



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Wednesday, February 16, 2022, 8:00–9:30 a.m.

Popp Martin Student Union, Third Floor, Room A-F

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.
Christine Katziff, Chair

2. Approval of the December 15, 2021, Meeting Minutes 8:01 a.m.
Christine Katziff, Chair
Documents: [December 15, 2021, Meeting Minutes—Open Session](#)
[December 15, 2021, Meeting Minutes—Closed Session](#)

3. Report on 2020-2021 External Audits 8:02 a.m.
Anne Brown, Associate Vice Chancellor for Finance
Amy Hisler, Controller
Greg Verret, Director of Treasury Services
Zach Rogers, Financial Audit Manager, Office of the State Auditor
Documents: [The University of North Carolina at Charlotte Financial Statement Audit Report for the Year Ended June 30, 2021](#)
Annual Financial Audits of Affiliated Entities:
 - [The Foundation of the University of North Carolina at Charlotte, Inc. Consolidated Financial Statements for the Years Ended June 30, 2021 and 2020, and Independent Auditor’s Report](#)
 - [The Athletic Foundation of the University of North Carolina at Charlotte Financial Statements for the Years Ended June 30, 2021 and 2020, and Independent Auditor’s Report](#)
 - [The University of North Carolina at Charlotte Facilities Development Corporation, Inc. Financial Statements for the Years Ended June 30, 2021 and 2020, and Independent Auditor’s Report](#)

- [The University of North Carolina at Charlotte Investment Fund, Inc. Financial Statements for the Years Ended June 30, 2021 and 2020, and Independent Auditor's Report](#)

4. National Collegiate Athletics Association (NCAA) Agreed-Upon Procedures 8:12 a.m.
Amy Hisler, Controller
Greg Verret, Director of Treasury Services
Darin Spease, Deputy Athletic Director
Document: [Independent Accountant's Report on Applying Agreed-Upon Procedures](#)

5. Internal Audit Update 8:17 a.m.
Jennifer Walker, Chief Audit Officer
Documents: [FY 2022 Audit Plan Update](#)
[Summary of Internal Audit Reports](#)
[Issued Internal Audit Findings Exception Report](#)

6. Enterprise Risk Management (ERM) Update - Auxiliary Financials 8:32 a.m.
Steven Dunham, Chief Risk Officer
Document: [Enterprise Risk Management \(ERM\) Dashboards](#)

7. Motion to Convene in Closed Session 8:47 a.m.
Christine Katziff, Chair
 - a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
 - b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3);
 - c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6); and
 - d. to prevent the disclosure of sensitive public security information, as defined in N.C.G.S. §132-1.7(a).

Closed Session

8. Enterprise Risk Management (ERM) Update – IT Security 8:48 a.m.
Steven Dunham, Chief Risk Officer
Mike Carlin, Vice Chancellor for OneIT and Chief Information Officer
Jesse Beauman, Assistant Vice Chancellor for Enterprise Infrastructure & Deputy Chief Information Officer
Document: Enterprise Risk Management (ERM) Dashboards

9. Report on Litigation and Administrative Case Updates 8:58 a.m.
Sam Sears, Associate Vice Chancellor and Deputy General Counsel

10. Billing Investigation Update and IT Audit Report 9:03 a.m.
Jennifer Walker, Chief Audit Officer

11. Title IX Office Update 9:13 a.m.
Michelle Reinken, Director of Title IX Compliance/Title IX Coordinator
Document: Title IX Office Update

12. Motion to Resume in Open Session 9:28 a.m.
Christine Katziff, Chair

Open Session

13. Approval of the Resolution of the Audit, Compliance, and Enterprise Risk 9:28 a.m.
Management Committee - Support for Mandatory Title IX Training at
UNC Charlotte
Christine Katziff, Chair
Document: [Resolution of the Audit, Compliance, and Enterprise Risk Management
Committee – Support for Mandatory Title IX Training at UNC Charlotte](#)

14. Adjourn 9:30 a.m.
Christine Katziff, Chair

Members

Christine Katziff, Chair
Carlos Sanchez, Vice Chair
Dennis Bunker III
Sasha Weintraub
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Wednesday, February 16, 2022 9:35–10:35 a.m.
Popp Martin Student Union, Third Floor, Room A-F

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan Devore, Chair
2. Approval of Previous Meeting Minutes
Susan Devore, Chair
Documents: [December 15, 2021, Meeting Minutes - Open Session](#) December 15, 2021, Meeting Minutes - Closed Session
3. Approval of Plan to Establish the Steven Ott Distinguished Professor in Real Estate
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: [Letter to Chancellor and Plan to Establish the Steven Ott Distinguished Professor in Real Estate](#)
4. Nominating Process
Susan Devore, Chair
5. Motion to Convene in Closed Session
Susan Devore, Chair
 - a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
 - b. prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);

- c. *to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2);*
- d. *to establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);*

Closed Session

- 6. Quarterly Report of Faculty Actions and Salary Adjustments
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Quarterly Report of Faculty Actions
Quarterly Report of Faculty Salary Adjustments
- 7. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
Sharon Gaber, Chancellor
Documents: Quarterly Report of EHRA Non-Faculty Actions
Quarterly Report of EHRA Non-Faculty Salary Adjustments
- 8. DSA Award Update
Sharon Gaber, Chancellor
- 9. Honorary Degree Update
Sharon Gaber, Chancellor
- 10. Conferral of Emeritus Status
Sharon Gaber, Chancellor
- 11. Motion to Resume in Open Session
Susan Devore, Chair

Open Session

- 12. Other Business
Susan Devore, Chair
- 13. Adjourn
Susan Devore, Chair

Executive Committee Members

Susan DeVore, Chair
Dick Beekman
Dennis Bunker III
Christine Katziff
Brett Keeter
Fred W. Klein Jr.
Carlos Sanchez
Teross W. Young Jr.



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting

Wednesday, February 16, 2022, 10:40-11:40 a.m.

Popp Martin Student Union, Room 261

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Brett Keeter, Chair
2. Approval of the December 15, 2021, Meeting Minutes
Brett Keeter, Chair
Document: [December 15, 2021, Meeting Minutes](#)
3. Resolution Regarding Residence Status of Students Receiving Full Scholarships
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: [Resolution: Residence Status of Students Receiving Full Scholarships](#)
Document: [Guideline on the Residence Status of Students Receiving Full Scholarships](#)
Document: [North Carolina General Statute 116-143.6](#)
4. Veteran Services
Kevin W. Bailey, Vice Chancellor for Student Affairs
Bill Wilson, Director of Veteran Services
Document: [Veteran Services Office](#)
5. Pandemic-Related Research and Bioinformatics Research Center
Rick Tankersley, Vice Chancellor for Research and Economic Development
Daniel Janies, Carol Grotnes Belk Distinguished Professor of Bioinformatics and Genomics
Adam Reitzel, Professor, Department of Biological Sciences
Document: [Bioinformatics Research Center](#)
6. Other Business
Brett Keeter, Chair
7. Adjourn
Brett Keeter, Chair

Members

Brett Keeter, Chair

Carlos Sanchez, Vice Chair

Wendy Grubbs

Dontá Wilson

Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting

Wednesday, February 16, 2022, 10:40-11:40 a.m.
Popp Martin Student Union, Room 265

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Teross Young Jr., Chair
2. Approval of the December 15, 2021, Meeting Minutes
Teross Young Jr., Chair
Document: [December 15, 2021, Meeting Minutes](#)
3. Men's Soccer Recap/Look Ahead
Kevin Langan, Head Men's Soccer Coach
4. Athletic Department Update
Mike Hill, Director of Athletics
5. AAC Ramp Up/Annual Giving Campaign
Kelly Weatherman, Associate AD for Development Administration
Document: [Athletic Foundation](#)
6. Academic Report
Mark Verburg, Director of Athletic Academic Center
Document: [Athletic Academic Report](#)
7. Other Business
Teross Young Jr., Chair
8. Adjourn
Teross Young Jr., Chair

Members

Teross W. Young Jr., Chair

Sharon Decker, Vice Chair

Dick Beekman

Sasha Weintraub

Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Finance and Physical Properties Committee Meeting

Wednesday, February 16, 2022, 10:40 a.m.– 11:40 a.m.

Popp Martin Student Union, Room I

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:40 a.m.
Fred W. Klein, Chair

2. Approval of Meeting Minutes 10:41 a.m.
Fred W. Klein, Chair
Document: [December 15, 2021, Meeting Minutes](#)

3. Systems Performance Funding Model 10:42 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Document: [Proposed System Changes to Funding Model](#)

4. UNC Charlotte Budget Update 11:00 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Ken Smith, Associate Vice Chancellor for Budget
Document: [UNC Charlotte Comparative Financial Information: Operating Budgets](#)

5. Request to Dispose of Real Estate by Ground-Lease 11:15 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Student Outdoor Event Venue and Tailgating Park](#)

6. Request for Ratification of Designer Selection – Campus Security 11:25 a.m.
Cameras- Phase 1
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Documents: [Campus Security Cameras -Phase 1](#)

7. Request for Ratification of Designer Selection – Parking Deck Repairs 11:27 a.m.
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Parking Deck Repairs](#)
8. Request for Ratification of Designer Selection – Lot 25 Renewal 11:30 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Lot 25 Renewal](#)
9. Capital Improvement Projects Update 11:35 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Interim Associate Vice Chancellor for Facilities Management
Document: [Capital Improvement Projects Update](#)
10. Adjournment 11:40 a.m.
Fred W. Klein, Chair

Members

Fred W. Klein, Chair
David Mildenberg, Vice Chair
Dennis Bunker
Christine Katziff
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Wednesday, February 16, 2022
Popp Martin Student Union, Third Floor, Room A-F

Schedule

8:00–9:30 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting <i>Popp Martin Student Union, Third Floor, Room A-F</i>
9:30–10:35 a.m.	Executive Committee Meeting <i>Popp Martin Student Union, Third Floor, Room A-F</i>
10:40–11:40 a.m.	Academic and Student Affairs (ASA) Committee Meeting <i>Popp Martin Student Union, Room 261</i>
10:40–11:40 a.m.	Athletics Committee Meeting <i>Popp Martin Student Union, Room 265</i>
10:40–11:40 a.m.	Finance and Physical Properties Committee (FPPC) Meeting <i>Popp Martin Student Union, Third Floor, Room I</i>
11:40 a.m.–12:15 p.m.	Lunch Break <i>Popp Martin Student Union, Third Floor, Room A-F</i>
12:15–3:30 p.m.	Board Will Convene in the Committee of the Whole <i>Popp Martin Student Union, Third Floor, Room A-F</i>

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan DeVore, Chair
2. Introductions and Announcements
Susan DeVore, Chair

3. Approval of Previous Meeting Minutes
Susan DeVore, Chair
Documents: [December 15, 2021, Meeting Minutes - Open Session](#) December 15, 2021, Meeting Minutes - Closed Session
4. Remarks by the Board of Governors Liaison
Mark Holton, Board of Governors Liaison
5. Chancellor's Report
Sharon Gaber, Chancellor
6. Campus Safety and Security
John Bogdan, Associate Vice Chancellor for Safety and Security
Jeffrey Baker, Chief of Police
Document: [Safety and Security Update](#)
7. Report of the University Faculty Council
Susan Harden, Faculty Council President
Document: [Faculty Council Report](#)
8. Report of the University Staff Council
Celeste Corpening, Staff Council Chair
Document: [Staff Council Report](#)
9. Report of the Student Government Association
Dick Beekman, Student Body President and Trustee
Document: [Student Government Association Updates](#)
10. Report of the Executive Committee
Susan DeVore, Chair
11. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair
12. Report of the Academic and Student Affairs Committee
Brett Keeter, Chair
13. Report of the Athletics Committee
Teross Young Jr., Chair
14. Report of the Finance and Physical Properties Committee
Fred Klein, Chair
15. Motion to Convene in Closed Session
Susan DeVore, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S. §143-318.11 (a)(6);
- c. to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11 (a)(2);

Closed Session

16. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair
17. Report of the Executive Committee
Susan DeVore, Chair
18. Conferral of Emeritus Status
Sharon Gaber, Chancellor
19. Motion to Resume in Open Session
Susan DeVore, Chair

Open Session

20. Board Self-Assessment
Susan DeVore, Chair
David Maxwell, PhD, AGB Senior Consultant and Senior Fellow
Document: [UNC Charlotte Board of Trustees Self-Assessment Workshop](#)
21. Other Business
Susan DeVore, Chair
22. Adjourn
Susan DeVore, Chair

Members of the Board of Trustees

Susan D. DeVore, Chair
Dick J. Beekman
Dennis N. Bunker III
Sharon Allred Decker
Wendy J. Grubbs
Christine P. Katziff
J. Brett Keeter

Fred W. Klein Jr.
David W. Mildenberg
Carlos E. Sanchez
Sasha J. Weintraub
Dontá L. Wilson
Teross W. Young Jr.

