



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Tuesday, April 6, 2021, 8:00–9:30 a.m.

Public YouTube Live Stream:

https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczluCFNQ/featured?view_as=subscriber

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.
Theresa Drew, Chair
2. Approval of the February 9, 2021, Meeting Minutes 8:01 a.m.
Theresa Drew, Chair
Documents: February 9, 2021, Meeting Minutes—Open Session and Closed Session
3. ACERM Calendar Review 8:02 a.m.
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Document: ACERM Calendar
4. Internal Audit Update 8:05 a.m.
Jennifer Walker, Chief Audit Officer
Documents: FY 2021 Audit Plan Update
Summary of Internal Audit Reports Issued
Internal Audit Findings Exception Report
Proposed FY 2022 Audit Plan
Audits in Prior Years
5. Compliance Update 8:30 a.m.
Susan Burgess, Chief Compliance Officer
Document: University Ethics and Compliance Risk Assessment and
Plan of Work – FY 2022
6. Enterprise Risk Management Update 8:40 a.m.
Steven Dunham, Chief Risk Officer
Document: Enterprise Risk Management

7. Motion to Convene in Closed Session 8:55 a.m.
Theresa Drew, Chair
- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
 - b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and
 - c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).

Closed Session

8. Title IX Update 8:56 a.m.
Dr. Michelle Reinken, Director of Title IX Compliance/Title IX Coordinator
Document: Title IX Compliance Program "Snapshot"
9. Report on Litigation and Risk Management Issues 9:06 a.m.
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
10. Motion to Resume in Open Session 9:16 a.m.
Theresa Drew, Chair

Open Session

11. Other Business 9:17 a.m.
Theresa Drew, Chair
12. Adjourn 9:30 a.m.
Theresa Drew, Chair

Members

Theresa Drew, Chair
Susan DeVore, Vice Chair
Dennis Bunker
Christine Katziff
David Mildenberg
Mike Wilson, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Tuesday, April 6, 2021 9:35–10:35 a.m.

Public YouTube Live Stream:

https://www.youtube.com/channel/UCy57M1Csx7mhZl4FOFRKGEw?view_as=subscriber

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Michael L. Wilson, Chair
2. Approval of the February 9, 2021, Meeting Minutes
Michael L. Wilson, Chair
Documents: February 9, 2021, Meeting Minutes - Open Session
February 9, 2021, Meeting Minutes - Closed Session
3. Board Self-Assessment Update
Michael L. Wilson, Chair
Document: AGB Scope of Work and Pricing
UNC Board of Governors Policy 200.4 Assessment Process for Chief
Executive and Governing Boards of UNC
AGB Self-Assessment Survey
4. Nominating Committee
Christine P. Katziff, Nominating Committee Chair
5. Plan to Revise the John Crosland Sr. Distinguished Professor in Real Estate and Development
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
Document: Crosland Revised Plan Letter to the Chancellor
Plan to Establish the John Crosland Sr. Distinguished Professor in
Real Estate and Development
6. Motion to Convene in Closed Session
Michael L. Wilson, Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*
- b. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*
- c. *establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);*

Closed Session

7. Quarterly Report of Faculty Actions and Salary Adjustments
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Quarterly Report of Faculty Actions
Quarterly Report of Faculty Salary Adjustments
8. Faculty Tenure Recommendations
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Summary of Personnel Actions
Personnel Actions Requiring Approval of the Board of Trustees
9. Annual Concurrent Employment Report
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: University Policy 101.4
Concurrent Employment of Related Persons Report
10. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Documents: Quarterly Report of EHRA Non-Faculty Actions
Quarterly Report of EHRA Non-Faculty Salary Adjustments
11. Vice Chancellor Search
Kim Bradley, Chief of Staff
12. Trustee Appointment Process
Sharon L. Gaber, Chancellor
13. Athletics Employment Agreement
Sharon L. Gaber, Chancellor
Document: Employment Agreement Amendment
14. Motion to Resume in Open Session
Michael L. Wilson, Chair

Open Session

15. Action on Athletics Employment Agreement
Michael L. Wilson, Chair
Document: Employment Agreement Amendment
16. Action on Faculty Tenure Recommendations
Michael L. Wilson, Chair
17. Other Business
Michael L. Wilson, Chair
18. Adjourn
Michael L. Wilson, Chair

Executive Committee Members

Michael L. Wilson, Chair
Dennis N. Bunker
Susan D. DeVore
Theresa J. Drew
Fred W. Klein Jr.
Mary Ann Rouse
Tahlieah K. Sampson
Teross W. Young Jr.



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting

Tuesday, April 6, 2021, 10:40-11:40 a.m.

Public YouTube Live Stream:

https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczluCFNQ/featured?view_as=subscriber

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Dennis N. Bunker III, Chair
2. Approval of the February 9, 2021, Meeting Minutes
Dennis N. Bunker III, Chair
Document: February 9, 2021, Meeting Minutes
3. Education: Financial Literacy
Kevin Bailey, Vice Chancellor for Student Affairs
Brad Yeckley, Assistant Director for Financial Literacy
Document: Financial Literacy and Wellbeing at UNC Charlotte
4. Education: Jamil Niner Student Pantry
Kevin Bailey, Vice Chancellor for Student Affairs
Mindy Sides-Walsh, Director of Leadership & Community Engagement
Joseph Harvey, Interim Assistant Director of Leadership & Community Engagement
Nikki Layden, Graduate Assistant, Jamil Niner Pantry
Document: Jamil Niner Student Pantry
5. Other Business
Dennis N. Bunker III, Chair
6. Adjourn
Dennis N. Bunker III, Chair

Members

Dennis N. Bunker III, Chair

Theresa J. Drew

Christine P. Katziff

David W. Mildenberg

Michael L. Wilson, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting

Tuesday, April 6, 2021, 10:40-11:40 a.m.

Public YouTube Live Stream:

<https://www.youtube.com/channel/UCNDBWwmvPGhSAZFczIuCFNQ>

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Teross Young Jr., Chair
2. Approval of the February 9, 2021, Meeting Minutes
Teross Young Jr., Chair
Document: February 9, 2021, Meeting Minutes
3. Financial Picture/Look Ahead
Darin Spease, Deputy Athletic Director
Document: FY 2021 Athletics Financial Picture/Look Ahead
4. Basketball Recap/Update
Cara Consuegra, Head Women's Basketball Coach
Ron Sanchez, Head Men's Basketball Coach
5. Athletic Department Update
Mike Hill, Director of Athletics
6. Other Business
Teross Young Jr., Chair
7. Adjourn
Teross Young Jr., Chair

Members

Teross W. Young Jr., Chair

J. Brett Keeter, Vice Chair

Sharon Allred Decker

Tahlieah K. Sampson

Michael L. Wilson, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting

Tuesday, April 6, 2021 10:40 a.m.–11:40 a.m.

Public YouTube Live Stream:

https://www.youtube.com/channel/UCDMLQksMteCDS57w7NnZi2w?view_as=subscriber

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:40 a.m.
Fred W. Klein, Chair

2. Approval of February 9, 2021, Meeting Minutes 10:41 a.m.
Fred W. Klein, Chair
Document: February 9, 2021, Meeting Minutes

3. Request for Authorization for a Capital Project 10:43 a.m.
Lawrence Kelley, Interim Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor for Facilities Management
Documents: Request for Authorization Remembrance Memorial
Remembrance Memorial

4. Request for Ratification for a Designer Selection 10:45 a.m.
Lawrence Kelley, Interim Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor for Facilities Management
Documents: Request for Ratification for a Designer Selection Repairs for Electrical,
Plumbing, HVAC - McEniry
Designer Selection - McEniry

5. Request to Dispose of Real Estate by Easement 10:55 a.m.
Lawrence Kelley, Interim Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor for Facilities Management
Documents: Request to Dispose of Real Estate by Easement Mallard Creek Tributary Sanitary
Sewer Replacement
Mallard Creek Tributary Sanitary Sewer Easement

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|-----|---|------------|
| 6. | Request for Approval of Capital Projects Designer Selections
Lawrence Kelley, Interim Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor for Facilities Management
Document: Open End Professional Services | 11:00 a.m. |
| 7. | Approval of Parking Ordinance and Rates for 2021/2022
Lawrence Kelley, Interim Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Business Services
Documents: Approval of Parking Ordinance and Rates 2021/2022
Proposal to Change Parking Ordinance and Rates 2021/2022
Ordinance Governing Parking, Traffic and Registration
of Motor Vehicles
Ordinance Governing Parking, Traffic and the Registration
of Motor Vehicles (Redlined)
Appendix Parking Schedule of Fees
Appendix Parking Schedule of Fees (Redlined)
Campus Transportation Policies for UNC Charlotte
Campus Transportation Policies for UNC Charlotte (Redlined)
Benchmarking
Citation Rates UNC System
Parking Rates UNC System
Uptown Parking Rates
PaTS Budget Summary 2021/2022 | 11:10 a.m. |
| 8. | Capital Improvement Projects Update
Lawrence Kelley, Interim Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor for Facilities Management
Document: Capital Improvement Projects Update | 11:20 a.m. |
| 9. | Phase XVI Update
Lawrence Kelley, Interim Vice Chancellor for Business Affairs | 11:23 a.m. |
| 10. | Other Business
Fred W. Klein, Chair | 11:38 a.m. |
| 11. | Adjournment
Fred W. Klein, Chair | 11:40 a.m. |

Members

Fred W. Klein, Chair
Mary Ann Rouse, Vice Chair
Susan DeVore
Carlos Sanchez
Michael Wilson, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Tuesday, April 6, 2021

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Schedule

8:00–9:30 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting Zoom Video Conference
9:35–10:35 a.m.	Executive Committee Meeting Zoom Video Conference
10:40–11:40 a.m.	Academic and Student Affairs (ASA) Committee Meeting Zoom Video Conference
10:40–11:40 a.m.	Athletics Committee Meeting Zoom Video Conference
10:40–11:40 a.m.	Facilities and Physical Properties Committee (FPPC) Meeting Zoom Video Conference
11:40 a.m.–12:30 p.m.	Lunch Break
12:30–3:30 p.m.	Board Will Convene in the Committee of the Whole Zoom Video Conference

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Michael L. Wilson, Chair
2. Introductions and Announcements
Michael L. Wilson, Chair

3. Approval of Previous Meeting Minutes
Michael L. Wilson, Chair
Documents: February 9, 2020, Meeting Minutes—Open Session
February 9, 2020, Meeting Minutes—Closed Session
4. Remarks by the Board of Governors Liaison
Mark Holton, Board of Governors Liaison
5. Trustee Recognition
Sharon L. Gaber, Chancellor
6. Remarks by the Chancellor
Sharon L. Gaber, Chancellor
7. Approval of Strategic Plan Framework
Sharon L. Gaber, Chancellor
Document: Strategic Plan - Shaping What's Next, 2021-31
8. Budget Update
Ken W. Smith, University Budget Director
Documents: Total COVID-19 Relief Funds Awarded
Total Allocations for COVID-19 Losses and Costs
Comparative Financial Information
9. Report on Diversity and Inclusion
Cheryl Waites Spellman, Interim Special Assistant to the Chancellor for Diversity and Inclusion
Documents: Diversity and Inclusion Presentation to the Board of Trustees
Diversity and Inclusion Annual Report
Appendix A – 2020 Annual Report - Council on University Community Working Group (CUCWG) UNC Charlotte
Appendix B – Chancellor's Diversity Challenge Fund Grants 2019-2020
10. Legislative Update
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
11. Report of the University Faculty Council
Joel D. Avrin, Faculty President
Document: Faculty Council Report
12. Report of the University Staff Council
Celeste M. Corpening, Staff Council Chair
Document: Staff Council Report
13. Report of the Student Government Association
Dick Beekman, Student Body President and Trustee
Document: Student Government Association Updates

14. Report of the Executive Committee
Michael L. Wilson, Chair
15. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Theresa Drew, Chair
16. Report of the Academic and Student Affairs Committee
Dennis N. Bunker III, Chair
17. Report of the Athletics Committee
Teross W. Young Jr., Chair
18. Report of the Facilities and Physical Properties Committee
Fred W. Klein, Chair
19. Motion to Convene in Closed Session
Michael L. Wilson, Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
- b. *to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);*
- c. *establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);*

Closed Session

20. Report of the Executive Committee
Michael L. Wilson, Chair
21. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Theresa Drew, Chair
22. Motion to Resume in Open Session
Michael L. Wilson, Chair

Open Session

23. Other Business
Michael L. Wilson, Chair
24. Adjourn
Michael L. Wilson, Chair

Members of the Board of Trustees

Michael L. Wilson

Dick Beekman

Dennis N. Bunker III

Sharon Allred Decker

Susan D. DeVore

Theresa J. Drew

Christine P. Katziff

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