



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Friday, February 9, 2017, 8:00–9:30 a.m.
Harris Alumni Center Board Room

Open Session Minutes

Present

Catherine P. Bessant, Chair
Joe L. Price, *ex officio*
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson

Staff /Guests in Attendance

Philip L. Dubois, Chancellor
Donna Allen, Audit Supervisor, Office of the State Auditor (via conference call)
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Susan Brooks, Interim Associate Vice Chancellor for Financial Services
Susan Burgess, Director of Compliance
Cathy Clark, Audit Manager, Office of the State Auditor (via conference call)
Tony Georges, Assistant Controller
Beth Hardin, Vice Chancellor for Business Affairs
Jesh Humphrey, Vice Chancellor and General Counsel
Jane Johansen, Director of Treasury Services
Hank James, Associate Vice Chancellor for Risk Management, Safety, and Security
Jane Neese, Associate Dean for Academic Affairs
Raheel Qureshi, Interim Director of Internal Audit
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor's Cabinet

Call to Order. Ms. Bessant called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Ms. Bessant requested action on the November 30, 2016, Audit, Compliance, and Enterprise Risk Management Committee open and closed session meeting minutes.

Action. On a motion by Mr. Price, seconded by Ms. Schulte, the Committee approved the November 30, 2016, meeting minutes.

Internal Audit Update. Mr. Qureshi introduced Donna Allen and Cathy Clark, Audit Supervisor and Audit Manager for the North Carolina Office of the State Auditor. Ms. Clark stated that the Office of the State Auditor had recently completed the fiscal year 2016 financial statement audit for UNC Charlotte. Ms. Clark reviewed UNC Charlotte's management responsibilities related to the audit, the auditing process, and the auditors' responsibilities. Ms. Clark stated that the Office of the State Auditor reviewed the University's financial statements but did not express an opinion on the effectiveness of the University's financial controls. Ms. Clark reported that the Office of the State Auditor found the University's financial statements to be fair in all respects and that no findings resulted from the audit, and she stated that the Office of the State Auditor has a very cooperative relationship with the University's financial reporting team. Ms. Clark stated that she had sent a letter describing the results of the financial statement audit to Audit, Compliance, and Enterprise Risk Management Committee Chair Cathy Bessant. Ms. Bessant thanked the University's financial team for their excellent work.

Mr. Qureshi stated that annual audits of the Foundation of the University of North Carolina at Charlotte, the Athletic Foundation of the University of North Carolina at Charlotte, the University of North Carolina at Charlotte Facilities Development Corporation, the University of North Carolina at Charlotte Investment Fund, and Ventureprise had been completed by external auditors with no findings noted.

Mr. Qureshi reviewed the Internal Audit Plan for fiscal year 2016, summarized Internal Audit reports issued since November 2016, and reviewed the Internal Audit Active Findings Report. Ms. Bessant asked when the remaining unresolved findings were expected to be completed. Mr. Qureshi stated that the team is making an effort to resolve all findings by the end of March.

Mr. Qureshi presented the Internal Audit balanced scorecard. Mr. Qureshi stated that the Internal Audit staff certifications are behind schedule but that the team will complete its certifications when Internal Audit is fully staffed. Ms. Bessant asked what motivates Internal Audit's clients to resolve findings by the deadlines that are set and what consequences result when a client does not meet the deadline. Mr. Qureshi stated that Internal Audit consistently reminds clients about their deadlines. Ms. Hardin stated that the framework of internal audits has changed in the last ten years and the Internal Audit team is now auditing departments that previously had never been audited and are unfamiliar with the audit process. Ms. Hardin stated that in some cases the client sets the deadline prior to fully knowing what remedial actions will need to take place.

Mr. Qureshi stated that two planned audits remain to be completed in the fiscal year and that the pace of completion has been slow because of temporarily reduced staffing in the Internal Audit department.

Mr. Qureshi reviewed findings from the internal audit of the Environmental Health and Safety Office (EHSO), which he noted had focused on hazardous materials training and handling, fire safety, and lab safety. Mr. Qureshi stated that EHSO staff have been proactive in working with Internal Audit and are committed to resolving the findings. Ms. Hardin and the Committee members discussed issues involved in resolving the findings and the timeline for implementing

new safety compliance software. Ms. Bessant stated that all findings related to campus safety risk should be resolved quickly, and she requested that the Committee be updated at every meeting until EHSO has done so. Ms. Bessant commended Internal Audit for prioritizing audits in the areas of highest risk. Ms. Burgess noted that she is completing an inventory of compliance-related training. Dr. Lorden noted that safety training is always part of students' laboratory experiences.

Compliance Update. The compliance update was deferred to a later meeting because of time constraints.

Motion to Convene in Closed Session. Ms. Bessant called for a motion to convene in closed session.

Action. On a motion by Mr. Price, seconded by Mr. Wilson, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee.*

Ms. Bessant excused all attendees except the Committee members, Chancellor Dubois, Ms. Burgess, Ms. Hardin, Mr. Humphrey, Ms. Bradley, Ms. Newell, and Ms. Ward.

Closed Session

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

Open Session

Motion to Adjourn. Ms. Bessant requested a motion to adjourn.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the meeting was adjourned.

Submitted: Kim S. Bradley
Kim S. Bradley Date
Assistant Secretary
UNC Charlotte Board of Trustees

Approved: Catherine P. Bessant
Catherine P. Bessant Date
Chair
Audit, Compliance, and Enterprise
Risk Management Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting
Thursday, February 9, 2017, 9:30 a.m.
Harris Alumni Center, Board Room

Open Session Minutes

Present

Joe L. Price, Chair
Catherine P. Bessant
Theresa J. Drew
Dhiaa Jamil
Laura A. Schulte
Michael L. Wilson

Staff /Guests in Attendance

Philip L. Dubois, Chancellor
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Betty Doster, Special Assistant for Constituent Relations
Beth Hardin, Vice Chancellor for Business Affairs
Jesh Humphrey, Vice Chancellor and General Counsel
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Jennifer Ward, Liaison to the Board of Trustees and the Cabinet

Call to Order. Mr. Price called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Price requested action on the November 30, 2016, Executive Committee open and closed session meeting minutes.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Committee approved the November 30, 2016, meeting minutes.

Revision of John Crosland, Sr., Endowed Chair in Real Estate and Development and Belk Distinguished Professor in Business Analytics. Dr. Lorden reviewed changes to the Crosland Endowed Chair and the Belk Distinguished Professorship. Dr. Lorden noted that eligibility for the Crosland Chair will be broadened and the renewal period will be increased, and that

eligibility for the Belk Professorship will be expanded. Mr. Price requested a motion to approve the changes as presented.

Action. On a motion by Mr. Jamil, seconded by Ms. Bessant, the Committee approved the revised plans as presented.

Motion to Convene in Closed Session. Mr. Price requested a motion to convene in closed session.

Action. On a motion by Ms. Schulte, seconded by Mr. Wilson, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

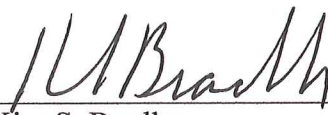
- a. N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
- b. N.C.G.S. §143.318.11(a)(2), to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award;
- c. N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee.


Closed Session

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Open Session

Adjourn. There being no further business before the Committee, Mr. Price adjourned the meeting.

Submitted:  _____
 Kim S. Bradley Date
 Assistant Secretary
 UNC Charlotte Board of Trustees

Approved:  _____
 Joe L. Price Date
 Chair
 UNC Charlotte Board of Trustees



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting
Thursday, February 9, 2017, 10:30-11:30 a.m.
Harris Alumni Center, Board Room

Open Session Minutes

Present

Laura A. Schulte, Chair
David L. Hauser
Joe L. Price
Mary Ann Rouse
Michael L. Wilson

Staff in Attendance

Christine Reed Davis, Associate Vice Chancellor for Student Affairs and Dean of Students
Michelle Guobadia, Associate Dean and Director of Fraternity and Sorority Life
Art Jackson, Vice Chancellor for Student Affairs
Anna Clark, Executive Assistant to the Chief of Staff
Joan F. Lorden, Academic Affairs
Malin Pereira, Executive Director, Honors College
Jay Raja, Senior Associate Provost
Robert Wilhelm, Vice Chancellor for Research and Economic Development
Leslie R. Zenk, Assistant Provost

Call to Order. Ms. Schulte called the meeting to order and reminded the Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. Schulte requested action on the minutes of the November 30, 2016, Academic and Student Affairs Committee meeting.

Action. On a motion by Mr. Wilson, seconded by David Hauser, the Committee approved the November 30, 2016, Committee meeting minutes.

Honors College Overview. Malin Pereira, Executive Director of the Honors College, presented on the expanding role of the Honors College as a hub for all high-achieving students on campus. Ms. Pereira stated that 506 students are currently enrolled in honors programs across the University. Ms. Pereira reviewed the Honors College's mission and history and provided an overview of three new merit scholars programs, including the Albert Engineering Leadership Scholars Program, the Crown Scholars Program, and the Martin Scholars Program; the expansion of honors faculty, programs, students, and applicants for nationally competitive scholarships; the new honors and merit scholars residence and administrative offices in Levine Hall; and selected student profiles. Ms. Pereira stated that 89 percent of UNC Charlotte academic departments have departmental or college honors programs available, and the number of students in departmental or college honors programs has grown by 127 percent since 2013.

Greek Life (Education). Michelle Guobadia, Associate Dean and Director of Fraternity and Sorority Life, presented a general overview of the UNC Charlotte Greek Life community, which includes 38 fraternities and sororities. Ms. Guobadia presented data on academic performance, membership, community service and philanthropic efforts, and education. Ms. Guobadia noted that students who are members of a fraternity or sorority have a cumulative grade point average higher than that of the non-Greek student body. Ms. Guobadia stated that ongoing programming and education efforts within the Greek Life community include National Hazing Prevention Week, Alcohol Skills Training Program, Title IX/Sexual Violence Programs, and Mental Health programs.

Adjourn. There being no further business before the committee, Ms. Schulte adjourned the meeting.

Submitted: Joan F. Lorden 4/20/2017
Joan F. Lorden Date
Provost and Vice Chancellor for Academic Affairs

Approved: Laura A. Schulte 4/20/2017
Laura A. Schulte Date
Chair
Academic and Student Affairs Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting
Thursday, February 9, 2017, 10:30 a.m.
Harris Alumni Center, Room 208D

Open Session Meeting Minutes

Present

Catherine P. Bessant
Fahn K. Darkor
Susan D. DeVore
Karen A. Popp

Staff /Guests in Attendance

Susan Burgess, Director of University Compliance
Scott Byrd, Assistant Athletic Director for Compliance and Championships
Shari Dunn, Senior Executive Assistant to the Chancellor
Jeremy Feldman, Head Men's Tennis Coach
Mike Hummer, Executive Director Athletic Foundation
Allison Roach, Executive Assistant
Judy Rose, Director of Athletics
Darin Spease, Senior Associate Athletic Director
Kim Whitestone, Senior Associate Athletic Director

Call to Order. Ms. DeVore called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Ms. DeVore requested action on the November 30, 2016, Athletics Committee meeting minutes.

Action. On a motion by Ms. Bessant, seconded by Ms. Popp, the Committee approved the November 30, 2016, meeting minutes.

Men's Tennis Update. Head Men's Tennis Coach Jeremy Feldman discussed his goals for the men's tennis program.

Institutional Effectiveness Report. Ms. Whitestone presented the Athletics Strategic Plan Scorecard.

Athletics Mission Statement. Ms. Rose reviewed the Athletics mission statement.

Athletics Department Update. Ms. Rose presented an overview of fall sports.

Athletics Committee Annual Report Schedule. Ms. DeVore reviewed the annual report schedule with the Committee. No changes were made.

Adjournment. There being no further business, Ms. Devore adjourned the meeting.

Submitted: Judy Rose 4/20/17
Judy Rose Date
Director of Athletics

Approved: Susan D. DeVore 4/20/17
Susan D. DeVore Date
Chair
Athletics Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting
Tuesday, February 9, 2017, 10:30 a.m.
Harris Alumni Center, Tate Hall

Open Session Minutes

Present

Theresa J. Drew, Chair
Sharon Allred Decker (via phone)
Dhiaa Jamil
Fred W. Klein

Staff/Guests in Attendance

Philip Dubois, Chancellor
Kim Bradley, Chief of Staff
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
Nelda Tatum, Executive Assistant, Business Affairs

Call to Order. Ms. Drew called the meeting to order and reminded committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of the Minutes. Ms. Drew requested action on the February 9, 2107, minutes of the Facilities and Physical Properties Committee.

Action: On a motion by Mr. Klein, seconded by Mr. Jamal, the committee unanimously approved the February 9, 2017, Facilities and Physical Properties Committee meeting minutes.

Request for Preliminary Resolution for Funding Certain Projects with Debt. Ms. Hardin stated that the State Budget Office has instituted a new requirement that the Board of Governors approve a preliminary resolution for debt funding of capital projects prior to approving construction contracts. The Board of Trustees authorized the Scott Hall and Health and Wellness Center projects

on February 13, 2015, and April 23, 2015, respectively, and subsequently the Board of Governors authorized the two projects on February 27, 2015, and May 22, 2015, respectively. The Scott Hall and Health and Wellness Center projects were approved out of sequence so that the work could begin and the project would remain on schedule. The special obligation bond financing is scheduled for fall 2017 during fiscal year 2018. The fund source for the Scott Hall renovation repayment of debt is housing receipts and the fund source for the Health and Wellness Center is student fees.

Action. On a motion by Ms. Decker, seconded by Mr. Klein, the Committee unanimously recommended that the Board approve the resolution authorizing the University to issue debt in fall 2017 in the form of special obligation bonds to undertake the capital improvement projects Scott Hall Renovation and the Health and Wellness Center.

Request for Authorization of Capital Project—Student Activity Center (SAC) IT Upgrade. Ms. Hardin stated that excess SAC debt fees will pay for this project. The current network cabling is not compatible with present-day network equipment. Wiring for network, electrical and cooling purposes will be updated.

Action. On a motion by Mr. Jamil, seconded by Mr. Klein, the Committee unanimously recommended that the Board of Trustees authorize the University to undertake a capital improvement project, Student Activity Center (SAC) IT Upgrade, with a budget of \$1.8 million.

Request for Approval of Authorization of Capital Projects—Parking Lots Revitalization. Ms. Hardin stated that parking receipts will pay for this project. Parking lots, 5A, 16A, 20, 21, and the Barnhardt Student Activity Center (SAC) handicapped parking area will be improved along with and the upgrades of certain parking areas to meet ADA standards.

Action. On a motion by Mr. Jamil, seconded by Mr. Klein, the Committee unanimously recommended that the Board of Trustees authorize the University to undertake a capital improvement project, Parking Lots Revitalization, with a budget of \$1.6 million.

Request for Approval of Construction Manager at Risk—Science Building. Ms. Hardin stated that the NC Connect Bond program allocated \$90 million to this project and an additional \$11 million came from University funds. Nine firms submitted proposals for this project and four were chosen for interviews on December 8, 2016. Mr. Jones stated that the firms selected have experience with utility plants and have worked on science building projects with other universities. These firms also meet our HUB goals. Mr. Klein asked if an auditor is part of our capital projects to make sure that all conditions are met in projects. Mr. Jones stated the University does hire an outside auditor for capital projects for this reason. The selection committee recommends approval of the following:

Selected: Balfour Beatty Construction
1st Alternate: Turner Construction
2nd Alternate: BE & K Building Group

Action: On a motion by Mr. Klein, seconded by Mr. Jamil, the committee unanimously recommends the Board approve the selected construction manager at risk, Balfour Beatty, and first and second alternates Turner Construction and BE & K Building Group for the Science Building project.

Request for Increase in Capital Project Authorization – Campus Infrastructure Development (CID) – Phase II. The University has entered into an agreement with the City of Charlotte and the NC Department of Transportation (NCDOT) on the East Village infrastructure project, a part of CID. The approved authorization is \$50,830,000. The City of Charlotte and NCDOT will contribute an additional \$2,150,000 toward the realignment of John Kirk Road to improve the flow of traffic in this area into and out of campus.

Action: On a motion by Mr. Jamil, seconded by Mr. Klein the committee unanimously recommends the Board approve an increase in the authorization for the Campus Infrastructure Development (CID)-Phase II project from \$50,830,000 to \$52,980,000.

Request Authorization to Acquire by Lease: Off-Campus Warehouse Storage: Ms. Hardin stated that the committee and Board of Trustees authorized warehouse storage project lease at our November meeting. However, the previous landlord leased the property to someone else. The University found other storage facilities that can be used to accommodate the storage of office furniture, equipment, surplus and other miscellaneous items throughout our five-year capital plan.

Action: On a motion by Mr. Jamil, seconded by Mr. Klein the committee unanimously recommends the Board approved the request to lease warehouse storage at a rental cost of \$99,250 for an initial three-year term.

Capital Project Improvement Update. Mr. Jones stated that University staff was in mediation with the contractor, Miles McClellan for the Oak Hall Renovation project on November 29, 2016. No resolution was made. The contractor's claim will be adjudicated by the Director of State Construction.

Liquidated damages have been assessed against the contractor to cover the additional costs incurred by Housing and Residence Life to house students in another building while construction was completed.

Report on 2017-23 Six-Year Appropriated and Non-Appropriated Projects. Mr. Jones stated that the UNC system requires three different six-year plans. Appropriated projects are those funded by the state. It is imperative that all capital needs are put into the system for planning. This helps state officials ascertain what will be happening in what sequence.

Non-appropriated projects are those covered by receipts and fees. The University determines priorities from this list.

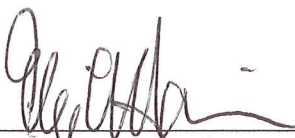
The six-year "wish list" has to be resubmitted every two years. Some projects will be pushed further out than the times listed on the matrix.

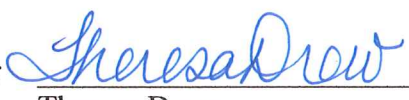
The committee asked about the listing of land acquisitions on the matrix. Ms. Hardin stated that if the University needs to acquire land it can, i.e. land near the Center City Building. Mr. Jones' staff has identified a large parcel of space that we can acquire in the University area. The medical office and condominiums next to the Foundation building are on this list.

Moore and Sanford Halls will be the last renovations the University will do for some time.

The committee members requested that at the next meeting staff share information regarding all University-owned land, including Foundation and Endowment holdings. With many projects happening in the area and the advent of light rail, the committee also asked the report include land and properties the University might consider acquiring.

With no further business, the meeting was adjourned.

Submitted:  04/29/2017
Elizabeth A. Hardin Date
Vice Chancellor for Business Affairs

Approved:  5/3/17
Theresa Drew Date
Chair, Facilities and Physical Properties Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Thursday, February 9, 2017, 12:00 p.m.
Harris Alumni Center, Tate Hall

Open Session Minutes

Presiding: Joe L. Price, Chair
Assistant Secretary: Kim S. Bradley, Chief of Staff

Present

Catherine P. Bessant
Fahn K. Darkor
Susan D. DeVore
Theresa J. Drew
David L. Hauser
Fred W. Klein
Joe L. Price
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson

Absent

Dhiaa Jamil

Staff/Guests in Attendance

Philip L. Dubois, Chancellor
Jeff Baker, Chief of Police
Jesh Humphrey, Vice Chancellor and General Counsel
John Bland, Senior Director for Public Relations and News Services
Kim Bradley, Chief of Staff and Assistant Secretary to the Board
Susan Burgess, Director of University Compliance
Mike Carlin, Vice Chancellor for Information Technology and Chief Information Officer
Celeste Corpening, Staff Council Vice Chair
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Beth Hardin, Vice Chancellor for Business Affairs
Art Jackson, Vice Chancellor for Student Affairs
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Paul Nowell, Senior Communications Manager
Judy Rose, Director of Intercollegiate Athletics

Niles Sorensen, Vice Chancellor for University Advancement
Buffie Stephens, Associate Director for Media Relations
Raheel Qureshi, Interim Director of Internal Audit
Lisa Walker, Faculty Council President
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor's Cabinet
Stephen Ward, Executive Director, University Communications
Bob Wilhelm, Vice Chancellor for Research and Economic Development
Leslie Zenk, Assistant Provost for Academic Affairs

Call to Order. Mr. Price called the meeting to order and reminded members of the Board of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board at any time during this meeting. No conflicts of interest were reported.

Introductions and Announcements. Mr. Price reminded the Trustees about several upcoming events:

- The UNC Charlotte Regional Legislative Dinner in Raleigh on March 1.
- The Board of Governors reception hosted by Karen Popp at her home in Chapel Hill on Thursday, May 18.
- The Distinguished Service Award Luncheon, Thursday, June 1, at the Student Union.

Mr. Price strongly encouraged the trustees to participate in one of the University's three commencement ceremonies in May.

Mr. Price also stated that Mary Ann Rouse, Susan DeVore, and David Hauser will serve on a Nominating Committee that will recommend Board officers for 2017-2018.

Mr. Wilson stated that the committee reviewing the Chancellor's quadrennial evaluation has received the consultant's report. Mr. Wilson stated that the Committee will work with Board of Governors liaison Ann Spangler Nelson to complete its obligations and will make its final report to the Board of Trustees in April.

Approval of Minutes. Mr. Price requested action on the minutes of the November 30, 2016, meeting of the Board of Trustees.

Action. On a motion by Mr. Wilson, seconded by Ms. Bessant, the Trustees approved the November 30, 2016, open and closed session meeting minutes.

Remarks by the Chancellor. Chancellor Dubois reported that the University exceeded its spring enrollment target with 27,177 students, and that fall 2016 enrollment was 28,721. The Chancellor noted that fall 2017 freshmen applications are down 4 percent for North Carolina residents and 10 percent for non-residents, and he stated that recent riots in Charlotte, House Bill 2, and increased selectivity are likely factors in the drop. The Chancellor noted that the University's applicant acceptance rate decreased from 78 percent in 2005 to 63 percent in 2015.

Institutional Benchmarks. Chancellor Dubois presented a wide range of benchmarking data and stated that he would like to know what data the trustees want to further explore. At Ms. Schulte's request, the Chancellor discussed retention goals. Ms. DeVore asked whether improvements in academic advising are being made. Dr. Lorden stated that advisor job descriptions are being rewritten and that the University is considering using specialty advisors for some students. Dr. Lorden noted that data show that students report having good access to advisors, but she noted that the University is focusing on the quality of advising as a means to increase graduation rates. Chancellor Dubois stated that he will make a subsequent presentation related to institutional resources, facilities, and faculty and staff.

Report of the Executive Committee. Mr. Price reported that the Committee approved changes to an endowed chair and a distinguished professorship and that all other Executive Committee items would be reported in closed session.

Report of the Academic and Student Affairs Committee. Ms. Schulte reported that the Dr. Malin Pereira had presented an overview of the Honors College and Michelle Guobadia had presented an overview of standards for fraternities and sororities. Ms. Schulte stated that the Committee was impressed by Ms. Guobadia's commitment to higher standards for Greek organizations.

Report of the Athletics Committee. Ms. DeVore reported that the Athletic Foundation Audit and the audit of the NCAA Agreed-Upon Procedures resulted in no findings. Ms. DeVore stated that Ms. Rose had presented a report on hazing training and stated that students' recognition of hazing still needs to improve. Ms. DeVore stated that Ms. Rose had also reported that the introduction of women's swimming has been delayed, and Chancellor Dubois stated that additional minor revisions to the swimming pool in Belk Gym must be completed before women's swimming can be initiated.

Report of the Facilities and Physical Properties Committee. Ms. Drew presented a motion from the Committee to approve a resolution authorizing the University to issue debt in fall 2017 in the form of special obligation bonds to undertake the Scott Hall Renovation and Health and Wellness Center capital improvement project.

Action. The Board unanimously approved the resolution authorizing the issuance of special obligation bonds to fund the Scott Hall Renovations and the Health and Wellness Center project.

Ms. Drew presented a motion from the Committee to authorize the Student Activity Center information technology upgrade capital improvement project with a budget of \$1.8 million.

Action. The Board unanimously authorized the Student Activity Center information technology upgrade project with a budget of \$1.8 million.

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Action. The Board unanimously approved the resolution authorizing the issuance of special obligation bonds to fund the Scott Hall Renovations and the Health and Wellness Center project.

Ms. Drew presented a motion from the Committee to authorize the Student Activity Center information technology upgrade capital improvement project with a budget of \$1.8 million.

Action. The Board unanimously authorized the Student Activity Center information technology upgrade project with a budget of \$1.8 million.

The Chancellor discussed the early colleges, noting that third-year students in the Engineering program are beginning to take college courses and that the teacher education early college currently has 200 applications for 55 spots. The Chancellor stated that Charlotte Mecklenburg School system is seeking a \$10 million grant to help acquire a state match of \$10 million, which the University hopes to receive as part of its 2018 state budget request.

The Chancellor discussed the Board of Governors' strategic plan and stated that the University's Institutional Research staff is evaluating data associated with the plan's four priorities to determine how the University will respond to the plan.

The Chancellor stated that the Medical Education Task Force will be hiring a consultant to estimate the cost of starting the first two years of medical education at UNC Charlotte with a class of 25 students, collaborating with the UNC School of Medicine. The Chancellor noted that the current UNC system budget includes funding for medical education but that which institutions will benefit from that funding is currently unclear.

The Chancellor stated that the University continues to monitor the impact of the President's Executive Order on immigration. The Chancellor noted that 108 members of the University community may be affected by the order.

Law School Update. Chancellor Dubois reported on legal education data, including law school costs, financial aid, bar passage rates, graduate employment, and local employers of law school graduates. The trustees discussed whether demand for a law school exists. The Chancellor stated that the data do not currently could improve over time as the market for new lawyers strengthens in the Charlotte region and across the state, and he stated that he and Dr. Lorden are exploring the possibility of offering addition professional non-degree certificate legal education programs or a master's degree in legal studies.

Legislative Update. Ms. Doster reported on UNC Charlotte alumni in the legislature and in key committee assignments, the political and budget landscape. Ms. Doster also discussed UNC Charlotte's legislative priorities in 2017: enrollment growth funding, an increase in the faculty recruitment and retention fund, salary increases for faculty and staff, budget efficiency and management flexibility tools.

Capital Campaign Update. Ms. Fischer presented an update on the University's progress toward its capital campaign goals.

Report of the University Faculty Council. Ms. Walker reported that Faculty Council committees are working on a number of items on the Council's agenda, including the clarification of minimum appointment and promotion requirements for non-tenure-track faculty appointments. Ms. Walker also discussed the Faculty Council's involvement in the Board of Governors' strategic planning process and stated that the Council has encouraged faculty to engage in the process.

Report of the University Staff Council. Ms. Corpening reviewed the Staff Council report as presented in the Trustees' boardbook.

The Structure of University Policies and Procedures (Education). Mr. Humphrey and Ms. Edwards discussed University policies, including sources of authority, roles and responsibilities, organization and structure, the approval process, and the review and utilization of policies.

Ms. Drew presented a motion from the Committee to authorize the Parking Revitalization capital improvement project with a budget of \$1.6 million.

Action. The Board unanimously authorized the Parking Revitalization project with a budget of \$1.6 million.

Ms. Drew presented a motion from the Committee to approve the selected construction manager at risk, Balfour Beatty, and first and second alternates Turner Construction and BE&K Building Group for the Science Building project.

Action. The Board unanimously approved the selected construction manager at risk and the alternates for the Science Building project.

Ms. Drew presented a motion from the Committee to approve an increase in the authorization for the Campus Infrastructure Development Phase II project from \$50,830,000 to \$52,980,000.

Action. The Board unanimously approved an increase in the authorization for the Campus Infrastructure Development Phase II project from \$50,830,000 to \$52,980,000.

Ms. Drew presented a motion from the Committee to approve a request to lease warehouse storage at a cost of \$99,525 for an initial three-year term.

Action. The Board unanimously approved the request to lease warehouse storage at a cost of \$99,525 for an initial three-year term.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Ms. Bessant reported that representatives of the North Carolina State Auditor's office had reported that the University's 2016 financial statement audit had resulted in no findings. Ms. Bessant reported that the Committee discussed an internal Environmental Health and Safety audit, and she noted that the University's safety record is outstanding but that the University intends to increase its safety controls.

Report of the Student Government Association (SGA). Mr. Darkor reported that green commencement robes have been approved for spring commencement. Mr. Darkor reported that the SGA is working with several Levine Scholars who would like to direct their civic project funding toward the construction of a park with SGA cooperation. Mr. Darkor stated that an effort to increase the number of artworks in the Union is underway. Mr. Darkor noted that SGA elections will be held soon and that he is nearing the end of his term as SGA president.

Motion to Convene in Closed Session. Mr. Price called for a motion for the Board to convene in closed session.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:


- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel;*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee; and*
- d. *N.C.G.S. §143.318.11(a)(2), to prevent the premature disclosure of an award.*

Closed Session

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Open Session

Adjournment. There being no additional items, Mr. Price adjourned the meeting.

Submitted: 

Kim S. Bradley Date
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved: 

Joe L. Price Date
Chair
UNC Charlotte Board of Trustees