



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Thursday, February 9, 2017, 8:00-9:30 a.m.

Harris Alumni Center, Board Room

Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Approval of the November 30, 2016, Meeting Minutes 8:00 a.m.
Catherine P. Bessant, Chair
Documents: November 30, 2016, Meeting Minutes—Open Session
November 30, 2016, Meeting Minutes—Closed Session

2. Internal Audit Update 8:00-8:30 a.m.
Raheel Qureshi, Interim Director of Internal Audit
Susan Brooks, Interim Associate Vice Chancellor for Financial Services
Donna Allen, Audit Supervisor, Office of the State Auditor
Cathy Clark, Audit Manager, Office of the State Auditor
Laura Williams, University Controller
Jane Johansen, Director of Treasury Services
Documents: The University of North Carolina at Charlotte Financial Statement Audit Report for the Year Ended June 30, 2016
Annual Financial Audits of Affiliated Entities:
Results of External Audits for FY 2016 (Summary)
The Foundation of the University of North Carolina at Charlotte Consolidated Financial Statements for the Years Ended June 30, 2016 and 2015, and Independent Auditor's Report
The University of North Carolina at Charlotte Athletic Foundation Financial Statements for the Year Ended June 30, 2016 and 2015, and Independent Auditor's Report
The University of North Carolina at Charlotte Facilities Development Corporation, Inc. Financial Statements for the Year Ended June 30, 2016 and 2015, and Independent Auditor's Report

The University of North Carolina at Charlotte Investment Fund, Inc.,
Financial Statements for the Year Ended June 30, 2016 and 2015, and
Independent Auditor's Report
Ventureprise Financial Statements for the Year Ended June 30, 2016
Independent Accountant's Report on Applying Agreed-Upon Procedures
FY 2017 Annual Audit Plan Update
Summary of Internal Audit Reports Issued Since November 2016
UNC Charlotte Internal Audit Active Findings Report
FY 2017 Balanced Scorecard

3. Compliance Update 8:30-8:40 a.m.
Susan Burgess, Director of Compliance

4. Motion to Convene in Closed Session 8:40 a.m.
Catherine P. Bessant, Chair
 - a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
 - b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and
 - c. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S. §143-318.11 (a)(6).

Closed Session

5. Report on Litigation and Risk Management Issues 8:40-8:50 a.m.
Jesh Humphrey, Vice Chancellor and General Counsel

6. Title IX Reports 8:50-9:00 a.m.
Jennifer Newell, Title IX Coordinator

7. Update on Search for Director of Internal Audit 9:00-9:10 a.m.
Beth Hardin, Vice Chancellor for Business Affairs

8. Update on Division of Institutional Integrity 9:10-9:25 a.m.
Philip L. Dubois, Chancellor

9. Motion to Resume in Open Session 9:25 a.m.
Catherine P. Bessant, Chair

Open Session

10. Other Business 9:25 *a.m.*
Catherine P. Bessant, Chair
11. Adjourn 9:30 *a.m.*
Catherine P. Bessant, Chair

Members

Catherine P. Bessant, Chair
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting
Thursday, February 9, 2017, 9:30-10:30 a.m.
Harris Alumni Center, Board Room

Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Joe L. Price, Chair
2. Approval of the November 30, 2016, Meeting Minutes
Joe L. Price, Chair
Documents: November 30, 2016, Executive Committee Meeting Minutes—Open Session
November 30, 2016, Executive Committee Meeting Minutes—Closed Session
3. Revision of John Crosland, Sr., Endowed Chair in Real Estate and Development
Joan Lorden, Provost and Vice Chancellor
Documents: December 13, 2016, Letter from Provost Lorden to Chancellor Dubois
December 6, 2016, Memo from Dean Ott to Provost Lorden
Plan to Establish the John Crosland, Sr., Endowed Chair in Real Estate and Development
4. Revision of Belk Distinguished Professor in Business Analytics
Joan Lorden, Provost and Vice Chancellor
Documents: December 13, 2016, Letter from Provost Lorden to Chancellor Dubois
December 7, 2016, Memo from Dean Ott to Provost Lorden
Belk Distinguished Professor in Business Analytics, Belk College of Business

5. Motion to Convene in Closed Session
Joe L. Price, Chair
 - a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes;*
 - b. *N.C.G.S. §143.318.11(a)(2), to prevent the premature disclosure of an award; and*
 - c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee.*

Closed Session

6. Management Flexibility Report
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: Annual Summary Regarding the UNC Management Flexibility Report
7. Quarterly Report of Faculty Actions
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: Quarterly Report of Faculty Actions
8. Quarterly Report of EPA Non-Faculty Actions
Beth Hardin, Vice Chancellor for Business Affairs
Document: Quarterly Report of EPA Non-Faculty Actions
9. Hotel and Conference Center Update
Niles Sorensen, Vice Chancellor for Advancement
10. Board of Trustees Nominations and Appointments
Philip L. Dubois, Chancellor
Betty Doster, Special Assistant to the Chancellor for Legislative Affairs
11. Update on Vice Chancellor for Student Affairs Search
Kim Bradley, Chief of Staff and Search Committee Chair
12. Succession Planning
Philip L. Dubois, Chancellor
Documents: UNC Policy Manual, Section 200.8
Succession Planning
Leadership Development Opportunities at UNC Charlotte
13. Naming Opportunity
Philip L. Dubois, Chancellor
Document: Naming Opportunity
14. Motion to Resume in Open Session
Joe L. Price, Chair

Open Session

15. Other Business
Joe L. Price, Chair

16. Adjourn
Joe L. Price, Chair

Members

Joe L. Price, Chair
Catherine P. Bessant
Susan D. DeVore
Theresa J. Drew
Dhiaa Jamil
Laura A. Schulte
Michael L. Wilson



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting
Thursday, February 9, 2017, 10:30–11:30 a.m.
Harris Alumni Center, Board Room

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of the November 30, 2016, Meeting Minutes
Laura A. Schulte, Chair
Document: November 30, 2016, Meeting Minutes
2. Honors College Overview
Malin Pereira, Executive Director, Honors College
Document: The Honors College
3. Greek Life (Education)
Art Jackson, Vice Chancellor for Student Affairs
Michelle Guobadia, Associate Dean and Director of Fraternity and Sorority Life
Document: UNC Charlotte Fraternity and Sorority Life
4. Adjourn
Laura A. Schulte, Chair

Members

Laura A. Schulte, Chair
Susan D. DeVore
Mary Ann Rouse
Michael L. Wilson
Karen A. Popp, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting
Thursday, February 9, 2017, 10:30–11:30 a.m.
Harris Alumni Center, Room 208D

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan D. DeVore, Chair
2. Approval of Meeting Minutes
Susan D. DeVore, Chair
Document: November 30, 2016, Meeting Minutes
3. Athletic Foundation Audit
Mike Hummer, Athletic Foundation Executive Director
Documents: Athletic Foundation Financial Audit—Summary
The Athletic Foundation of the University of North Carolina at Charlotte:
Financial Statements for the Years Ended June 30, 2016 and 2015, and
Independent Auditor’s Report
4. NCAA Agreed-Upon Procedures
Darin Spease, Senior Associate Athletic Director
Document: Independent Accountant’s Report on Applying Agreed-Upon Procedures
5. Hazing Training Report
Judy Rose, Director of Athletics
Document: Recap of Annual Touches
6. Title IX Report on Women’s Swimming
Judy Rose, Director of Athletics
7. Athletics Department Update
Judy Rose, Director of Athletics

8. Athletics Committee Annual Report Schedule
Susan D. DeVore, Chair
Document: Athletics Committee Calendar

9. Other Business
Susan D. DeVore, Chair

Members

Susan D. DeVore, Chair
Catherine P. Bessant
Fahn K. Darkor
Karen A. Popp
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting

Thursday, February 9, 2017, 10:30–11:30 a.m.

Harris Alumni Center, Tate Hall

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of Meeting Minutes 10:30-10:32 a.m.
Theresa Drew, Chair
Document: November 30, 2016, Meeting Minutes

2. Request for Preliminary Resolution for Funding Certain 10:32-10:40 a.m.
Capital Projects With Debt
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
Documents: Request for Preliminary Resolution for Funding Certain Capital Projects with
Debt—Scott Hall and Health and Wellness Center
Resolution Approving the Financing of Certain Projects
North Carolina Senate Bill 872

3. Request for Capital Project Authorizations 10:40-10:50 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
Documents: Student Activity Center IT Upgrade
Parking Lots Revitalization

4. Request for Approval of Construction Manager at Risk 10:50-10:55 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
Document: Science Building

5. Request for Increase in Capital Project Authorization 10:55-11:00 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
Document: Capital Infrastructure Development (CID) – Phase II
6. Request Authorization to Acquire by Lease 11:00-11:05 a.m.
Off-Campus Warehouse Storage
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
Document: Warehouse Storage
7. Capital Projects Update 11:05-11:10 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
Document: Capital Projects
8. Report on 2017-23 Six-Year Appropriated and Non-Appropriated Projects 11:10-11:15 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
Document: Report on 2017-23 Six-Year Appropriated and Non-Appropriated Projects

Members

Theresa Drew, Chair
Sharon Decker
Fred Klein
Dhiala Jamil
Joe Price, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Thursday, February 9, 2017, 8:00 a.m.–3:30 p.m.
Harris Alumni Center

Schedule

- 8:00-9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting
Harris Alumni Center, Board Room
- 9:30-10:30 a.m. Executive Committee Meeting
Harris Alumni Center, Board Room
- 10:30-11:30 a.m. Academic and Student Affairs Committee Meeting
Harris Alumni Center, Board Room
- 10:30-11:30 a.m. Athletics Committee Meeting
Harris Alumni Center, Room 208D
- 10:30-11:30 a.m. Facilities and Physical Properties Committee Meeting
Harris Alumni Center, Tate Hall
- 11:45 a.m.-1:00 p.m. Levine Hall Tour
- 1:00-3:30 p.m. Board of Trustees Meeting
Harris Alumni Center, Tate Hall

Board of Trustees Agenda

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1. Call to Order
Joe L. Price, Chair

2. Introductions and Announcements
Joe L. Price, Chair

3. Approval of the November 30, 2016, Meeting Minutes
Joe L. Price, Chair
Documents: November 30, 2016, Meeting Minutes—Open Session
November 30, 2016, Meeting Minutes—Closed Session

4. Remarks by the Chancellor
Philip L. Dubois, Chancellor

5. Law School Update
Philip L. Dubois, Chancellor
Documents: Read Ahead
“Charlotte School of Law Denied Continued Access to Federal Student Aid Dollars.” U.S. Department of Education, December 19, 2016.
North Carolina Law Schools: The Numbers
Law School Graduates: State of First Employment
Law Schools of Employees of Large Charlotte Law Firms (2012 and 2017)
Optional Background
“ABA threatened with 1-year suspension of law school accreditation powers.”
Stephanie F. Ward. American Bar Association, June 24, 2016.
“Legal ed council approves stricter ABA standard for bar passage rates amid diversity concerns.” ABA Journal, October 21, 2016.
“The Stories Behind the Numbers: Jobs for New Grads Over More Than Two Decades.” Leipold, James G., and Judith N. Collins. NALP Bulletin, December 2016.

6. Legislative Update
Betty Doster, Special Assistant for Constituent Relations
Document: Legislative Update

7. Capital Campaign Update
Beth Fischer, Executive Director of University Development
Document: To be distributed

8. Report of the University Faculty Council
Lisa Walker, Faculty President
Document: Faculty Council Report

9. Report of the University Staff Council
Celeste Corpening, Vice Chair
Document: Staff Council Report

10. The Structure of University Policies and Procedures (Education)
Jesh Humphrey, Vice Chancellor and General Counsel
Document: University Policies
11. Institutional Benchmarks
Philip L. Dubois, Chancellor
Steve Coppola, Assistant Provost for Institutional Research
Ted Elling, Associate Vice Chancellor for Student Affairs
Document: Dashboard Indicators
12. Report of the Executive Committee
Joe L. Price, Chair
13. Report of the Academic and Student Affairs Committee
Laura A. Schulte, Chair
14. Report of the Athletics Committee
Susan D. DeVore, Chair
15. Report of the Facilities and Physical Properties Committee
Theresa J. Drew, Chair
Documents: Request for Preliminary Resolution for Funding Certain Capital Projects with
Debt—Scott Hall and Health and Wellness Center
Resolution of the Board of Trustees of the University of North Carolina at
Charlotte Approving Financing Certain Projects
North Carolina Senate Bill 872
Student Activity Center IT Upgrade
Parking Lots Revitalization
Science Building
Capital Infrastructure Development (CID) – Phase II
Warehouse Storage
16. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Catherine P. Bessant, Chair
17. Report of the Student Government Association
Fahn K. Darkor, Student Body President and Trustee
18. Other Business
Joe L. Price, Chair

19. Motion to Convene in Closed Session

Joe L. Price, Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
- c. *N.C.G.S. §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee.*

Closed Session

20. Report of the Audit, Compliance, and Enterprise Risk Management Committee

Catherine P. Bessant, Chair

21. Report of the Executive Committee

Joe L. Price, Chair

22. Update on the Chancellor's Quadrennial Evaluation

Michael L. Wilson, Chair, Quadrennial Evaluation Committee

23. Naming Opportunity

Philip L. Dubois, Chancellor

24. Motion to Resume in Open Session

Joe L. Price, Chair

Open Session

25. Adjourn

Joe L. Price, Chair