's ability to manage with existing resources. Mr. Dunham also provided a closer look at Employee Retention and Recruitment, addressing the the primary drivers of that risk and highlighting efforts to improve the University's ability to recruit and retain employees. There was also some discussion on the impact of the new funding model. Mr. Dunham noted that ERM will continue to monitor the University's key risks, and will provide a closer look at enrollment risk in the fall.

OneIT Information Security Update. Dr. Carlin provided an update on Cyber Security at UNC Charlotte. He presented a breakdown on the University's technical landscape, current defenses, threat detection comparisons, and some evolving threats, including increased sophisticated attacks using Artificial Intelligence (AI).

Internal Audit Update. Ms. Walker indicated that the Internal Audit staff has completed the Annual Code of Ethics Certification disclosing any potential or perceived conflicts of interest. There was one potential conflict reported, which was that the Senior Internal Auditor had transferred to Internal Audit from Financial Services in April 2022. The staff member was not scheduled to perform any audits of Financial Services for FY 2023 and there were no impairments to Internal Audit's independence. Ms. Walker updated the Committee on the FY 2023 Internal Audit Plan and a summary of internal audit reports issued this period, with no high-risk findings reported. Ms. Walker also reviewed the Internal Audit Findings Exception Report, which had one past-due item that should be remediated by August 1, 2023.

Motion to Convene in Closed Session. Ms. Katziff called for a motion to convene in closed session.

Action. On a motion by Dr. Weintraub, seconded by Mr. Bunker, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidential arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, prospective public officer or employee.*

Ms. Katziff excused all attendees except the Committee members, Chancellor Gaber, Dr. Amon, Dr. Bertone, Ms. Bradley, Ms. Clark, Ms. Dadio, Mr. Dunham, Mr. Humphrey, Mr. Mildenberg (Trustee), Mr. Price (IT/AV Support), Dr. Reinken, Ms. Solosky, Mr. Stallings (IT/AV Support), Ms. Stracener, (IT/AV Support), and Ms. Walker.

Closed Session

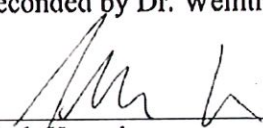
*Closed session minutes are filed in the office of the
Assistant Secretary to the Board of Trustees.*

Open Session

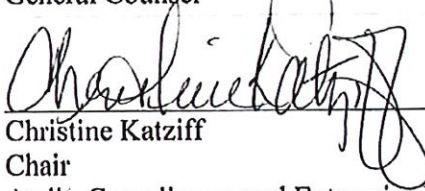
Motion to Adjourn. Ms. Katziff requested a motion to adjourn.

Action. On a motion by Mr. Bunker, seconded by Dr. Weintraub, the meeting was adjourned.

Submitted:

 4/25/23
Date
Jesh Humphrey
Vice Chancellor for Institutional Integrity and
General Counsel

Approved:

 5/1/2023
Date
Christine Katziff
Chair
Audit, Compliance, and Enterprise Risk
Management Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Wednesday, February 8, 2023 9:55–10:40 a.m.
Popp Martin Student Union, Third Floor, Room 340 ABDE

Open Session Minutes

Present

Susan DeVore, Chair
John Bailey
Dennis Bunker III
Christine Katziff
Brett Keeter
Fred Klein Jr.
Tatiana Larson
David Mildenberg
Dontá Wilson
Teross Young Jr.

Staff/Guests in Attendance

Sharon Gaber, Chancellor
Rich Amon, Vice Chancellor for Business Affairs
Alicia Bertone, Provost and Vice Chancellor for Academic Affairs
Brad Bowen, Digital Media Communications – Video Support
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Anna Clark, Executive Assistant to the Chief of Staff
Beth Crigler, Interim Vice Chancellor for University Advancement
Harrison Hieb, Digital Media Communications, Cinematographer/Videographer
Mike Hill, Director of Athletics
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Adrian Jelley, OneIT, Technology Analyst
Brian Mosley, OneIT, Technology Analyst
Marcus Pena, Emerging Media Communications, Remote Technician
Mark Price, OneIT, Desktop Support, Manager
Stephen Snipes, Assistant Director of Technical Services, Student Union and Cone Center
Sean Stallings, Emerging Media Communications, ITV Classroom Engineer/Content Producer
Natasha Stracener, Emerging Media Communications, EMC Manager, In-person Technician
Nikita Williams, Chancellor's Office, Graduate Work-Study Student
Leslie Zenk, Assistant Provost

Call to Order. Ms. DeVore called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Ms. DeVore requested action on the Executive Committee meeting minutes from November 29, 2022, as distributed.

Action. On a motion by Mr. Bunker, seconded by Mr. Young, the Committee unanimously approved the November 29, 2022, meeting minutes.

Chair Updates. Ms. DeVore welcomed Provost Alicia Bertone to her first Executive Committee meeting. Then she advised the committee that Chancellor Gaber is due for her biennial review by the Board of Trustees, per BOG policy guidelines. She explained that the assessment will begin with the Chancellor's self-assessment and includes a system-developed survey that the Executive Committee will complete. Ms. DeVore reported that the committee's written assessment is due to the System Office President by June 30, 2023.

Board Officer Nomination Process. Ms. DeVore reported that she appointed Trustees Young, Grubbs, and Weintraub to serve on the Nominating Committee. She stated that Trustee Young, as chair, will convene the committee in the coming months to prepare for the Board officer elections in July.

Sign-On and Retention Bonus Policy. Dr. Amon provided an overview of the proposed Sign-On and Retention Bonus Policy for the University. He explained that the System has authorized the University to develop a policy to govern using one-time funds for bonuses for different classes of individuals for retention purposes.

Action. On a motion by Mr. Bunker, seconded by Mr. Wilson, the Committee unanimously approved the Sign-On and Retention Bonus Policy.

Motion to Convene in Closed Session. Ms. DeVore requested a motion to convene in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*
- b. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*
- c. *to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2).*

Action. On a motion by Ms, Katziff, seconded by Mr. Bunker, the Committee convened in closed session.

Closed Session

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Open Session

Adjourn. There being no further business to come before the Committee, Ms. DeVore adjourned the meeting.

Submitted: Kim S. Bradley 4/27/23
Kim S. Bradley Date
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved: Susan D. DeVore 4-27-23
Susan D. DeVore Date
Chair
UNC Charlotte Board of Trustees



**The University of North Carolina at Charlotte
Board of Trustees**

Advancement and Public Relations Committee Meeting

Wednesday, February 8, 2023, 10:50 – 11:50 a.m.

Popp Martin Student Union, Room 340 I

Open Session Minutes

Present

Teross Young Jr., Chair
Wendy Grubbs, Vice Chair
John Bailey
Dennis Bunker III
Susan DeVore, ex officio
Brett Keeter
Fred Klein Jr.
David Mildenberg
Dontá Wilson

Staff/Guests in Attendance

Sharon Gaber, Chancellor
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Beth Crigler, Interim Vice Chancellor for University Advancement
Brandon McCladdie, Executive Director and Senior Associate Athletics Director for Development
Jen Ames Stuart, Associate Vice Chancellor of University Communications
Kim Bradley, Chief of Staff to the Chancellor
Laura Sharpe, Senior Director of Special Projects
Penny Hawkins, Interim Associate Vice Chancellor of University Development

Pete Lasher, Senior Vice President and Higher Education Practice Leader, GG+A
Shari Dunn, Senior Executive Assistant to the Chancellor
Solange Tricanowicz, Director of Corporate Engagement
Beth McGuire, AISLE – On-Site Support
Sam Hall, AISLE – On-site Support

Call to Order. Mr. Young reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest in accordance with the State Government Ethics Act, Chapter 138A of the North Carolina General Statutes. He advised committee members to notify him immediately if anyone should become aware of a conflict of interest or any items that may create the appearance of a conflict of interest during the meeting. No conflicts of interest were reported. Mr. Young called the meeting to order.

Approval of Minutes. Mr. Young requested action on the November 29, 2022, Advancement and Public Relations Committee meeting minutes as distributed.

Action. On a motion by Mr. Klein seconded by Mr. Wilson, the Committee unanimously approved the November 29, 2022, meeting minutes.

Communications Update. Ms. Stuart provided an overview of the focus areas of University Communications and campaigns to support this work. Focus areas include advancing the University's reputation; defining and building the University's brand; and engaging our community. Ms. Stuart shared the University ranks fourth by *U.S. News & World Report* for online bachelor's degrees which garnered media attention. Additionally, marketing and communications is supporting the focus areas through campaigns promoting University achievement; key partnerships with community organizations; expanded social media engagement; and impactful storytelling.

Motion to Convene in Closed Session. Mr. Young requested a motion to convene in closed session.

Action. On a motion by Mr. Klein, seconded by Mr. Wilson, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*
- b. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a*

public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);

- c. *establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);*

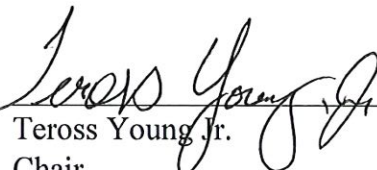
Closed Session

Closed session minutes are filed in the office of the assistance secretary to the Board of Trustees.

Open Session

Adjourn. There being no other business, Mr. Young adjourned the meeting.

Submitted:  4/27/23
Beth Crigler Date
Interim Vice Chancellor for Advancement

Approved:  4/27/23
Teross Young Jr. Date
Chair
Advancement and Public Relations Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Life Committee Meeting
Wednesday, February 8, 2023, 11:20 a.m - 12:20 p.m.
Popp Martin Student Union, Room 340F

Minutes

Present

Brett Keeter, Chair
Sasha Weintraub, Vice Chair
John Bailey
Tatiana Larson
Dennis Bunker

Staff in Attendance

Alicia Bertone, Provost and Vice Chancellor for Academic Affairs
Kim Bradley, Chief of Staff
John Daniels, Interim Vice Chancellor for Research
Mike Hill, Director of Athletics
Shelley L. Johnson, Professor, Department of Criminal Justice and Criminology
Beth Whitaker, Professor of Political Science and Executive Director, Office of Interdisciplinary Studies
Dennis Wiese, Assistant Vice Chancellor, Student Affairs
Nikita Williams, Graduate Student, Organizational Science, Chancellor's Office
Bill Wilson, Director of Veteran Services

Leslie Zenk, Assistant Provost

Call to Order. Mr. Keeter called the meeting to order and reminded members of the Committee of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Committee at any time during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Keeter requested action on the minutes of the November 29, 2022, Academic and Student Life Committee meeting.

Action. On a motion by Mr. Bailey, seconded by Dr. Weintraub, the Committee unanimously approved the November 29, 2022, meeting minutes.

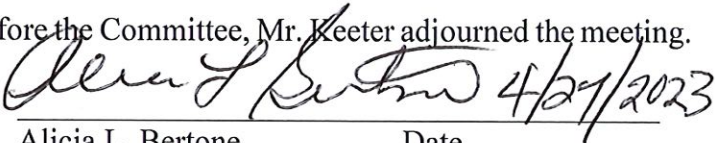
Athletics Update: Mr. Hill presented the traveling trophy from UNC Charlotte's successful win in men's basketball in November over Davidson College for the Battle of the Hornets' Nest.
Introduction of Provost Bertone. Mr. Keeter introduced new Provost Alicia Bertone.

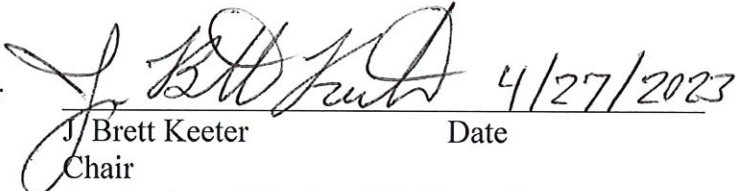
Veteran Services. Mr. Wilson reported that the Veteran Services Office (VSO) provides certification and programmatic support to nearly 1,200 students (up over 30% in the last five years) using Veterans Administration benefits to support their educational endeavors. Mr. Wilson shared that through this office's efforts, over \$25,000,000 annually comes to the University and the Charlotte community. He reported that the VSO continues to adjust and adapt its efforts to increase visibility to the population served by their office, and to increase the campus communities' awareness of this subset of students and their connectedness to the campus and its history.

Interdisciplinary Studies. The Office of Interdisciplinary Studies was established in the College of Liberal Arts & Sciences in 2021 to support existing interdisciplinary programs and centers, develop a new B.A. in Interdisciplinary Studies, and promote interdisciplinary research collaborations. Dr. Whitaker provided an overview of the 2022 pilot of Nexus: Networking Across the Disciplines, a summer program for faculty that led to multiple cross-college research working groups. She also discussed the progress of the new Interdisciplinary Studies major, which launched in Fall 2022 and currently has 27 students across three concentrations.

Research Spotlight: Rethinking revocations: A study to examine the effects of a coaching model on improving outcomes. Dr. Johnson was recently awarded a nearly \$4 million grant from the National Institute of Justice to study an approach that holds promise in reducing recommitments to prison for those who are currently on probation or parole. She reported that the approach studied utilizes a comprehensive model for reorganizing the orientation of probation and parole officers whereby they would assume a coaching role rather than one that is more focused on punitive measures. She explained that if the multisite study finds evidence that the approach works in reducing prison recommitments, the model could be implemented nationwide.

Adjourn. There being no further business before the Committee, Mr. Keeter adjourned the meeting.

Submitted:  4/27/2023
Alicia L. Bertone Date
Provost and Vice Chancellor for Academic Affairs

Approved:  4/27/2023
J. Brett Keeter Date
Chair
Academic and Student Life Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Finance and Physical Properties Committee Meeting

Tuesday, February 8, 2023, 12:00pm – 1:00pm

Popp Martin Student Union, 340 I

Open Session Minutes

Present

Fred Klein, Chair
David Mildenberg, Vice Chair
Dennis Bunker
Christine Katziff

Staff/Guests in Attendance

Rich Amon, Vice Chancellor Business Affairs
Susan DeVore, Chair, Board of Trustees
Sharon L. Gaber, Chancellor
Kim Bradley, Chief of Staff
Anne Brown, Associate Vice Chancellor for Finance
Betty Doster, Special Assistant to the Chancellor for External Relations and Partnerships
Kathryn Horne, Director of Planning, Design and Construction
Douglas Lape, Interim Associate Vice Chancellor for Business Services
Kathryn Horne, Director of Planning, Design Construction
Ken Smith, Associate Vice Chancellor for Budget
Rich Steele, Associate Vice Chancellor for Facilities Management
Greg Verret, Director of Treasury Services
Nelda Tatum, Board and Committee Specialist

Call to Order. Mr. Klein called the meeting to order and reminded committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interests were reported.

Approval of the Minutes. Mr. Klein requested approval of the November 29, 2022, minutes of the

Finance and Physical Properties Committee (FPPC).

Action: On a motion by Mr. Bunker, seconded by Ms. Katziff, the Committee unanimously approved the minutes for the November 29, 2022, FPPC meeting.

Request for Authorization – Multiple Projects.

Auxiliary Services Building Renovation. Mr. Steele stated that this renovation will reconfigure the building spaces to optimize its use, as well as update mechanical, electrical, and telecom systems. He explained that the space will be used by staff, student workers and teleworkers; also included is a conference area that can be used by the campus. Mr. Steele reported the cost per square foot is in line with other similar projects. He added that this project anticipates using demountable prefabricated wall systems so this investment could be relocated if the Master Plan proposes removal of this facility.

Upper Prospector Dining Renovation. Mr. Steele stated that all food concepts will be replaced and the space configuration will be changed to support student events. He reported that the cost per square foot is comparable to the actual costs for the renovation of the Popp Martin Student Union Crown Commons dining hall.

Industrial Solutions Lab Renovation. Mr. Steele reported that this project, on the first floor of the Cameron Applied Research Building, involves renovating the high-bay area to provide project-based space for Lee College of Engineering Industrial Solutions Laboratory. He explained that the lab brings senior and graduate students, faculty and industrial partners together in a collaborative research environment. Mr. Steele reported that the project was initially budgeted for \$300,000 in construction costs, but the low bids exceeded that amount. Thus, the project budget was increased to \$500,000 and must come before the Board for approval.

Motorsports Battery Research Lab Renovation. Mr. Steele stated this project will create a lab to be used for advance battery research. He reported that the project was initially budgeted for \$300,000 in construction costs, but the low bids exceeded that amount. Thus, the project budget was increased to \$500,000 and must come before the Board for approval.

Action: On a motion by Mr. Mildenberg, seconded by Mr. Bunker, the Committee unanimously voted to authorize the University to undertake the following capital improvement projects with stated budgets:

Auxiliary Service Building Renovation	\$3,000,000
Upper Prospector Dining Renovation	\$11,000,000
Industrial Solutions Lab Renovation	\$500,000
Motorsports Battery Research Lab	\$500,000

Request for Designer Ratifications – Multiple Projects

Upper Prospector Renovation – Advance Planning. Mr. Steele reminded the Committee that all food concepts will be replaced in this venue and aging mechanical systems and kitchen equipment will be replaced, and in addition, the ADA and building code deficiencies will be corrected. The

advance planning budget is \$750,000 and the total project budget is \$11,000,000. Mr. Steele reported that the selection committee interviewed three firms on January 13, 2023, and selected bilboa Architecture, PLLC and, Cooper Carry, Inc., and CPL Architects and Engineers, P.C., as first and second alternates, respectively.

Fretwell Building, Reese Building, and Memorial Hall Fire System Upgrades. Mr. Steele stated that the existing fire alarm and suppression systems in Fretwell and Reese Buildings will be upgraded and Memorial Hall will get a new fire sprinkler system. He reported that the total budget of \$1,694,920 was authorized by Legislative Appropriations as part of the 2021-22 State Capital and Infrastructure Fund (SCIF). Mr. Steele reported that the selection committee interviewed three firms on January 23, 2023, and selected RMF Engineers, and DEVITA, Inc. and McKim and Creed, Inc. as first and second alternates, respectively.

Rowe Arts Exterior Envelope Repairs. Mr. Steele reported that this project will replace existing clerestory windows and flashings, seal existing brick, and precast concrete exterior wall panels in Rowe Arts, which was constructed in 1971. The total project cost is \$1,250,000, approved on September 15, 2022. Mr. Steele reported that the selection committee interviewed three design firms for this project on January 30, 2023, and selected REI Engineers, and Terracon Consultants and Wolf Trail Engineering, as first and second alternates, respectively.

Action: On a motion by Ms. Katziff, seconded by Mr. Bunker, the Committee unanimously recommended ratification of the following:

1. The selected designer, biloba Architecture, PLLC, and first and second alternates, Cooper Carry, Inc., and CPL Architects and Engineers, P.C., respectively for the Upper Prospector Dining Renovation – Advance Planning Project.
2. The selected designer, RMF Engineers, and first and second alternates, DEVITA, Inc. and McKim and Creed, Inc., respectively, for the Fretwell Building, Reese Building, and Memorial Hall Fire Alarm System Upgrades Project.
3. The selected designer, REI Engineers, and first and second alternates, Terracon Consultants, and Wolf Trail Engineering, respectively, for the Rowe Arts Exterior Envelope Repairs Project.

Request for Ratification of Construction Manager at Risk (CM@Risk).

Atkins Library Tower ADA and Fire/Smoke System Upgrades. Mr. Steele reported that this project will enlarge two elevators to meet ADA requirements, renovate the existing tower restrooms, and upgrade the smoke and fire systems. He explained that the project is in advance planning but staff believe a CM@Risk is needed due to the complex nature of this work.

Mr. Steele reported that the selection committee interviewed three firms on December 12, 2022, and recommended ratification of the selected designer Barton Malow Builders, and Turner Construction Company and Metcon, Inc., as first and second alternates, respectively, for this project.

Action: On motion by Ms. Katziff, seconded by Mr. Bunker, the Committee unanimously

recommends ratification of the selected designer, Barton Malow Builders, and first and second alternates, Turner Construction Company and Metcon, Inc., respectively.

Master Plan Update. Mr. Steele stated that the master planning initiative is in Phase 1 - Discovery. He reported that the consultants, Page Southerland Page, have been engaged in studying background information and held several initial focus group meetings the week of January 23, and additional meetings are planned for the week of February 13. Mr. Steele further reported that additional sub-consultants will be selected for housing and parking and transportation. The Steering Committee and Working Group will receive an update from Page in early March.

Capital Improvement Projects Update. Mr. Steele reported that the construction industry continues to struggle with supply chain delays, cost escalation, and labor shortages.

- Phase XVI residence hall is on schedule to open in August 2023.
- Cameron Second Floor budget increased to \$26M; construction to begin in June 2023.
- The Security Cameras in parking decks project struggled to get interested bidders within budget and had to be rebid three times.
- Numerous projects faced challenges to remain within budget during design and relied on significant value engineering to keep the project moving forward.
- Over \$100M in projects funded through the 2021-22 SCIF appropriation are in process.

Financial Ratios. Mr. Verret shared a report on financial ratios for the University based on the fiscal year 2022 audited financial results. He noted these ratios are used to evaluate the University's overall financial health and performance over time.

University Budget Update. Mr. Smith provided the operations budget as of December 2022, which shows the university operating budgets compared to the annual and forecasted budget for the general fund, housing, athletics, parking, and dining. Mr. Smith reported that all budgets are in positive standing. A quarterly report will be presented to the committee with the key components highlighted.

Other Business. Mr. Verret shared a brief update on the UNC Charlotte Marriott Hotel & Conference Center.


Adjourn. There being no further business before the Committee, the meeting was adjourned at 1:00 p.m.

Submitted:


Rich Amon
Vice Chancellor for Business Affairs

4/27/23
Date

Approved:


Fred Klein
Chair, Finance and Physical Properties Committee

4/27/23
Date



UNIVERSITY OF NORTH CAROLINA
CHARLOTTE

**The University of North Carolina at Charlotte
Board of Trustees**

Wednesday, February 8, 2023
Popp Martin Student Union, Room 340 ABDE

Open Session Minutes

Present

Susan DeVore
John Bailey
Dennis Bunker III
Sharon Decker (*via Zoom*)
Wendy Grubbs
Christine Katziff
J. Brett Keeter
Fred Klein Jr.
Tatiyana Larson
David Mildenberg
Sasha Weintraub
Dontá Wilson
Teross Young Jr.

Staff/Guests in Attendance

Sharon Gaber, Chancellor
Rich Amon, Vice Chancellor for Business Affairs
Kevin Bailey, Vice Chancellor for Student Affairs
Jeffrey Baker, Chief, UNC Charlotte Police
Alicia Bertone, Provost and Vice Chancellor for Academic Affairs
Brad Bowen, Digital Media Communications – Video Support
Charles Bowen, Executive in Residence
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Anna Clark, Executive Assistant to the Chief of Staff
Beth Crigler, Interim Vice Chancellor for University Advancement
John Daniels, Interim Vice Chancellor for Research
Betty Doster, Special Assistant to the Chancellor for External Relations & Partnerships
Shari Dunn, Senior Executive Assistant to the Chancellor
Susan Harden, Faculty Council President
Kim Harris, Undergraduate Coordinator and Associate Professor of Mathematics Education
Harrison Hieb, Digital Media Communications, Cinematographer/Videographer
Mike Hill, Director of Athletics
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Melva Jenkins, Administrative Liaison for University Governance
Taufiqar Khan, Professor and Chair of Mathematics
Claire Kirby, Associate Provost of Enrollment Management

Deidra Harris-Lumpkins, Associate Vice Chancellor for Human Resources and University Affirmative Action Officer
Brian Mosley, OneIT, Technology Analyst
Marcus Pena, Emerging Media Communications, Remote Technician
Mark Price, OneIT, Desktop Support, Manager
Ken Smith, Chief Budget Officer
Stephen Snipes, Assistant Director of Technical Services, Student Union and Cone Center
Sean Stallings, Emerging Media Communications, ITV Classroom Engineer/Content Producer
Natasha Stracener, Emerging Media Communications, EMC Manager, In-person Technician
Jen Ames Stuart, Associate Vice Chancellor for University Communications
Lisa Walker, Interim Associate Provost for Undergraduate Education and Dean of University College
Evan Wantland, Assistant Teaching Professor, Department of Mathematics and Statistics
Leslie Zenk, Assistant Provost

Call to Order. Ms. DeVore called the meeting to order and reminded members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Previous Meeting Minutes. Ms. DeVore requested action on the minutes of the November 29, 2022, meeting as distributed.

Action. On a motion by Mr. Wilson, seconded by Mr. Bunker, the Board unanimously approved the minutes of the November 29, 2022, meeting as distributed.

Board Chair's Remarks. Ms. DeVore welcomed Trustees and guests, and then shared announcements and upcoming events.

Motion to Convene in Closed Session. Ms. DeVore explained the need for an impromptu motion to convene in closed session for a fundraising/campaign update that was presented in the Advancement and Public Relations Committee meeting.

Action. On a motion by Mr. Bunker, seconded by Mr. Young, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7.*

Closed Session

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

Open Session

Chancellor's Report. Chancellor Gaber welcomed new University leaders Dr. Bertone and Ms. Harris-Lumpkins to their first Board of Trustees meeting. She then reported that the Board of Governors approved our request to extend the Millennial Campus designation at the January Board of Governors meeting.

Next, Chancellor Gaber reported that UNC Charlotte is in the midst of its 10-year reaccreditation process by the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC). She provided an overview of the planning and preparation process, which has been lengthy and thorough. The onsite SACSCOC will take place from March 20 to 23.

Chancellor Gaber then reported that US News and World Report (USNWR) Rankings ranked UNC Charlotte number four on the 2023 Best Online Bachelor's Degrees rankings, marking the second consecutive year we have been ranked in the top 10 nationally. She further shared that UNC Charlotte is ranked first in North Carolina and was the only university in the state to rank in the top 15. Chancellor Gaber stated that the School of Professional Studies has played a significant role in the University's progress with online programs. She then reported that USNWR also recognized three UNC Charlotte master's disciplines in its Best Online Master's Degree rankings: Best Online Master's in Education - #23 (tie); Best Online Master's in Nursing - #37 (tie); and Best Online Master's in Engineering - #62. Next, Chancellor Gaber reported that her recent appointment to the Board of Directors for Kitty Hawk was due to the strength of the University's online education programs and should help ensure optimal strategic alignment with the UNC System.

Chancellor Gaber then discussed the performance funding component of the funding formula, reviewing the five "core metrics" of the model, as well as the ability for each institution to choose a sixth metric from a list of allowable metrics. Chancellor Gaber reported that UNC Charlotte chose as its sixth metric the four-year graduation rate for Hispanic/Latino students, one of the fastest growing communities in the state and the Charlotte region.

Chancellor Gaber next discussed the preliminary results of the Deloitte ROI study, contracted by the UNC System to assess the impact of the state's investments by analyzing and evaluating academic programs offered at each of UNC's 16 constituent institutions. She shared a couple of observations, including the fact that Charlotte receives 10% of the total system appropriation, yet we account for 13% of total system graduates and the state's return on investment in Charlotte is second in the system among Tier 1 and 2 schools.

Lastly, Chancellor Gaber reported that the Teacher Prep Inspection-US (TPI-US) recently reviewed literacy coursework for all UNC institutions with educator preparation programs to determine alignment with the state's Science of Reading, an evidence-based reading program. Chancellor Gaber shared that UNC Charlotte's Cato College of Education is the only UNC educator preparation program rated as "strong" and the Board of Governors had publicly commended the leadership of Dean Malcolm Butler, former interim Dean Theresa Petty, and former dean Ellen McIntyre at the Board of Governors meeting.

Charlotte Strategy. Mr. Bowman presented on his work to assist the University in strengthening our position as Charlotte's research university in the Charlotte region. He shared that he had interviewed internal and external stakeholders with varying knowledge of and perspectives on the University. He noted that while not all external stakeholders are passionate advocates, the feedback received was

positive. Mr. Bowman shared several simple, specific measurable action steps, including embedding University leaders in civic organizations; raising the profile of already successful programs; current and planned speaker series; activating the entire campus community, and holding ideation sessions on campus with top University and Charlotte business leaders. He stated that the tangible metric of success will be that the community looks to UNC Charlotte as its talent provider, researcher, and problem-solver.

Quality Enhancement Plan (QEP). Dr. Wantland provided an overview of the Quality Enhancement Plan, an integral component of the reaffirmation process. The QEP reflects and affirms a commitment to enhance overall institutional quality and effectiveness by focusing on an issue that the institution considers important to improving student learning outcomes and/or student success. UNC Charlotte's QEP, NINERways, is designed to improve upon student success in the quantitative reasoning requirement of the institution's general education program. This will be achieved by designing math courses that successfully transition and prepare students for their major and enhancing the mathematics classroom learning environment, work also known as math pathways. Such efforts should lead to a decrease in annual DFW (students earning D's, F's and withdrawing from courses) rates for all students, a reduction on the variation of DFW rates between sections of the same courses, a reduction of equity gaps in DFW rates between student populations, and an increase in the four-year graduation rate.

Enrollment Update. Ms. Kirby provided an enrollment update reporting that while enrollment for the spring is down compared to the previous spring, the decline is not as significant as Fall 2022's year-over-year decline. She shared that students are taking more credit hours on average when compared to last spring, an indication that the efforts to socialize the "15 to Finish" among students, faculty, and advisors has been impactful. She also reported that faculty have responded to requests to increase course capacity to maximize enrollment. Ms. Kirby emphasized that increasing retention is a key strategy in enrollment growth, and it helps to achieve other strategic goals as well. Lastly, Ms. Kirby discussed the long-range strategic enrollment planning process, which encompasses the entirety of the student lifecycle, from awareness to alumni engagement to identify opportunities to support increased retention goals and improve graduation rates.

Report of the University Faculty Council. Dr. Harden announced that Dr. Xiaoxia Newton will succeed her as faculty council president next year. She then recognized the Charlotte faculty and staff as high performing and stressed the importance of continuing to reward them. Next, Dr. Harden shared the faculty's concern with issues such as inflation, affordable, and the cost of healthcare benefits. She expressed the need to do a better job of telling the story that UNC Charlotte faculty drive the high return on investment to the state. Dr. Harden concluded by reporting that faculty are working on the free expression initiative, with the drafted resolution currently under comment by the committee. She shared plans to provide an update on freedom of expression at the April meeting.

Report of the Student Government Association. Ms. Larson provided the Student Government update highlighting the 5th Annual Hoops and Academics event where the UNC Charlotte men's basketball team worked with middle school students to prepare them for a future in college, and exposed them to different academic areas. She also reported that she attended the UNC Association of Student Governments (ASG) meeting at NC A&T in January. Lastly, she shared plans to encourage upcoming events to get students engaged for the end of the semester.

Report of the University Staff Council. Ms. DeVore reported that the Staff Council Chair was not able to attend the meeting and referred trustees to the report in their board book materials.

Report of the Executive Committee. Ms. DeVore informed the Board she has tasked the Executive Committee with completing Chancellor Gaber's biennial review by June 30 as required by the System Office. She also shared her appointment of Trustee Young as chair of the Nominating Committee, as well as Trustees Weintraub and Grubbs. Ms. DeVore then asked Chancellor Gaber to provide a summary of the new sign-on and retention bonus policy approved by the Committee in the morning meeting.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Ms. Katziff reported that Mr. Zach Rogers, Financial State Audit Supervisor from the Office of the State Auditor addressed the Committee regarding the state's financial statement audit for the University. He confirmed there were no exceptions and commended the University's finance team. She then reported that Ms. Brown, Ms. Hisler and Mr. Verret presented the annual financial audits of the University's affiliated entities, all of which were clean opinions, and that Ms. Hisler and Mr. Spease presented the audit of the NCAA agreed-upon procedures and that the findings were also clean. Ms. Katziff next reported that Mr. Dunham presented an updated risk dashboard and executive summary addressing the University's key enterprise risks. She also reported that the Committee received an update from Dr. Carlin on cyber security, followed by updates on the FY2023 Internal Audit Plan and summary of internal audit reports issued this period, and the Internal Audit Findings Exception Report from Ms. Walker.

Report of the Advancement and Public Relations Committee. Mr. Young reported that the Committee received a marketing and communications update in open session.

Report of the Academic and Student Life Committee. Mr. Keeter reported that the Committee received a presentation from Mr. Bill Wilson, Director of Veteran Services, about the Veteran Services Office. He then reported that Dr. Beth Whitaker, Professor of Political Science and Executive Director, Office of Disciplinary Studies, provided an overview of Interdisciplinary Studies which supports existing interdisciplinary research programs and centers, and promotes interdisciplinary research collaborations. Lastly, Mr. Keeter reported about the research spotlight from Dr. Johnson about the department of criminal justice and criminology's study on a comprehensive model for reorganizing the orientation of probation and parole officers into a coaching role rather than one of a punitive nature, which could be implemented nationwide if found that it reduces prison commitments.

Report of the Finance and Physical Properties Committee. Mr. Klein reported that the Committee approved several requests for authorization of renovations on campus, requests for designer ratifications, and received updates on the campus master plan, capital improvements, the financial ratios, and the University budget.

Requests for Authorization of Capital Projects (Multiple Projects) - Mr. Klein brought forward the following projects for which the Committee recommends full Board approval:

Auxiliary Services Building Renovation Project for \$3,000,000

Upper Prospector Dining Renovation for \$11,000,000

Industrial Solutions Lab Renovation for \$500,000

Motorsports Battery Research Lab Renovation \$500,000

Ms. DeVore requested action on the Committee's recommendation for approval of the requests for authorization of the aforementioned capital projects.

Action. The Board unanimously approved authorization of the capital projects:
Auxiliary Services Building Renovation Project for \$3,000,000

Upper Prospector Dining Renovation for \$11,000,000
Industrial Solutions Lab Renovation for \$500,000
Motorsports Battery Research Lab Renovation for \$500,000

Motion to Convene in Closed Session. Ms. DeVore called for a motion to convene in closed session, and excused everyone except the Trustees, Chancellor Gaber, Ms. Bradley, Ms. Jenkins and Cabinet members.

Action. On a motion by Ms. Katziff, seconded by Mr. Bunker, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);
- c. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2).


Closed Session

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

Open Session

Adjourn. There being no further business to come before the Board, the meeting was adjourned.

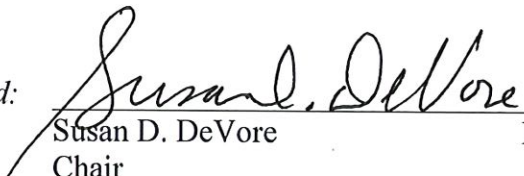
Submitted:

 4/27/23

Date

Kim S. Bradley
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved:

 4-27-23

Date

Susan D. DeVore
Chair
UNC Charlotte Board of Trustees