



UNC CHARLOTTE

The University of North Carolina at Charlotte Board of Trustees

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Tuesday, February 11, 2020, 8:00–9:30 a.m.

Popp Martin Student Union, 3rd Floor, Multipurpose Room I

Open Session

Present

Susan DeVore, *Acting Chair*
Dennis Bunker III
Christine Katziff
Michael L. Wilson, *ex officio*

Absent:

Theresa Drew, *Chair*

Staff/Guests in Attendance

Philip L. Dubois, Chancellor
John Bogdan, Associate Vice Chancellor for Safety and Security
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees
Anne Brown, Associate Vice Chancellor for Finance
Susan Burgess, Chief Compliance Officer
Tina Dadio, University Public Records Officer/Legal Specialist
Steven Dunham, Chief Risk Officer
Darius Griffin, Director of Environmental Health & Safety
Beth Hardin, Vice Chancellor for Business Affairs
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Michelle Reinken, Title IX Coordinator
Zach Rogers, Assistant State Auditor, *via telephone conference*
Amanda Simpson, Paralegal
Darin Spease, Deputy Athletic Director
Greg Verret, Director of Treasury Services
Jennifer Walker, Chief Audit Officer
Laura Williams, University Controller

Call to Order. Ms. DeVore called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and advised Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. DeVore requested action on the December 11, 2019, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Action. On a motion by Mr. Bunker, seconded by Ms. Katziff, the Committee approved the December 11, 2019, Audit, Compliance, and Enterprise Risk Management Committee minutes.

Report on 2018-2019 External Audits. Ms. DeVore welcomed Anne Brown, Laura Williams, and Greg Verret from the University. Ms. DeVore also welcomed Zach Rogers from the Office of the State Auditor, who joined by telephone. Mr. Rogers reported the State Auditor's office completed the fiscal year 2019 financial statement audit for the University of North Carolina at Charlotte. Mr. Rogers presented an unmodified (clean) opinion of the University's financial statements, concluding that they were fairly stated and that no findings resulted from the audit. Mr. Rogers noted that the audit process went very smoothly due to the cooperation and efforts of the University's financial team. Mr. Rogers also noted that the quality of the University's documentation and cooperation is in the very top tier across the UNC System and thanked the University team for their excellent work. Mr. Rogers indicated that the state's audit report was published on November 21, 2019, and can be found on the State Auditor website.

Mr. Verret stated that the Fiscal Year 2019 financial statement audits for the Foundation of the University of North Carolina at Charlotte, the Athletic Foundation of the University of North Carolina at Charlotte, the University of North Carolina at Charlotte Facilities Development Corporation, the University of North Carolina at Charlotte Investment Fund, and Ventureprise, Inc. were completed by external auditors, and that all audits received were unmodified (clean) opinions with no findings. Mr. Verret reported that the IRS Form 990 filings for these associated entities are being prepared and will soon be filed.

Ms. Williams reported that as with all NCAA-member institutions, UNC Charlotte is required to submit financial data detailing operating revenues and expenses related to its intercollegiate athletics program to the NCAA on an annual basis. This financial data is subject to "agreed-upon procedures" performed by a qualified independent accountant. The Independent Accountant's Report on Agreed-Upon Procedures was returned with no findings for the University.

Internal Audit Update. Ms. Walker reported that the Internal Audit office is now fully staffed following its recent hire of the Senior Internal Auditor position. A copy of the UNC Charlotte Internal Audit Organization Chart and a list of all Internal Audit positions by location for the UNC System were provided to the Committee for review. Ms. Walker indicated that the Internal Audit staff has completed the Annual Code of Ethics Certification, disclosing any potential or perceived conflicts of interest. The only potential conflict of interest disclosed was that the new Senior Internal Auditor had transferred from the College of Health and Human Services (CHHS). Ms. Walker reported that there are no audits scheduled in this area for fiscal year 2020. Ms. Walker noted that should an audit be scheduled in this area for fiscal year 2021, the Senior Internal Auditor would not be involved in that audit.

Ms. Walker updated the Committee on the status of the fiscal year 2020 Internal Audit Plan. Ms. Walker summarized the internal audit reports that were issued this period, including the

Environmental Health and Safety (EHS) Radiation Safety Program Audit, which had multiple findings. Ms. Walker reported on the Internal Audit Findings Exception Report and asked Mr. Bogdan for an update on the status of the remediation of the annual fire safety training for all employees. Mr. Bogdan informed the Committee that the fire safety training module has been developed and is scheduled for implementation by April 1, 2020.

Compliance Update. Ms. Burgess updated the Committee on two items. First, Ms. Burgess continues to work on developing a compliance program specific to grants and contracts administration. Program activities include policy reviews and updates, a more robust education component, increased compliance communications to targeted staff and a monitoring function, as well as other features. Second, Ms. Burgess provided a quick update on the matter of the Department of Education Program Review and Final Determination Letter. Ms. Burgess reported at the last Committee meeting that the University was assessed a repayment in the amount of \$75,000. An appeal was since filed with the Department disputing the formula used to calculate the repayment amount and arguing the Department wrongly included approximately \$466,000 in federal student loans that were paid in full in the total prior to the beginning of the Program Review. Ms. Burgess planned to update the Committee on the status of the appeal at the next meeting.

Motion to Convene in Closed Session. Ms. DeVore called for a motion to convene in closed session.

Action. On a motion by Mr. Bunker, seconded by Ms. Katziff, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidential arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, prospective public officer or employee.*

Ms. DeVore excused all attendees except the Committee members, Chancellor Dubois, Ms. Burgess, Ms. Bradley, Ms. Dadio, Mr. Dunham, Ms. Hardin, Mr. Humphrey, Dr. Reinken, Ms. Simpson, and Ms. Walker.

Closed Session

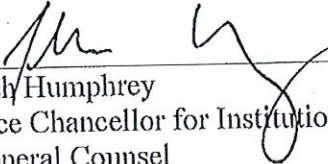
*Closed session minutes are filed in the office of the
Assistant Secretary to the Board of Trustees.*

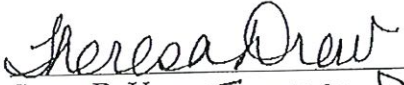
Open Session

Other Business. The Committee inquired as to whether the state audit report had an impact on the University's credit ratings for 2019-2020. Ms. Hardin reported a sustained rating of AAA and A+ rating with a stable economic outlook.

Motion to Adjourn. Ms. DeVore requested a motion to adjourn.

Action. On a motion by Ms. Katziff, seconded by Mr. Bunker, the meeting was adjourned.

Submitted:  9/29/2020
Date
Jesly Humphrey
Vice Chancellor for Institutional Integrity and
General Counsel

Approved:  9/24/2020
Date
~~Susan DeVore~~ Theresa Drew
Acting Chair
Audit, Compliance, and Enterprise Risk
Management Committee



UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Executive Committee Meeting
Tuesday, February 11, 2020, 9:30–10:30 a.m.
Popp Martin Student Union, Third Floor, Room I

Open Session Minutes

Present

Michael L. Wilson, Chair
Dennis N. Bunker III
Chandler A. Crean
Susan D. DeVore
Fred W. Klein
Mary Ann Rouse
Teross W. Young (*via telephone*)

Absent

Theresa J. Drew

Staff/Guests in Attendance

Philip L. Dubois, Chancellor
Kim S. Bradley, Chief of Staff
Sue Burgess, Chief Compliance Officer
Betty M. Doster, Special Assistant to the Chancellor for Constituent Relations
Steven M. Dunham, Chief Risk Officer
Beth A. Hardin, Vice Chancellor for Business Affairs
Mike Hill, Director of Athletics
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Melva Jenkins, Administrative Liaison for University Governance
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
David W. Mildenberg, Board of Trustees
David M. Powers, Board of Governors
Niles F. Sorensen, Vice Chancellor for Advancement
Jennifer Walker, Chief Audit Officer

Call to Order. Mr. Wilson called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts

of interest were reported.

Approval of Minutes. Mr. Wilson requested action on the December 11, 2019 Executive Committee open and closed session meeting minutes as distributed.

Action. On a motion by Ms. Rouse, seconded by Mr. Bunker, the Committee unanimously approved the December 11, 2019 meeting minutes.

Chancellor Search Update. Mr. Wilson provided an update on the chancellor search, indicating that it is on track for an April selection and announcement.

Chancellor Transition Process. Mr. Wilson advised the Committee that he had asked Mr. Bunker, with the assistance of Ms. Bradley, to lead a transition planning process to ensure the successful onboarding of the next chancellor. Mr. Bunker then reported that the transition team had its first meeting on April 4. Mr. Bunker explained that during the first meeting the team discussed the development of a communication plan to introduce the new Chancellor to the campus, city, and state, as well as the development of a stakeholder mapping and engagement plan that will span the first six months of the new chancellor's tenure. The team will meet every two weeks, with the next meeting on February 17, with plans for their work to be completed by April 1.

Nominating Committee. Mr. Wilson reported that he had appointed the Nominating Committee to elect a slate of officers for 2020-21. Ms. Rouse, the Committee Chair, stated she will report to the Committee in April.

Motion to Convene in Closed Session. Mr. Wilson requested a motion to convene in closed session.

Action. On a motion by Mr. Bunker, seconded by Ms. Rouse, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
- b. establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

Closed Session

Closed session minutes are filed in the office of the assistance secretary to the Board of Trustees.

Open Session

Action on Athletics Employment Agreements. Mr. Wilson requested a motion to approve the amendments to three employment agreements as presented in closed session.

Action. On a motion by Ms. DeVore, seconded by Mr. Bunker, the Committee unanimously approved the amendments to the three employment agreements as presented in closed session.

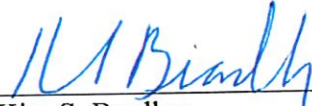
Other Business. Mr. Wilson asked if there was other business to be brought before the Committee.

Chancellor Dubois stated that he would like to share the proposed changes to the Athletics marks to the Committee. He then asked Mr. Hill to discuss the changes.


Mr. Hill shared that the changes have already been shared with the Student Athlete Advisory Committee and the reaction of the student-athletes was extremely positive. Mr. Hill then invited Steve and Brooks Luquire of Luquire George Andrews (LGA) to present the proposed athletic mark changes. Messrs. Luquire provided background on the development of the new marks, while explaining how the proposed images would help with recruiting athletes, would transfer into merchandising and selling of University apparel, and would help tie the University to the city of Charlotte.

Adjourn. There being no further business before the Committee, Mr. Wilson adjourned the meeting.

Submitted:

 9/22/20
Date
Kim S. Bradley
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved:

 09.22.20
Date
Michael L. Wilson
Chair
UNC Charlotte Board of Trustees



UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Academic and Student Affairs Committee Meeting
Tuesday, February 11, 2020, 10:30-11:30 a.m.
Popp Martin Student Union, Third Floor, Room I

Minutes

Present

Dennis N. Bunker III, Chair
Christine P. Katziff
David Mildenberg

Staff in Attendance

Kevin Bailey, Vice Chancellor for Student Affairs
Kevin Colwell, Business/Technology Applications Specialist; Advisor, Esports
Shari Dunn, Senior Executive Assistant to the Chancellor
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Andrew Kirkman, Student, Computer Science; President, Esports
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
David Powers, UNC Board of Governors Liaison
Jay Raja, Senior Associate Provost
Rick Tankersley, Vice Chancellor for Research and Economic Development
Jill Yavorsky, Assistant Professor, Department of Sociology
Leslie Zenk, Assistant Provost

Call to Order. Mr. Bunker called the meeting to order and reminded members of the Board of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board at any time during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Bunker requested action on the minutes of the December 11, 2019 Academic and Student Affairs Committee meeting.

Action. On a motion by Ms. Katziff, seconded by Mr. Mildenberg, the Committee approved the December 11, 2019 meeting minutes.

Education: Esports at UNC Charlotte. Mr. Bailey introduced Kevin Colwell, advisor for Esports at UNC Charlotte, and Andrew Kirkman, organization president. Esports is a fast-growing industry that generates hundreds of millions of dollars in revenue worldwide and will soon have more viewership than every professional sports league except the NFL. Like other sports, esports has

myriad games and over a dozen professional leagues for said games. Demand for esports in higher education is growing rapidly and more than 475 colleges now offer club esports. Many colleges even offer academic programs, scholarships, and venues in support of their esports programs. Esports at UNC Charlotte is the student organization for all things esports at UNC Charlotte. They are the largest gaming club in North Carolina and in addition to hosting many competitive gaming events, they also have some of the most successful teams in the southeastern USA. Many of their players have won scholarships through competitions and several alumni have gone on to accomplish great things in the industry.

Research Spotlight: Unemployment Shock: Men and Female-Dominated Jobs. Mr. Tankersley introduced Dr. Jill Yavorsky who provided a brief overview of her scholarly interests and recent research results. Dr. Yavorsky detailed key findings from a study (recently published in *Social Science Research* and featured in the New York Times, Washington Post, PBS, and Forbes) in which she and her colleague (Dr. Janette Dill) examine individual economic conditions that spark men to enter female-dominated jobs.

Other Business. Provost Lorden discussed the expansion of the 49er Next program with area community colleges.

Adjourn. There being no further business before the Committee, Mr. Bunker adjourned the meeting.

Submitted: Joan F. Lorden 10/14/20
Joan F. Lorden Date
Provost and Vice Chancellor

Approved: Dennis N. Bunker III 9/28/20
Dennis N. Bunker III Date
Chair
Academic and Student Affairs Committee



UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Athletics Committee Meeting
Thursday February 11, 2020
Popp Martin Student Union
Multipurpose Room 340C

Open Session Minutes

Present

Teross Young Jr., Chair (Phone)
Chandler Crean
Sharon Decker (Phone)
J. Brett Keeter

Staff in Attendance

Susan Burgess, Chief Compliance Officer
Anna Clark, Executive Assistant to the Chief of Staff
Philip Dubois, Chancellor
Will Healy, Head Football Coach
Ragean Hill, Executive Associate Athletic Director
Allison Roach, Athletic Executive Assistant
Jennifer Walker, Chief Audit Officer

Call to Order. Mr. Keeter called the meeting to order and reminded the committee members of their obligation under the State Government Ethics Act as stated in Chapter 138A of the N.C. General Statutes. No member indicated any conflict of interest with respect to any matter coming before the committee during this meeting.

Approval of Minutes. Mr. Keeter requested action on the December 11, 2019 Athletics Committee meeting minutes.

Action. On a motion by Mr. Crean, seconded by Ms. Decker, the Committee unanimously approved the December 11, 2019 Athletics Committee meeting minutes.

Football Recap. Mr. Healy recapped the 2019 football season, highlighting the support and energy of fan engagement during Bahamas Bowl.

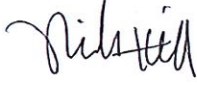
Women's Lacrosse Update. Ms. Hill reported that a women's lacrosse head coach will be hired by July 1 and competition will begin in Spring 2023. Affiliate membership will be voted on by the conference at a spring meeting. Ms. Hill will attend tournaments to put the word out we are adding

women's lacrosse.

Employment Contract Updates. Mr. Hill reported that the Executive Committee voted on extending Head Women's Soccer Coach John Cullen's and Head Football Will Healy's contracts. Ms. Decker thanked Mike for his leadership and creativity on the extension of Mr. Healy's contract. Mr. Crean asked if the way student tickets are distributed for football would be changed. Mr. Hill responded that there will be no change at this time.

Athletic Department Updates. Mr. Hill provided a recap of the Bahamas Bowl. He also reported that women's basketball could make a run in the conference tournament and men's basketball could potentially have a bid in the conference tournament. Mr. Hill explained the bonus play for men's basketball. He next reported that indoor track and field would compete the following week. Lastly, 60 student-athletes participated in a Night to Shine, put on by the Tim Tebow Foundation.

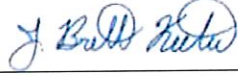
Adjournment. There being no further business, Mr. Keeter adjourned the meeting.

Submitted: 

Mike Hill
Director of Athletics

9/25/2020

Date

Approved: 

J. Brett Keeter
Chair

9/23/2020

Date



UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Facilities and Physical Properties Committee Meeting

Tuesday, February 11, 2020

Popp Martin Student Union

Multipurpose Room 340F

Open Session Minutes

Present

Fred W. Klein

Mary Ann Rouse

Susan DeVore

Michael Wilson (ex-officio)

Carlos Sanchez (by phone)

Staff/Guests in Attendance

Beth Hardin, Vice Chancellor for Business Affairs

Jon Varnell, Associate Vice Chancellor for Facilities Management

Kathryn Horne, Director of Facilities Planning

Anne Brown, Associate Vice Chancellor for Finance

Rich Steele, Associate Vice Chancellor for Business Services

Douglas Lape, Director of Parking and Transportation Services

Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

Betty Doster, Special Assistant to the Chancellor for Constituent Relations

Steven Dunham, Chief Risk Officer

Christopher Bates, Assistant Vice Chancellor for Administration

Nelda Tatum, Board and Committee Specialist

Call to Order. Mr. Klein called the meeting to order and reminded committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interests were reported.

Approval of the Minutes. Mr. Klein requested approval of the December 11, 2019, minutes of the Facilities and Physical Properties Committee (FPPC).

Action: On a motion by Ms. Rouse, seconded by Mr. Wilson, the committee unanimously approved the minutes for the December 11, 2019, meeting.

Request for Authorization of Advance Planning Remembrance Memorial. Ms. Hardin stated the Niner Nation Remembrance Commission was created by Chancellor Dubois in response to the tragic shooting of April 30, 2019. The commission was charged with memorializing the victims and remembrance of this time at UNC Charlotte. An advance planning budget of \$200,000 is requested with a project cost of \$2,000,000. Funds were raised and are available for this purpose. The memorial will be located in the Belk Plaza near the Kennedy Building.

Action: On a motion by Ms. Rouse, seconded by Mr. Wilson, the committee recommends the Board authorize for advance planning for a Remembrance Memorial with an advanced planning budget of \$200,000 and a project cost of \$2,000,000.

Request for Authorization for Capital Project – Cone Center Roof Replacement. Ms. Hardin shared that this project will include the removal of the existing roof system on the Cone Center North and replacement with a new roof system. The project cost is \$400,000. Mr. Varnell noted that at some point, there may be major redesign of the Cone Center space. This space is presently being re-conceptualized. Much of the existing structure would, however, remain in the plan.

Action: On a motion by Ms. Rouse, seconded by Mr. Sanchez, the committee recommends the Board approve the authorization for Cone Center North Roof Replacement with a project budget of \$400,000.

Campus Infrastructure Projects – Mr. Varnell made a capital project presentation for campus infrastructure projects and shared the following information.

Stormwater: Charlotte must comply with the City of Charlotte Post Construction Stormwater Ordinance. The ordinance is designed to protect the streams and lakes from water quality and water quantity problems generated by land development and impervious surfaces.

The stormwater master plan's major component is to create regional and independent stormwater management devices that are budgeted independently of architectural capital projects. Expansions and future developments on the eastside of campus will require that UNC Charlotte have a stormwater master plan in place.

Toby Creek Restoration: This project is funded by the Charlotte-Mecklenburg Stormwater services and will extend from W.T. Harris Blvd. to confluence with Mallard Creek. This project will create a more resilient stream reach to withstand climate changes, adjacent land use changes, and development in the watershed. UNC Charlotte will grant the City of Charlotte a 60-foot right-of-way along the creek for the project.

Reclaimed Water: This project was put in place in 2017 and is an ongoing project between Charlotte Water and UNC Charlotte to bring reclaimed (treated) water to campus from the Mallard Creek water wastewater treatment plant. Charlotte Water will construct the line from the plant to campus.

Reclaimed water will be purchased at a reduced rate of \$230 thousand per year from the University. Total saving is estimated at \$9.5 million. Plans are to begin this project in May 2020.

East Village Infrastructure: The current project is to realign the roadway at John Kirk Dr. and Cameron Blvd with the installation of a traffic signal to improve traffic. Martin Village Rd. will be widened. Pedestrian safety is a huge concern. UNC Charlotte's project budget is \$5.5 million and the City of Charlotte (\$1.8 million) and NC Department of Transportation (\$500 thousand) will provide the remaining project funds.

UNC Charlotte Center City Parking Update – Mr. Steele, Associate Vice Chancellor for Business Services, shared an update on the parking services agreement for Center City that has been in place since 2011. This agreement provides the University with 293 parking spaces for students, faculty, and staff.

In 2015, the University signed a 10th Street Deck license agreement for 305 spaces with a one-time license fee of \$4,270,000. The license term is 99 years. The term will begin with the issuance of a certificate of occupancy (CO).

The “outside completion date” of December 1, 2018, was not met. Presently, the deck structure is substantially complete but has several deficiencies. The CO cannot be issued until all deficiencies are complete. Additionally, an apartment building will be built around the deck and all must be completed before a CO is issued.

Mr. Humphrey, Vice Chancellor for Institutional Integrity and General Counsel, is University Legal Counsel for this agreement. He shared that we can extend the license agreement or terminate at the prorated amount. The University has decided to extend its parking service agreement. The 99 years does not begin until the CO is signed.

Capital Projects Update. Ms. Hardin noted that North Carolina presently does not have a biennium budget.

Consequently, the funding for the Cameron Second Floor Renovation and the Burson Building Renovation (requested \$45 million in state appropriations) has not been appropriated by the state. These two projects together with the completion of the new Science building are critical to supporting UNC Charlotte's STEM programs. These three projects will be executed in sequence as part of a comprehensive plan. The University is hopeful that there will be a state budget in the second year of the biennium. We are continuing with the design in anticipation that funding from the state will be approved, but the lack of the approved budget will likely cause a one-year delay. The status of the project funding will be reassessed in May.

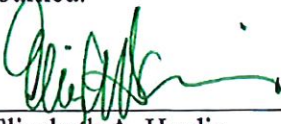
There are building reserves that are a part of the NC Connect bonds. Funds are not released, however, because there is not a state budget. The University has a portion of the funds for the Science Building for FY 2021 (that will start on July 1, 2020), but status of NC Connect bond funds is not currently known.

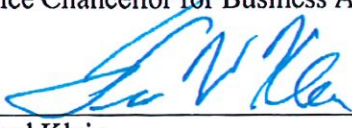
Bioinformatics fourth floor build-out will be research lab intensive. It has been 10 years since the initial construction of Bioinformatics Building was completed. The build-out of the fourth floor is moving forward.

Phase XVI Residence Hall was bid and the project will start construction in February. Facilities Management is pushing to complete the project on time.

A tour is scheduled for the Board of Trustees of the newly opened University Recreation Center (UREC). Indoor recreation space on campus was limited to Belk Gym and the Student Activity Center (SAC). With the opening of the UREC, the energy of the campus has shifted to this area of campus.

With no further business, the meeting was adjourned.

Submitted:  01/23/2020
Elizabeth A. Hardin Date
Vice Chancellor for Business Affairs

Approved:  6/15/20
Fred Klein Date
Chair, Facilities and Physical Properties Committee



UNC CHARLOTTE

The University of North Carolina at Charlotte Board of Trustees

Tuesday, February 11, 2020
Popp Martin Student Union, Room ABDE

Open Session Minutes

Present

Michael L. Wilson, Chair
Dennis N. Bunker III
Chandler A. Crean
Sharon Allred Decker (*via telephone*)
Susan D. DeVore
Christine P. Katziff
J. Brett Keeter
Fred W. Klein Jr.
David W. Mildenberg
Mary Anny Rouse
Carlos E. Sanchez (*via telephone*)
Teross W. Young Jr

Absent

Theresa J. Drew

Staff/Guests in Attendance

Philip L. Dubois, Chancellor
Kevin Bailey, Vice Chancellor for Student Affairs
Jacob Baum, Student
Kim Bradley, Chief of Staff
Anne Brown, Associate Vice Chancellor for Finance
Susan Burgess, Chief Compliance Officer
Michael Carlin, Vice Chancellor for Information Technology
Anna Clark, Executive Assistant to the Chief of Staff
Celeste Corpening, Staff Council Chair
Tina Dadio, University Public Records Officer/Legal Specialist
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Steven Dunham, Chief Risk Officer
Shari Dunn, Senior Executive Assistant to the Chancellor
Lauren Gregg, Senior Director of University Events and Programs
Beth Hardin, Vice Chancellor for Business Affairs
Will Healy, Head Football Coach
Mike Hill, Director of Athletics

Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Melva Jenkins, Administrative Liaison for University Governance
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Colleen Penhall, Chief Communications Officer
David Powers, Board of Governors Liaison
Brenda Shue, Executive Assistant to the Chancellor and Division Budget Manager
Amanda Simpson, Legal Paralegal
Buffie Stephens, Director of Issues Management & External Media Relations
Niles Sorensen, Vice Chancellor for University Advancement
Jennifer Walker, Chief Audit Officer
Leslie Zenk, Assistant Provost

Announcement. Before Mr. Wilson called the meeting to order, Chancellor Dubois made an announcement regarding the NinerAlert that was sent earlier that morning. Chancellor Dubois reported that a bullet had been discharged in Lynch Hall and was found in an adjacent room. Chancellor Dubois reported that there was no immediate threat to the campus and no one was hurt.

Call to Order. Mr. Wilson called the meeting to order and reminded members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Introductions and Announcements. Mr. Wilson thanked the Trustees for attending and acknowledged Mr. Powers' attendance.

Mr. Wilson highlighted some important upcoming events, including the 29th Annual Barnhardt Seminar on Ethics and the World of Business featuring Tom Skains; Governor's Employer Roundtable; the De Silva Award Ceremony; the First Citizens Award Ceremony; the Distinguished Service Award Luncheon honoring Chief Kerr Putney; the Center City Building Dedication; the Alumni Boards Chancellor Farewell Event; and the TIAA Lecture featuring Elizabeth Kolbert.

Mr. Wilson invited the Board to join him in congratulating Chancellor Dubois for receiving the Order of the Crown award from Mayor Vi Lyles. Mr. Crean was also recognized for receiving the Howard H. Baker Leadership Award from Pi Kappa Phi for outstanding leadership and involvement on campus.

Remarks from Board of Governors Liaison. Mr. Wilson invited Governor Powers to provide an update on the Board of Governors.

Governor Powers provided updates on the presidential search, as well as the chancellor searches that are underway at the other system institutions. He then reported that the Board of Governors is reviewing its internal discipline policies, as well as policies to petition the Board of Governors to discipline Boards of Trustees. The Board is also appointing a task force composed of campus

constituents, including chancellors, trustees, staff, and faculty, that will be charged with reviewing the processes for student body elections to keep external influences out.

Governor Powers then reported that the UNC System is experiencing the highest five-year graduation rate in the history of the system. Some of the changes the Board is hoping to implement include standardizing credit hours, making college more affordable to families, and student access.

Lastly, Governor Powers congratulated Chancellor Dubois and thanked him for his strong leadership of the University. He commended UNC Charlotte for having the first School of Data Science and for how well issues are handled on this campus.

Approval of Minutes. Mr. Wilson requested action on the minutes of the December 11, 2019 Board of Trustees meeting as distributed.

Action. On a motion by Ms. DeVore, seconded by Mr. Bunker, the Board unanimously approved the minutes of the December 11, 2019 meeting.

Remarks by the Chancellor. Chancellor Dubois updated the Board on actual spring enrollment, comparing it to last year, as well as projected fall enrollment, and the anticipated budgetary implications due to the decrease in enrollment. He explained that we do not anticipate a reduction in our appropriation in FY 2021 because of changes that the System Office is making in calculating enrollment-related funding. However, it is likely that we will see some reduction in tuition revenues.

Next, Chancellor Dubois reported that our license agreement to locate the Niner University Elementary School was signed and approved by the CMS School Board in December, but that did not give us actual access to the building for some of the physical modifications we need to make before opening next fall. Our legal team worked with CMS to secure a license modification so we can move ahead, and the lease is making its way toward the County Commission. He then reported that he had appointed a 10-member school advisory board as is required by statute, and will try to get that group's first meeting before his departure.

Chancellor Dubois then reported that we are still awaiting the first draft of the report from the external consultants we hired to review our response during and following the April 30 incident. Chancellor Dubois also stated that the findings of a survey by CRA, our communications partner, during the review of our communications in the days that followed the shooting, would be presented later in the meeting.

Chancellor Dubois next reported that The Remembrance Commission has completed its work and issued a written report and recommendations, all of which he has accepted. Chancellor Dubois reported on the financial aspects of the proposed memorial. He then reported that he has appointed two groups, a design jury and advisory committee, to oversee the memorial design selection. Lastly, he reported on the status of the planning for the April 30 Remembrance events, including the on-campus events and Remembrance concert.

Chancellor Dubois asked the Board to congratulate Gene Johnson the next time they see him for receiving the Bill Mitchell Volunteer of the Year Award from the southeastern chapter of the Council for the Advancement of Education (CASE).

Chancellor Dubois concluded by mentioning the reception at Bissell House on May 7 honoring former Trustee, Karen Popp, prior to her receiving the Honorary Degree at the Saturday commencement ceremony. All Trustees will receive invitations to the reception.

Football Program Update. Mr. Wilson asked Mr. Hill to introduce Coach Healy to update the Board on the football program. Coach Healy expressed his excitement that this past season was a historic one for UNC Charlotte football, with the team making it to a bowl game. Coach Healy shared that he is committed to teaching student-athletes that they have identities outside of football and helping them know that they can be successful with or without football. Coach Healy reported that the program is still undergoing changes, as he will add at least three new coaches and many new athletes. Coach Healy concluded by thanking the Board for giving him the opportunity.

Campus Recovery Perceptions. Ms. Penhall began her presentation by showing a video to give some perspective to those trustees who were not onboard at the time of the shooting. Next, she shared media mentions related to April 30. Ms. Penhall then explained the results of a study administered in November by our communications partner, CRA, to determine the campus community's perceptions of how well the University is addressing the needs of its community after the shooting, and communication preferences and suggestions. Discussion ensued about the differences between issues on and off campus in the surrounding area.

Legislative Update. Ms. Doster gave an update on the status of the budget, reporting that there is no change since her December report. Ms. Doster informed the Board that the legislature goes back in session on April 28 for another chance to revisit the budget. Ms. Doster thanked Board members who have addressed the budget with legislators and the Governor.

Chancellor Dubois asked Ms. Doster to explain the resolution regarding House Bill 966 and Senate Bill 354 to segue into the action required from the Board on the next agenda item.

Resolution Regarding House Bill 966 and Senate Bill 354. Ms. Doster provided an overview of the resolution that was adopted by the UNC Board of Governors, which called for the Boards of Trustees of the constituent institutions to adopt concurring resolutions.

Mr. Wilson asked for a motion to adopt the Resolution of the Board of Trustees of the University of North Carolina at Charlotte.

Action. On a motion by Mr. Bunker, seconded by Ms. DeVore, the Board unanimously voted to adopt the Resolution of the Board of Trustees of the University of North Carolina at Charlotte.

One IT Reorganization. Dr. Carlin gave a presentation about the reorganization of the IT Department, after the Office of the State Auditor identified risks in 2017 associated with the University's existing distributed IT model, with the intent to ensure great security and controls, and strengthen IT operations and service delivery.

Dr. Carlin shared results of the BerryDunn two-year assessment of IT. Dr. Carlin reported that Chancellor Dubois accepted the BerryDunn recommendations to create a new Office of OneIT. The University will begin a multi-year implementation journey, moving slowly but intentionally toward implementation of the new IT structure. One IT will be operational on July 1, 2020. Discussion ensued about the new organizational structure.

Report of the University Faculty Council. Mr. Wilson reported that Mr. Avrin was absent due to illness and directed the Board to the Faculty Council report in the Board book.

Report of the Student Government Association. Mr. Crean reported that the application period for new student body senators and class presidents will run through February 24. Student elections will take place on March 25, 2020 and new SGA officers will be sworn in the second week of April. Mr. Crean concluded with updates on remaining SGA initiatives, including the implementation of eco-friendly commencement robes, the State of the University address, and the E-Scooter pilot program.

Report of the University Staff Council. Ms. Corpening provided an update on the recent activities of the Staff Council. Ms. Corpening reported that it is the Council's goal to make staff more aware of the Staff Council through awareness initiatives such as revamping their image inclusive of their new logo, their spotlight initiative, and updating the Council website.

Report of the Executive Committee. Mr. Wilson reported that he updated the Committee on the status of the Chancellor Search process, and reiterated the Board's role in approving the Search Committee's recommendation of the final slate to be forwarded to the UNC System President. Mr. Wilson indicated that if everything goes as planned, we hope to have an announcement of the new Chancellor on April 17.

Mr. Wilson also reported that Ms. Rouse briefed the Committee on the Nominating Committee's charge to present a slate of Board officers for next year.

Report of the Academic and Student Affairs Committee. Mr. Bunker reported that the Committee received a presentation on the new student organization for Esports. Discussion ensued about the details of the organization and its governance.

The Committee also received a presentation on unemployment shock and the individual economic forces that push men into female dominated careers.

Report of the Athletics Committee. Mr. Keeter reported that Coach Healy had spoken to the Athletics Committee about success, sharing the fact that we have three players in the NFL Combine. Mr. Keeter also reported that the Committee received updates on the Athletics program from Mr. Hill. Committee Chair Mr. Young, who attended the Committee meeting via telephone but was present for the full board meeting, commended Mr. Hill and the Athletic Department on the year's achievements and accomplishments, which have exceeded all expectations.

Report of the Facilities and Physical Properties Committee. Mr. Klein reported that the Committee discussed plans for campus infrastructure projects and Center City parking updates, and received updates on current capital projects.

Mr. Klein then reported that the Committee had approved two requests for authorization that required a formal Board vote.

Mr. Klein presented a motion from the Committee for authorization for advanced planning for a remembrance memorial with an advance planning budget of \$200,000 and a project cost of \$2,000,000.

Action. The Board unanimously approved the authorization for advanced planning for a Remembrance Memorial with an advanced planning budget of \$200,000 and a project cost of \$2,000,000.

Mr. Klein presented a motion from the Committee for authorization for a capital project for the Cone Center North roof replacement with a project budget of \$400,000.

Action. The Board unanimously approved the authorization for a capital project for the Cone Center North roof replacement with a project budget of \$400,000.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Ms. DeVore reported that the Committee was briefed on the financial statement audit report conducted by the Office of the State Auditor and that the University received very positive feedback from the State Auditor who indicated that UNC Charlotte had the fewest changes in the system from what was originally submitted. The Committee was also briefed on the annual financial audits of UNC Charlotte's affiliated entities and the NCAA Agreed-Upon procedures.

Ms. Devore reported that the Committee had robust discussions with Ms. Walker about the 2020 internal audit plan and several other items, and with Ms. Burgess about HIPAA privacy compliance.

Motion to Convene in Closed Session. Mr. Wilson requested a motion to convene in closed session.

Action. On a motion by Ms. DeVore, seconded by Mr. Bunker, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);
- c. pursuant to N.C.G.S. §143-318.11 (a)(6), to consider the qualifications,

competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee.

Closed Session

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Open Session

Adjourn. There being no further business before the Board, Mr. Wilson adjourned the meeting.

Submitted: Kim S. Bradley 4/2/2020
Date
Kim S. Bradley
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved: Michael L. Wilson 04.02.2020
Date
Michael L. Wilson
Chair
UNC Charlotte Board of Trustees