



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Friday, February 19, 2016, 8:00–9:30 a.m.
Student Union, Room 263

Open and Closed Session Minutes

Present

Catherine P. Bessant, Chair
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson
Karen A. Popp, *ex officio*

Staff /Guests in Attendance

Philip L. Dubois, Chancellor
Donna Allen, Audit Supervisor, Office of the State Auditor (via conference call)
Jeff Baker, Chief of Police
Dave Broome, Vice Chancellor and General Counsel
Susan Burgess, Director of Compliance
Cathy Clark, Audit Manager, Office of the State Auditor (via conference call)
Sarah Edwards, Assistant General Counsel
Paul Forte, Associate Vice Chancellor for Finance
Chris Gonyar, Director of Business Continuity Planning
Beth Hardin, Vice Chancellor for Business Affairs
Jane Johansen, Director of Treasury Services
Hank James, Associate Vice Chancellor for Risk Management, Safety, and Security
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Krista Newkirk, Chief of Staff and Assistant Secretary to the Board
Tricia Palmer, Lead Forecaster, National Weather Service
Raheel Qureshi, Staff Auditor, Internal Audit
Tony Sturey, Warning Coordination Meteorologist, National Weather Service
Lt. Brian Thomas, Police and Public Safety
Bob Wilhelm, Vice Chancellor for Research and Economic Development
Laura Williams, University Controller
Tom York, Director of Internal Audit

Call to Order. Ms. Bessant called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General

Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Ms. Bessant requested action on the December 3, 2015, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Action. On a motion by Ms. Rouse, seconded by Ms. Schulte, the Committee approved the December 3, 2015, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Internal Audit Update. Mr. Qureshi introduced Donna Allen and Cathy Clark, Audit Supervisor and Audit Manager for the North Carolina Office of the State Auditor. Ms. Clark stated that the Office of the State Auditor had recently completed the fiscal year 2015 financial statement audit for UNC Charlotte and has completed the fieldwork for a UNC Charlotte student financial assistance cluster audit. Ms. Clark reviewed UNC Charlotte's management responsibilities related to the audit, the auditing process, and the auditors' responsibilities. Ms. Clark stated that the Office of the State Auditor reviewed the University's financial statements but did not express an opinion on the effectiveness of the University's financial controls. Ms. Clark reported that the Office of the State Auditor found the University's financial statements to be fair in all respects and that no findings resulted from the audit, and she stated that the Office of the State Auditor has a very cooperative relationship with the University's financial reporting team. Ms. Clark indicated that she had sent a letter describing the results of the financial statement audit to former Audit, Compliance, and Enterprise Risk Management Committee Chair Joe L. Price. Ms. Bessant asked Ms. Clark whether she would like to make any comments in addition to the information in the letter. Ms. Clark had no further comments. Mr. Forte stated that the State Auditor's office had been particularly helpful in reviewing a recent pension adjustment, which he stated was the most significant change in the University's financial statements. Ms. Bessant thanked the University's financial team for their excellent work.

Mr. Qureshi stated that external auditors had completed the annual audits of the Foundation of the University of North Carolina at Charlotte, the Athletic Foundation of the University of North Carolina at Charlotte, the University of North Carolina at Charlotte Facilities Development Corporation, the University of North Carolina at Charlotte Investment Fund, and Ventureprise, with no findings noted.

Mr. Qureshi reviewed the Internal Audit Plan for fiscal year 2016, summarized Internal Audit reports issued since December 2015, and reviewed the Internal Audit Active Findings Report. Ms. Bessant asked when the remaining unresolved findings were expected to be completed. Mr. Qureshi stated that the team is making an effort to resolve all findings by the end of March. Mr. York stated that Housing and Residence Life encountered unanticipated obstacles in resolving a finding related to information technology compliance and that Internal Audit had determined that the finding should remain open. Mr. Price asked whether the findings were primarily related to student information, and Mr. York stated that the findings were related to the physical location of servers and that he does not believe that any student information is at risk.

Mr. Qureshi also presented the Internal Audit balanced scorecard. Ms. Schulte asked Mr. Qureshi to explain the "percentage of findings resolved on time," and Mr. Qureshi stated that findings considered closed on time were closed by the date that the audit client provided in

response to the finding. Mr. York stated that as part of the correction action from the Quality Assurance Review in 2013 Internal Audit began tracking completion dates to ensure that audit clients closed out findings in a timely fashion. Ms. Schulte asked whether the completion rate of 60 percent is indicative of audit clients' attention to resolving findings, and Mr. York stated that the process of holding clients accountable to the completion date they set is a new part of the process but that clients often encounter unexpected obstacles to completing their corrective actions. Ms. Hardin stated that the framework of internal audits has changed in the last ten years and that the Internal Audit team is now auditing departments that had never been audited before and are unfamiliar with the audit process. Mr. York stated that audit clients now know that if their audit results in findings their management will be required to attend an Audit, Compliance, and Enterprise Risk Management Committee meeting to explain their plan for completing corrective actions and that element of the process provides an incentive to resolve findings on time.

Mr. Qureshi summarized an article titled "To Audit Emerging Risks, We May Have to Leave Our Comfort Zone," which was included in the boardbook for the Committee.

Compliance Update. Ms. Burgess stated that she is focusing on compliance in two main areas: Department of Defense cybersecurity regulations issued in August 2015 in response to recent large data breaches, and Title IX. Ms. Burgess stated that the new regulations expanded the types of information that have to be secured and require the reporting of breaches to the Department of Defense. Ms. Burgess stated that although the University does not maintain the covered types of data on its servers, and therefore the risk of a breach would be very low, a small risk exists from the possibility of data being downloaded illegally. Ms. Burgess stated that the University's data security plans are being updated in response to the new regulations. Ms. Bessant asked whether faculty are compliant with data security initiatives and Ms. Burgess stated that she has encountered no resistance from faculty.

Ms. Burgess stated that she is serving as the interim Title IX coordinator and that a search is underway for a permanent coordinator. Ms. Burgess stated that the Title IX office was very busy when she stepped into the interim position but that the caseload has since been reduced. Mr. Broome stated that at least 23 cases have been closed. Ms. Burgess stated that the addition of a second investigator and a case manager is being considered. Ms. Burgess stated that Campus Clarity's online course titled "Think About It" is available to students but that participation has been very low and she is considering options for improving participation.

StormReady Presentation. Tony Sturey, Warning Coordination Meteorologist with the National Weather Service, introduced himself and explained that the StormReady status that UNC Charlotte recently earned is a voluntary program that recognizes the preparation of a community or campus for weather-related emergencies. Mr. Sturey thanked the Committee and the Chancellor for the opportunity to present the StormReady designation during the meeting and stated that the University should be very proud of the exceptional work accomplished by its Police and Public Safety and Emergency Management departments.

Motion to Convene in Closed Session. Ms. Bessant called for a motion to convene in closed session.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee.*

Ms. Bessant excused all attendees except the Committee members, Chancellor Dubois, Mr. Broome, Ms. Burgess, Dr. Lorden, Ms. Newkirk, and Ms. Ward.

Closed Session

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Motion to Resume in Open Session. Ms. Bessant requested a motion to resume in open session.

Action. On a motion by Mr. Wilson, seconded by Ms. Popp, the meeting resumed in open session.

Open Session

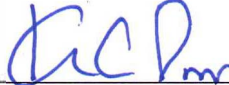
Internal Audit Executive Session. Deferred to next meeting.

Motion to Adjourn. Ms. Bessant requested a motion to adjourn.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the meeting was adjourned.

Submitted:  4/28/2016

Krista L. Newkirk Date
Assistant Secretary
UNC Charlotte Board of Trustees

Approved:  4-28-16

Catherine P. Bessant Date
Chair
Audit, Compliance, and Enterprise
Risk Management Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting
Student Union, Room 263
Friday, February 19, 2016, 9:30 a.m.

Open and Closed Session Minutes

Present

Karen A. Popp, Chair
Joe L. Price, Vice Chair
Catherine P. Bessant
Theresa J. Drew
Dhiaa Jamil
Laura A. Schulte
Michael L. Wilson

Staff /Guests in Attendance

Philip L. Dubois, Chancellor
David Broome, Vice Chancellor and General Counsel
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Beth Hardin, Vice Chancellor for Business Affairs
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Krista Newkirk, Chief of Staff and Assistant Secretary to the Board
Judy Rose, Director of Athletics
Niles Sorensen, Vice Chancellor for Advancement
Jennifer Ward, Liaison to the Board of Trustees and the Cabinet

Call to Order. Ms. Popp called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Ms. Popp requested action on the December 3, 2015, Executive Committee meeting minutes.

Action. On a motion by Mr. Price, seconded by Mr. Jamil, the Committee approved the December 3, 2015, Executive Committee minutes.

Naming Policy (Orientation). Mr. Sorensen reviewed proposed changes to University Policy 602.9, Naming Opportunities. Ms. Bessant asked for further explanation of how the University will handle the next generation of naming rights, particularly the re-naming of existing buildings and other campus features. Mr. Sorensen stated that the University will work with donors to find appropriate solutions. Mr. Sorensen, Ms. Bessant, and Ms. Drew all commented that the University should plan for higher naming thresholds for the future and discussed termination dates for naming rights. Ms. Popp stated that action on the policy revision would be requested in the full Board of Trustees meeting.

Revision of Board of Trustees Bylaws. Ms. Newkirk stated that the Bylaws of the Board of Trustees of the University of North Carolina at Charlotte had been updated to reflect the new naming policy. Ms. Newkirk reviewed the changes. Ms. Popp stated that approval of the revised Bylaws would be requested in the full Board of Trustees meeting.

Board of Trustees Quadrennial Self-Assessment. Ms. Popp stated that UNC Policy 200.4 requires the Board of Trustees to complete a self-assessment every four years. Ms. Popp explained that a self-evaluation instrument would need to be created and that the Trustees could choose to engage a consultant to create the self-evaluation instrument and conduct the self-assessment. Ms. Popp stated that once the Trustees decide how the self-evaluation will be conducted and establish a self-evaluation instrument, she will inform UNC President Spellings of the Board's plan and will also report the results of the self-evaluation once the process has been completed. The Trustees agreed that the use of a consultant would be appropriate. Ms. Popp stated that a consultant could help benchmark the Board's work and help the Board begin to consider future trends and concerns. Mr. Price suggested that the Trustees should review the draft self-evaluation survey once it is prepared.

Motion to Convene in Closed Session. Ms. Popp requested a motion to convene in closed session.

Action. On a motion by Ms. Schulte, seconded by Ms. Bessant, the Committee convened in closed session pursuant to the following General Statute of the State of North Carolina:

- a. *N.C.G.S. §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee.*

Closed Session

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Motion to Resume in Open Session. Ms. Popp requested a motion to resume in open session.

Action. On a motion by Ms. Drew, seconded by Ms. Bessant, the meeting resumed in open session.

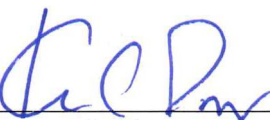
Open Session

Faculty Tenure Action. Ms. Popp requested a motion to approve the faculty tenure action as discussed in closed session.

Action. On a motion by Ms. Bessant, seconded by Mr. Wilson, the Committee voted to approve the faculty tenure action as discussed in closed session.

Adjourn. There being no further business before the Committee, Ms. Popp adjourned the meeting.

Submitted:  4/28/2016
Krista L. Newkirk Date
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved:  4-28-16
Karen A. Popp Date
Chair
UNC Charlotte Board of Trustees



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting
Friday, February 19, 2016, 10:30 a.m.–11:30 a.m.
Student Union, Room 263

Open Session Minutes

Present

Laura A. Schulte, Chair
Susan D. DeVore
Mary Ann Rouse
Michael L. Wilson

Staff in Attendance

Mike Carlin, Vice Chancellor for Information Technology and Chief Information Officer
Shannon Homesley, Executive Assistant, Academic Affairs
Art Jackson, Vice Chancellor for Student Affairs
Rowanne Joyner, Chief Information Security Officer
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Mary Lou Maher, Professor and Chair, Department of Software and Information Systems, and
Director of the Center for Education Innovation
Krista Newkirk, Chief of Staff and Assistant Secretary to the Board
Jay Raja, Associate Provost for Academic Affairs
Bob Wilhelm, Vice Chancellor for Research and Economic Development

Call to Order. Ms. Schulte called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. Schulte requested action on the minutes of the December 3, 2015, and December 14, 2015, meetings of the Academic and Student Affairs Committee.

Action. On a motion by Mr. Wilson, seconded by Ms. DeVore, the Committee approved the December 3, 2015, and December 14, 2015, Academic and Student Affairs Committee meeting minutes.

ITS: Meeting the ISO Standards and Ensuring Security and Access. Ms. Joyner presented the University's plan for managing information technology security risks. Ms. Joyner stated that faculty,

staff, and students can report security incidents via email or to the IT Service Desk. Ms. Joyner stated that reported incidents are investigated by either the ITS security services team or the chief information security officer, depending on the level of severity, and in accordance with institutional procedures and policies. Ms. Joyner stated that incidents are analyzed to determine root cause, corrective actions taken, steps to prevent recurrence, and the estimated cost of the incident. Ms. Joyner indicated that improved tools, software, training, and communication strategies have improved the campus community's ability to reduce risk and to report incidents that arise.


Research and Economic Development Spotlight. Dr. Wilhelm discussed degrees in Software and Information Systems, including possible concentrations in Information Security, Software Design, Human and Computer Interactions, and Geographical Information Systems. Dr. Wilhelm stated that the National Security Agency has recognized the Security program as a National Center of Academic Excellence in Information Assurance Education. Dr. Wilhelm introduced Dr. Maher, who discussed human-centered design, which she described as a creative approach to problem solving. Dr. Maher described computer science research that focuses on developing systems tailored to the needs of individual users, and she demonstrated how computers can be used to free people from keyboard-only interfacing, which she stated could result in the improved development of ideas and better communication.

Motion to Adjourn. Ms. Schulte requested a motion to adjourn.

Action. On a motion by Ms. Rouse, seconded by Mr. Wilson, the meeting was adjourned.

Adjourn. There being no further business before the committee, Ms. Schulte adjourned the meeting.

Submitted:  11/30/16
Joan F. Lorden Date
Provost and Vice Chancellor for Academic Affairs

Approved:  11/30/16
Laura A. Schulte Date
Chair
Academic and Student Affairs Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting
Friday, February 19, 2016, 10:30 a.m.
Student Union, Room 264

Open Session Minutes

Present

Dhiaa Jamil, Chair
Catherine P. Bessant
Mitchell J. Daratony
David L. Hauser
Karen A. Popp, *ex officio*

Staff in Attendance

Susan Burgess, Director of Compliance
Scott Byrd, Assistant Athletic Director for Compliance and Championships
Holly Clark, Head Women's Golf Coach
Mike Hummer, Executive Director, Athletic Foundation
Sam Lopez, Director of Multicultural Academic Services
Allison Roach, Executive Assistant, Athletics
Judy Rose, Director of Athletics
Darin Spease, Senior Associate Athletic Director
Marlon Thomas, Student
Chris Thomasson, Senior Associate Athletic Director
Kim Whitestone, Senior Associate Athletic Director

Call to Order. Mr. Jamil called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Jamil requested action on the minutes of the December 3, 2015, meeting of the Athletics Committee.

Action. On a motion by Mr. Hauser, seconded by Mr. Daratony, the Committee approved the December 3, 2015, Athletics Committee meeting minutes.

Women's Golf Update. Head Coach Holly Clark reviewed the vision for the women's golf program, which is slated to begin competition in 2017.

Football Game Attendance: Requirements for Football Bowl Subdivision (FBS) and Audit (Orientation). Mr. Thomasson reviewed the results of an FBS audit of football game attendance and reported no findings. Mr. Thomasson stated that average attendance at UNC Charlotte home football games during the fall 2015 season was 15,146, slightly above the average of 15,000 that the NCAA bylaws require for FBS.

Athletic Foundation Audit. Mr. Hummer reviewed the Independent Auditor's Report of the 2014-2015 Athletic Foundation financial audit and reported no findings.

NCAA Agreed-Upon Procedures. Mr. Spease reviewed the Independent Accountant's Report on Applying Agreed-Upon Procedures and reported no findings.

Minority Issues Committee Report. Mr. Lopez presented the report of the Minority Issues Committee and reported no problems overall with minority issues within Athletics. Mr. Lopez reported that the Minority Issues Committee has made the following recommendations:

- Conduct a survey of student-athletes to ascertain how many are affected by academic and athletic scheduling conflicts related to courses, internships, majors, and other factors.
- Change the student-athlete focus group session to the fall semester and schedule a follow-up session in the spring semester if needed.
- Introduce the Minority Issues Committee and its representatives to new student-athletes through the freshman seminar course in the fall semester and require coaches to provide verbal and written information on how student-athletes can contact and/or interact with the Minority Issues Committee.
- Schedule monthly office hours for Minority Issues Committee members in the Athletic Training and Academic Center in the Rose Complex.

Degree Completion. Marlon Thomas, a former UNC Charlotte basketball player, spoke to the Committee about his years as a student-athlete at UNC Charlotte, his decision to leave the University and join the workforce after his eligibility expired, and his eventual decision to return to college as an adult to complete his degree. The members of the Committee thanked Mr. Thomas for sharing his story.

Athletic Directors Update. Ms. Rose reported on spring sports records, hazing training, and a recent Conference USA site visit. Ms. Rose reported that the site visit went well and resulted in no major findings or areas of concern, and that a report on the visit would be forthcoming. Ms. Rose stated that Athletics staff continued their hazing prevention training at the Athletics annual staff meeting and that student-athletes participated in hazing prevention training during their preseason meetings.

Athletics Committee Annual Report Schedule. No changes were made to the Committee calendar.

Adjournment. There being no further business, Mr. Jamil adjourned the meeting.

Submitted: 

Judy Rose
Director of Athletics

Approved: 

Dhiaa Jamil, Chair
Athletics Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting
Thursday, February 19, 2016, 10:30 a.m.
Student Union, Room 263

Open Session Minutes

Present

Theresa J. Drew, Chair
Fred W. Klein (via phone)
Joe L. Price
Sharon Allred Decker

Staff/Guests in Attendance

Philip L. Dubois, Chancellor
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
David Broome, Vice Chancellor and General Counsel
Beth Hardin, Vice Chancellor for Business Affairs
Jim Hoppa, Associate Vice Chancellor for Student Union, Activities, and Recreation
Paul Forte, Associate Vice Chancellor for Financial Services
Phil Jones, Associate Vice Chancellor for Facilities Management
Jane Johansen, Director of Treasury Services
Peter Franz, Campus Landscape Architect
Chris Bates, Assistant Vice Chancellor for Administration, Business Affairs
Nelda Tatum, Executive Assistant, Business Affairs

Call to Order. Ms. Drew called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of the Minutes. Ms. Drew requested action on the December 3, 2015, minutes of the Facilities and Physical Properties Committee.

Action. On a motion by Mr. Price, seconded by Mr. Klein, the Committee unanimously approved the December 3, 2015, Facilities and Physical Properties meeting minutes.

Belk Tower Redesign. Chancellor Dubois and Mr. Franz updated the Committee on the Belk Plaza site redesign. Mr. Franz stated that LandDesign has been hired to provide recommendations for an improved aesthetic design of the site. Mr. Franz stated that a public forum was held on February 8 to gather input on the design and a second forum will be held April 4. Mr. Franz stated that the suggestions made by the campus community to date incorporate water features, food service concepts, and music. Chancellor Dubois stated that Belk Plaza has traditionally been considered a free speech zone. Mr. Franz stated that a final redesign concept is expected by May 2016.

Request for Capital Project Authorization—Campus Circulation Improvements. Ms. Hardin stated that the Campus Circulation Improvements project will provide site improvements to accommodate increased and changed pedestrian and vehicular patterns associated with the Charlotte Area Transit System (CATS) light rail extension. Ms. Hardin stated that improvements will be made to complement the main CATS station on campus and the J.W. Clay Station, with new and improved sidewalks, bus and taxi pull-offs, and signage. Ms. Hardin stated that the project authorization is \$1 million and that the project's projected completion date of summer 2017 will coincide with the CATS completion of the light rail line.

Ms. Drew requested a motion to recommend that the Board of Trustees approve the Campus Circulation Improvements capital project with a budget of \$1 million.

Action. On a motion by Ms. Decker, seconded by Mr. Price, the Committee unanimously recommended that the Board of Trustees authorize the University to undertake a capital improvement project, Campus Circulation Improvements, with a budget of \$1 million.

Request for Capital Project Authorization—West Substation. Ms. Hardin noted that electricity for the entire University currently relies on a single substation on the east side of campus. Ms. Hardin stated that construction and operation of the west substation will allow for continued service in the event of a failure at the east substation. Ms. Hardin stated that the budget for west substation construction is \$6.5 million.

Ms. Drew requested a motion to recommend that the Board of Trustees approve the West Substation capital project with a budget of \$6.5 million.

Action. On a motion by Ms. Decker, seconded by Mr. Price, the Committee unanimously recommended that the Board of Trustees approve the West Substation capital project with a budget of \$6.5 million.

Request for Ratification of Increase in Capital Project Authorization—Admissions and Visitors Center. Ms. Hardin stated that the original approved budget for the Admissions and Visitors Center project was \$7 million, and that via email on January 5, 2016, the Board of Trustees approved additional funding of \$1 million, for a total project budget of \$8 million. Ms. Hardin stated that the increase in the scope of the Admissions and Visitors Center project will provide additional lobby and service space to accommodate campus visitors and prospective undergraduate students and will also allow for additional unanticipated costs. Ms. Hardin stated that funding for the project will come from unrestricted funds secured through the sale of a property willed to the University and recently sold. Ms. Hardin stated that construction will begin on April 1, 2017, and will be completed by July 1, 2018.

Ms. Drew requested a motion to ratify the increase in the authorization for the Admissions and Visitors Center project from \$7 million to \$8 million, which was approved by the Board of Trustees via email on January 5, 2016.

Action. On a motion by Mr. Price, seconded by Ms. Decker, the Committee unanimously recommended that the Board of Trustees ratify the increase in the authorization for the Admissions and Visitors Center project from \$7 million to \$8 million.

Request for Ratification of Capital Project Designer Selection—Admissions and Visitors Center.

Ms. Hardin stated that on December 10, 2015, fifteen design proposals were received for the Admissions and Visitors Center project and three were short-listed and presented to the selection committee on January 25, 2016. Ms. Hardin stated that the selection committee made the following recommendations:

Selected: Watson Tate Savory (WTS)/Haley Sharpe Design
1st Alternate: Clark Nexsen
2nd Alternate: Creech and Associates

Ms. Drew requested a motion to ratify the capital project designer selection for the Admissions and Visitors Center project.

Action. On a motion by Mr. Price, seconded by Ms. Decker, the Committee unanimously recommended that the Board of Trustees ratify the selected designer, Watson Tate Savory/Haley Sharpe Design, and first and second alternates Clark Nexsen and Creech and Associates for the Admissions and Visitors Center project.

Request for Approval of Construction Manager at Risk—Academic Complex Renovation.

Ms. Hardin stated that the Academic Complex is comprised of five buildings: Macy, Denny, Garinger, Winningham, and Barnard. Ms. Hardin stated that the Academic Complex Renovation will include architectural upgrades for each building and that major improvements to mechanical, electrical, and plumbing systems will be completed. Ms. Hardin stated that the Board of Trustees previously approved a project budget of \$21 million.

Ms. Hardin stated that University staff received five qualifying packages on November 10, 2015, and three firms were interviewed on December 15, 2015. Ms. Hardin stated that the selection committee made the following recommendations:

Selected: Barnhill Contracting Company
1st Alternate: SAMET Corporation/SRS (Joint Venture)
2nd Alternate: Messer Construction/Tyler 2 Construction (Joint Venture)

Mr. Jones noted that this will be the first project on our campus for Barnhill, which he stated had recently completed a project at East Carolina University and has an excellent reputation across the state. Mr. Jones stated that for the Academic Complex renovation the University sought a company that could renovate major buildings without significant interruption of building operations.

Action. On a motion by Ms. Decker, seconded by Mr. Price, the Committee unanimously recommended that the Board of Trustees approve the selected construction manager at risk, Barnhill Contracting Company, and first and second alternates SAMET Corporation/SRS (joint venture) and Messer Construction/Tyler 2 Construction (joint venture) for the Academic Complex Renovation project.

Rèquest for Approval of Construction Manager at Risk—Health and Wellness Center. Ms. Hardin stated that the new student Health and Wellness Center will be a 160,000-square-foot facility located near the Student Union and will include aerobic and weight training rooms, group fitness spaces, multipurpose courts, recreational aquatic facilities, locker rooms, student meeting space, and space for administration of the intramural and recreation programs. Ms. Hardin stated that the Board of Trustees previously authorized a project budget of \$66 million for the Health and Wellness Center and that the target construction budget is \$63.5 million.

Ms. Hardin stated that University staff received thirteen packages for the project and interviewed three teams on January 12, 2016. Ms. Hardin stated that the selection committee recommended Edifice Construction, Inc., which has a high level of experience with state construction projects, and first and second alternates Skanska USA Building and JE Dunn Construction.

Action. On a motion by Ms. Decker, seconded by Mr. Price, the Committee unanimously recommended that the Board of Trustees approve the selected construction manager at risk, Edifice Construction, Inc., and first and second alternates Skanska USA Building and JE Dunn Construction for the Health and Wellness Center project.

Request for Approval of Lease Agreement—Student Union Campus Salon Lease. Ms. Hardin stated that in 2013 the University entered into a three-year agreement with Campus Salons, LLC, to provide hair salon services to faculty, staff, and students. Ms. Hardin stated that the Salon currently leases approximately 600 square feet of space (Suite 125) within the Student Union and has requested to renew its lease. Ms. Hardin stated that the lease has an initial three-year term, with one option to extend for an additional three years, and that rent will increase at 3.0% per year. Ms. Hardin stated that total income for the initial 3-year term will be \$25,757.30. Ms. Hardin stated that the original disposition was advertised and the tenant was the only respondent.


Action. On a motion by Ms. Decker, seconded by Mr. Price, the Committee unanimously recommended the board approve the request to lease space within the Student Union to Campus Salon, LLC, for a period of three years with one three-year option to extend.

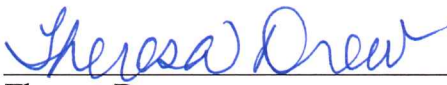
Charlotte Research Institute (CRI) Entrance Improvements Update. Ms. Hardin stated that the Charlotte Research Institute (CRI) Entrance project was authorized in May 2013. Ms. Hardin noted that the project was put on hold awaiting construction of the CATS Light Rail Blue Line Extension to be completed in August 2017, and that project design is now scheduled for February 2016.

Ms. Hardin stated that the project will enhance the CRI entrance to campus along North Tryon Street/US Highway 29, with sidewalks, landscaping, seat walls, and a plaza area and that a UNC Charlotte sign and entrance feature are key parts of the project.

Five-Year Capital Plan Update. Mr. Jones reviewed the University's five-year capital plan. Mr. Jones stated that the capital plan is part of the University's larger strategic plan, which looks out to ten years of capital needs and student growth and notes critical needs within the next five years. Mr. Jones discussed possible funding sources, academic core renewal, infrastructure improvements, and new construction projects. The Committee briefly discussed the possible construction of a hotel conference center and the future of the CMS Charlotte Engineering Early College on the University campus.

Capital Improvement Projects Update. Mr. Jones updated the Committee on the Martin Village project. Mr. Jones stated that the construction manager, Holder Construction, has filed a claim for additional payment above the Guaranteed Maximum Price (GMP) contract and that the University is holding liquidated damages and final payment pending the outcome of the claims process. Mr. Jones stated that the claim is being reviewed by the North Carolina Attorney General's office and that mediation will be scheduled between Holder Construction, UNC Charlotte, the State Construction Office (SCO), and the Office of the Attorney General.

Submitted:  04/28/2016
Elizabeth A. Hardin Date
Vice Chancellor for Business Affairs

Approved:  4-28-16
Theresa Drew Date
Chair, Facilities and Physical Properties Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Friday, February 19, 2016, 12:00 p.m.
Student Union, Room 200

Open and Closed Session Minutes

Presiding: Karen A. Popp, Chair
Assistant Secretary: Krista L. Newkirk, Chief of Staff

Present

Catherine P. Bessant
Mitchell J. Daratony
Sharon Allred Decker
Susan D. DeVore
Theresa J. Drew
David L. Hauser
Dhiaa Jamil
Joe L. Price
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson

Absent

Fred W. Klein

Staff/Guests in Attendance

Philip L. Dubois, Chancellor
Jeff Baker, Chief of Police
David Broome, Vice Chancellor and General Counsel
Susan Burgess, Director, University Compliance
Mike Carlin, Vice Chancellor for Information Technology and Chief Information Officer
Christine Reed Davis, Dean of Students
Betty Doster, Special Assistant for Constituent Relations
Beth Hardin, Vice Chancellor for Business Affairs
Jim Hoppa, Associate Vice Chancellor for Student Union, Activities, and Recreation
Art Jackson, Vice Chancellor for Student Affairs
Jane Johansen, Director, Treasury Services
Jim Kay, Staff Council Chair
Jeff Leak, Faculty Council President

Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Krista Newkirk, Chief of Staff and Assistant Secretary of the Board
Judy Rose, Director of Athletics
Niles Sorensen, Vice Chancellor for University Advancement
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor's Cabinet
Stephen Ward, Executive Director, University Communications
Bob Wilhelm, Vice Chancellor for Research and Economic Development
Tom York, Director of Internal Audit

Call to Order. Ms. Popp called the meeting to order and reminded members of the Board of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make her aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board at any time during this meeting. No conflicts of interest were reported.

Introductions and Announcements. Ms. Popp reminded the Board about several upcoming events:

- Meetings and receptions associated with President Spellings' visit to UNC Charlotte on March 14 and 15.
- To vote for the Connect NC Bond referendum, Tuesday, March 15.
- The World Citizen Award Dinner honoring Chancellor Dubois, Wednesday, April 20, at the Westin Charlotte.
- Two honorary degree receptions: Wednesday, May 4, honoring Emily Zimmern; and Wednesday, May 11, honoring Fannie Flono.
- The University's three spring commencement ceremonies: Friday, May 13 at 10 a.m., and Saturday, May 14, at 10 a.m. and 3:00 p.m.
- The Distinguished Service Award Luncheon, Friday, June 3, at 11:30 a.m.
- The next Board of Trustees meeting on Thursday, April 28, at the Student Union.

Ms. Popp stated that the Board of Trustees is required to complete a self-assessment every year. Ms. Popp stated that a consultant may be engaged to assist with the creation and administration of a self-evaluation survey and that the results of the self-assessment will be reported to the General Administration.

Approval of Minutes. Ms. Popp requested action on the minutes of the December 3, 2015, meeting of the Board of Trustees.

Action. On a motion by Mr. Wilson, seconded by Mr. Jamil, the Trustees approved the December 3, 2015, Board of Trustees meeting minutes.

Remarks by the Chancellor. Chancellor Dubois stated that spring 2016 enrollment is 26,306, up 602 students from spring 2015, and that applications for undergraduate admission for 2016-2017 are up 10 percent over 2015-2016 and graduate applications have increased by 2,500 over 2015-2016.

Chancellor Dubois stated that UNC President Spellings' visit to UNC Charlotte on March 14 and 15 will include meetings with the Cabinet, a campus tour with stops at EPIC and the Data Visualization Center at Woodward Hall, a media event on the Connect NC Bond, lunch with students, meetings with the Faculty and Staff Councils, interaction with community leaders, and an appearance on Charlotte Talks on WFAE Radio. Chancellor Dubois also encouraged the Trustees to attend a private reception prior to the community reception with President Spellings on Monday, March 14.

Chancellor Dubois stated that he and Ms. Doster have visited a number of community organizations in several counties to discuss the Connect NC bond referendum and to talk to local media about the bond.

Chancellor Dubois stated that he and Ms. Drew attended the January 21 Board of Governors meeting to make a presentation on the proposed Health and Wellness Center, the funding of which required Board of Governors approval before going to the North Carolina General Assembly. The Chancellor stated that the project was well received, and he thanked Ms. Drew for her work. The Chancellor stated that the planned Admissions and Visitors Center will be financed in a manner that will not require Board of Governors or General Assembly approval.

Chancellor Dubois stated that planning for the redesign of Belk Plaza has begun and that approximately 150 people, primarily students, attended a February 8 public forum to discuss the project. Chancellor Dubois stated that another forum would be held on February 22 and a third would be held in the spring. The Chancellor noted that the Board of Trustees authorized \$3 million for the project but that the actual project cost may be lower and the project will likely be completed in phases over several years.

Chancellor Dubois stated that University Advancement is making good progress in the Exponential Campaign and has \$95.6 million in written commitments and an additional \$11.2 million in gift agreements in process. The Chancellor stated that the campaign will be formally announced on September 23, the 70th anniversary of the opening of the Charlotte Center.

The Chancellor also noted that next Chancellor's Speaker Series lecture will be held September 22 and he encouraged the Trustees to plan to attend that event.

Chancellor Dubois stated that the Medical Education Citizens Committee is continuing its efforts to advocate for the development of a freestanding, four-year medical school in Charlotte. The Chancellor stated that he is chairing the nine-member Task Force, which also includes Dr. Lorden and College of Health and Human Services Dean Nancy Fey-Yensan. The Chancellor stated that the Task Force has agreed on its goals and has begun to analyze future demand and the role that a medical school might play in Charlotte. Mr. Jamil asked when a recommendation would be made, and Chancellor Dubois stated that the Task Force plans to make its recommendation by fall 2016. The Chancellor noted that the ability to create opportunities for medical residencies in the Charlotte area is a crucial component of the decision, because 75 percent of doctors who complete their medical training and residency in one geographic location remain in the region to practice.

Chancellor Dubois stated that the Carnegie Foundation for the Advancement of Teaching has reclassified UNC Charlotte as a doctoral-granting institution with higher research activity to reflect the University's increased number of doctoral degrees awarded and increased expenditures for research and development.

Mr. Price asked the Chancellor to update the Board on a student housing complex near campus where several incidents had occurred. Chancellor Dubois stated that the housing complex's owner, a UNC Charlotte alumnus, has been proactive about improving safety at the complex, and Ms. Newkirk stated that the owner has expressed interest in opting into the Niner Choice program, which requires property owners to meet certain safety standards in order to list the property on the University's Student Housing website.

Fee Structure for Health and Wellness Center. Chancellor Dubois requested that the Board of Trustees approve the financing plan for the Health and Wellness Center. The Chancellor stated that the Board had already approved the project authorization of \$66 million, above the projected \$63.5 million anticipated cost, but that approval is also required for the financing plan. The Chancellor stated that of the \$22.6 million in current debt service reserves from the Student Activities Center and Student Union the University would use \$15 million for construction costs and \$5.4 million to eliminate the Student Activities Center debt in 2017-2018. The Chancellor also proposed eliminating the SAC fee of \$130, reducing the Student Union fee from \$210 to \$163 per year, and adding a new Health and Wellness fee of \$162 per year. The Chancellor stated that these actions would reduce by \$15 the annual net debt service fees. The Chancellor also stated that an additional \$65 operational fee is being phased in over six years, starting with a \$2 increase in 2015-2016 and growing to \$65 by 2020-2021. The Chancellor stated that applying that \$15 reduction toward the \$65 operational fee would increase student fees overall by \$50.

Ms. Popp requested a motion to approve the fee structure for the Health and Wellness Center.

Action. On a motion by Mr. Wilson, seconded by Ms. Bessant, the Board of Trustees approved the fee structure for the Health and Wellness Center as presented.

UNC Charlotte Retention and Graduation Data (Orientation). Dr. Lorden presented information on student success at UNC Charlotte, including freshmen and transfer graduation rates, peer comparisons, and the relationship of standardized test scores and socioeconomic status to degree attainment. Dr. Lorden also presented information on several University programs being used to help boost retention and graduation rates. Mr. Hauser asked how the University teaches advising skills to faculty. Dr. Lorden stated that the University has fifty full-time advisors who participate in a broad training program and that faculty and staff advisors participate in fall and spring semester seminars. Ms. Popp asked in what year students most often choose not to continue, and Dr. Lorden said that the largest number of students who are not retained transfer or drop out in the freshman year. Dr. Lorden stated that the expansion of the Honors program will increase retention, and she noted that opportunities to increase retention do exist with higher-achieving students. Ms. DeVore asked what the University's graduation rate target is, and Dr. Lorden stated that a 60 percent or higher graduation rate would be in line with the UNC system's overall 60 percent graduation rate.

Institutional Plan Assumptions. Chancellor Dubois presented assumptions being used to develop the University's 2016-2021 Institution Plan, including projected institutional, demographic, fiscal, and political conditions.

Approval of Naming Policy and Revision of Board of Trustees Bylaws. Mr. Sorensen reviewed University Policy 602.9, Naming Opportunities, which he stated will replace the University's previous naming guidelines. Mr. Sorensen stated that the policy anticipates the need to review endowment levels in the future, which he stated will be most important for the endowment of professorships. Ms. Newkirk reviewed proposed changes to the Bylaws of the Board of Trustees of the University of North Carolina at Charlotte to reflect the content of University Policy 602.9. Ms. Newkirk also presented a Resolution to Amend the Bylaws of the Board of Trustees of the University of North Carolina at Charlotte.

Ms. Popp requested a motion to approve University Policy 602.9, Naming Opportunities, and the Resolution to Amend the Bylaws of the Board of Trustees of the University of North Carolina at Charlotte.

Action. On a motion by Mr. Jamil, seconded by Ms. Schulte, the Board of Trustees approved University Policy 602.9, Naming Opportunities, and the Resolution to Amend the Bylaws of the Board of Trustees of the University of North Carolina at Charlotte.

Connect NC Bond Referendum Update. Ms. Doster updated the Trustees on the University's efforts to publicize the Connect NC Bond referendum, including the Chancellor's visits to several counties, internal and external communications, voter registration, and many individuals' efforts to share information about the importance of the bond vote. Ms. Popp stated that the Connect NC Bond logo displayed during a recent home basketball game was very effective. Ms. Doster stated that the North Carolina General Assembly goes into session on April 23, and that the University is concerned about the state budget, including a proposal that would cap all state funding for advancement efforts at \$1 million per campus, which would be a \$2.5 million decrease for UNC Charlotte.

Facility Funding, Design, and Construction Process (Orientation). Ms. Hardin reviewed the process for the funding, design, and construction of campus facilities. Ms. Hardin noted that facilities development is guided by the University's master plan. Ms. Hardin reviewed aspects of project planning, including the submission of six-year plans for appropriated and non-appropriated capital improvements; the project authorization process; project funding, including funding sources for capital projects; and the design and construction process. To illustrate the process, Ms. Hardin reviewed a "concept to construction" timeline for the EPIC building. Chancellor Dubois remarked that the process is complicated by the state's very low limitation of \$300,000 for chancellor approval of construction projects, with anything above that amount requiring approval by the Board of Governors. Ms. DeVore asked how the University manages its debt rating, and Ms. Hardin stated that the University recently chose to strategically downgrade to achieve greater debt capacity. Ms. Hardin explained "facilities and administrative costs" (F&A) at Mr. Hauser's request, noting that F&A is a negotiated overhead rate designed to reimburse research sponsors for the use of their facilities. Chancellor Dubois stated that the Board of Governors is reconsidering whether the current facilities funding model will be maintained or whether Board of Trustees approval of construction projects should be reapproved by the Board of Governors.

Delegated Authority (Orientation). Because of time constraints, the delegated authority orientation was postponed to a later meeting.

Report of the Executive Committee. Ms. Popp reported that the Executive Committee had discussed the upcoming Board of Trustees self-evaluation. Ms. Popp stated that closed session items of the Executive Committee would be discussed in closed session.

Report of the Academic and Student Affairs Committee. Ms. Schulte reported that Mr. Carlin and Ms. Rowanne Joyner had presented an orientation on the University's management of cyber security and cyber security incidents and the International Organization for Standardization (ISO) standards for ensuring security and access.

Report of the Athletics Committee. Mr. Jamil stated that Sam Lopez, chair of the Minority Issues Committee, had reported that minority student-athletes describe their experience at UNC Charlotte as positive. Mr. Jamil reported that Mr. Marlon Thomas had spoken to the Committee about his years as a student-athlete at UNC Charlotte, his decision to leave the University and join the workforce after his eligibility expired, and his eventual decision to return to college as an adult to complete his degree. Mr. Jamil stated that Coach Holly Clark had discussed the new women's golf team and that she is enthusiastic about the team's development. Mr. Jamil stated that Ms. Rose had updated the Committee on Athletics hazing training and anticipated changes for student-athlete practice hours and had expressed her enthusiasm about the future of the men's basketball program.

Report of the Facilities and Physical Properties Committee. Mr. Drew stated that Chancellor Dubois and campus landscape architect Peter Franz had updated the Committee on the Belk Plaza redesign project.

Ms. Drew presented a motion from the Committee to approve the Campus Circulation Improvements capital project with a budget of \$1 million. Ms. Popp requested a second.

Action. Ms. Schulte seconded the motion, and the Board unanimously approved the Campus Circulation Improvements capital project with a budget of \$1 million.

Ms. Drew presented a motion from the Committee to approve the West Substation capital project with a budget of \$6.5 million. Ms. Popp requested a second.

Action. Ms. Bessant seconded the motion, and the Board unanimously approved the West Substation capital project with a budget of \$6.5 million.

Ms. Drew presented a motion from the Committee to ratify an increase in the authorization for the Admissions and Visitors Center project, with a budget of \$8 million. Ms. Popp requested a second.

Action. Mr. Wilson seconded the motion, and the Board unanimously ratified the increase in the capital project authorization for the Admission and Visitors Center project, with a budget of \$8 million.

Ms. Drew presented a motion from the Committee to approve the construction manager at risk for the Academic Complex Renovation, which has a budget of \$21 million. Ms. Popp requested a second.

Action. Ms. Decker seconded the motion, and the Board unanimously approved the construction manager at risk for the Academic Complex Renovation.

Ms. Drew presented a motion from the Committee to approve the construction manager at risk for the Health and Wellness Center, which has a budget of \$63.5 million. Ms. Popp requested a second.

Action. Mr. Price seconded the motion, and the Board unanimously approved the construction manager at risk for the Health and Wellness Center.

Ms. Drew presented a motion from the Committee to approve a request for authorization to dispose of real estate by lease for the Student Union Campus Salon. Ms. Popp requested a second.

Action. Ms. Bessant seconded the motion, and the Board unanimously approved the request for authorization to dispose of real estate by lease for the Student Union Campus Salon.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Ms. Bessant reported that representatives of the Office of State Audit had attended the Audit, Compliance, and Enterprise Risk Management Committee meeting via conference call, had reviewed the results of their audit of the University's 2015 financial statement, and had reported no findings. Ms. Bessant reported that Internal Audit staff had reviewed the annual audits of the University's associated entities, which resulted in no findings. Ms. Bessant reported that representatives of the National Weather Service had designated the University as StormReady, a status that recognizes the University's preparedness for weather-related emergencies.

Report of the Student Government Association (SGA). Mr. Daratony reported that the SGA had participated in the voter registration effort, with excellent results, and had sent all students a letter encouraging them to vote on March 15. Mr. Daratony stated that he has recently visited six recreation centers on campuses in Ohio and Alabama and that he believes that the planned Health and Wellness Center will provide UNC Charlotte students with a great recreation facility at a fair cost. Mr. Daratony reported that, as its class gift, the Class of 2017 is proposing the relocation of the miner statue from the median near the Reese and Colvard Buildings to the bottom of the grand staircase at the plaza of the Colleges of Education and Health and Human Services, and facing the Student Union. Mr. Daratony stated that he is considering whether to run for the SGA presidency for an additional year.

Report of the University Faculty Council. Dr. Leak reported that Faculty committees are working on issues related to the academic probation and suspension policy, the add/drop period, assessment procedures, orientation to faculty responsibilities, special topics courses, and a five-year policy review. Dr. Leak stated that the Faculty Council passed a Resolution in Support of the 2015

Connect Bond referendum at its January meeting. Dr. Leak reported that faculty members are deliberating a proposal to change the general education requirements and that the most significant remaining concern is related to buy-in from tenure-track faculty. Dr. Leak stated that most faculty agree that responsibility for the coursework in the new competencies-focused general education curriculum should not fall only on non-tenure-track faculty and lecturers.

Report of the University Staff Council. Mr. Kay reported that Ms. Doster had spoken to the Staff Council to discuss the importance of the Connect NC Bond measure. Mr. Kay stated that the Council had passed a Resolution in Support of the 2015 Connect NC Bond Act referendum. Mr. Kay stated that the Council had partnered with Police and Public Safety to bring attention to National Stalking Awareness Month. Mr. Kay also stated that the Staff Council's Annual Chili Challenge Contest will take place on March 2. Mr. Kay informed the Trustees that the Staff Assembly Executive Committee has asked the Board of Governors to conduct a market study for staff salaries, and he stated that the Committee believes that the UNC campuses should regularly submit data on employee salaries and salary disparities between existing employees and new hires.

Motion to Convene in Closed Session. Ms. Popp called for a motion for the Board to convene in closed session.

Action. On a motion by Mr. Wilson, seconded by Mr. Price, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel;*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee.*

Closed Session

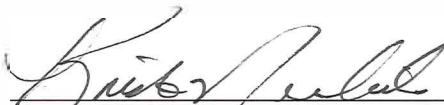
Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

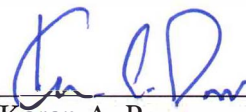
Motion to Resume in Open Session. Ms. Popp called for a motion for the Board to resume in open session.

Action. On a motion by Mr. Price, seconded by Mr. Wilson, the Board resumed in open session.

Open Session

Adjournment. There being no additional items, Ms. Popp adjourned the meeting.

Submitted:  4/28/16
Krista L. Newkirk Date
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved:  4-28-16
Karen A. Popp Date
Chair
UNC Charlotte Board of Trustees