



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Friday, April 12, 2019, 8:00–9:30 a.m.
Popp Martin Student Union, Multipurpose Room I

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.
Laura A. Schulte, Chair

2. Approval of the February 19, 2019, Meeting Minutes 8:01 a.m.
Laura A. Schulte, Chair
Documents: February 19, 2019, Meeting Minutes—Open Session and Closed Session

3. Summary of Office of Federal Contract Compliance Programs Audit 8:02 a.m.
Gary Stinnett, Associate Vice Chancellor for Human Resources and University
Affirmative Action Officer
River Peebles, Equity Specialist,
Jeff Jensen, Senior Associate General Counsel, *via conference call*
Document: OFCCP Audit Summary

4. Department of Education Provisional Certification 8:12 a.m.
Joan Lorden, Provost and Vice Chancellor for Academic Affairs

5. Affiliated Entities IRS Form 990 Update 8:17 a.m.
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Document: April 2019 Treasury Services Memo re: IRS Form 990 Filings for
Affiliated Entities

6. Internal Audit Update 8:20 a.m.
Jennifer Walker, Chief Audit Officer
Documents: FY 2019 Audit Plan Update
Summary of Internal Audit Reports Issued
Internal Audit Findings Exception Report
Proposed FY 2020 Audit Plan

7. Compliance Update 8:35 a.m.
 Susan Burgess, Chief Compliance Officer
 Documents: Work Plan Status FY 2019
 Proposed Work Plan FY 2020
8. Chief Risk Officer Introduction 8:45 a.m.
 Steven Dunham, Chief Risk Officer
 Document: Enterprise Risk Management: Vision for UNC Charlotte
9. Motion to Convene in Closed Session 9:00 a.m.
 Laura A. Schulte, Chair
- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).*

Closed Session

10. Report on Litigation and Risk Management Issues 9:00 a.m.
 Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
11. Title IX Update 9:10 a.m.
 Michelle Reinken, Title IX Coordinator
 Document: Title IX Compliance Program “Snapshot”
12. Internal Audit and Compliance Executive Session 9:20 a.m.
 (with Trustees and Assistant Secretary)
 Jennifer Walker, Chief Audit Officer
 Susan Burgess, Chief Compliance Officer
13. Motion to Resume in Open Session 9:30 a.m.
 Laura A. Schulte, Chair

Open Session

14. Other Business 9:30 a.m.
 Laura A. Schulte, Chair
15. Adjourn 9:30 a.m.
 Laura A. Schulte, Chair

Members

Laura A. Schulte, Chair Teross W. Young Jr.
 Mary Ann Rouse Joe L. Price, *ex officio*
 Michael L. Wilson



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting
Friday, April 12, 2019, 9:30-10:30 a.m.
Popp Martin Student Union, Third Floor, Multipurpose Room I

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 9:30 a.m.
Joe L. Price, Chair
2. Approval of the February 19, 2019, Meeting Minutes 9:31 a.m.
Joe L. Price, Chair
Documents: February 19, 2019, Meeting Minutes—Open and Closed
3. Orientation for New Members of the Board of Trustees 9:32 a.m.
Kim Bradley, Chief of Staff
Documents: New Trustee Orientation
4. Motion to Convene in Closed Session 9:37 a.m.
Joe L. Price, Chair

Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee.

Closed Session

5. Management Flexibility Report 9:37 a.m.
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Annual Summary Human Resources Report
6. Annual Concurrent Employment Report 9:42 a.m.
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: University Policy 101.4—Concurrent Employment of Related Persons

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| 7. Faculty Tenure Actions
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Summary of Faculty Tenure Actions
Personnel Actions Requiring Approval of the Board of Trustees
Tenure Actions Approved by Email, March 20, 2019 | 9:45 a.m. |
| 8. Quarterly Reports of Faculty Actions and Salary Adjustments
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Quarterly Report of Faculty Actions
Quarterly Report of Faculty Salary Adjustments | 9:50 a.m. |
| 9. Quarterly Reports of EHRA Non-Faculty Actions and Salary Adjustments
Beth Hardin, Vice Chancellor for Business Affairs
Documents: Quarterly Report of EHRA Non-Faculty Actions
Quarterly Report of EHRA Non-Faculty Salary Adjustments | 9:55 a.m. |
| 10. Reappointments to the Board of Trustees of the Endowment Fund
Beth Hardin, Vice Chancellor for Business Affairs
Document: Board of Trustees of the Endowment Fund | 9:58 a.m. |
| 11. Board of Trustees Appointments
Betty Doster, Special Assistant for Constituent Relations | 10:02 a.m. |
| 12. Search Updates
Beth Hardin, Vice Chancellor for Business Affairs
Joan Lorden, Provost and Vice Chancellor for Academic Affairs | 10:05 a.m. |
| 13. Naming Opportunity
Philip L. Dubois, Chancellor | 10:10 a.m. |
| 14. Amendment to Athletics Contract
Philip L. Dubois, Chancellor
Documents: Summary of First Amendment to Employment Agreement
First Amendment to Employment Agreement | 10:15 a.m. |
| 15. Motion to Resume in Open Session
Joe L. Price, Chair | 10:25 a.m. |
| Open Session | |
| 16. Action on Faculty Tenure Actions
Joe L. Price, Chair | 10:25 a.m. |

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| 17. Action on Reappointments to the Board of Trustees of the Endowment Fund
Joe L. Price, Chair | 10:26 a.m. |
| 18. Action on Naming Opportunity
Joe L. Price, Chair | 10:27 a.m. |
| 19. Action on Amendment to Athletics Contract
Joe L. Price, Chair | 10:28 a.m. |
| 20. Other Business
Joe L. Price, Chair | 10:29 a.m. |
| 21. Adjourn
Joe L. Price, Chair | 10:30 a.m. |

Members

Joe L. Price, Chair
Susan D. DeVore
Theresa J. Drew
Dhiala Jamil
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson



UNC CHARLOTTE

The University of North Carolina at Charlotte Board of Trustees

Academic and Student Affairs Committee Meeting

Friday, April 12, 2019, 10:30-11:30 a.m.

Popp Martin Student Union, Third Floor, Multipurpose Room I

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:30 a.m.
Michael L. Wilson, Chair
2. Approval of the February 19, 2019, Meeting Minutes 10:31 a.m.
Michael L. Wilson, Chair
Document: February 19, 2019, Meeting Minutes
3. Annual Update: IT Security 10:32 a.m.
Michael Carlin, Vice Chancellor for Information Technology and
Chief Information Officer
Document: *To be added*
4. Research Spotlight: A Taste of the Surprising Science of Meetings 10:50 a.m.
Rick Tankersley, Interim Vice Chancellor for Research and Economic Development
Steven Rogelberg, Chancellor's Professor and Professor of Organizational Science,
Psychology and Management
Document: A Taste of the Surprising Science of Meetings
5. College of Education: Teacher Preparation 11:10 a.m.
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Ellen McIntyre, Dean, Cato College of Education
Document: The Redesign of Teacher Preparation at UNC Charlotte
6. Other Business 11:25 a.m.
Michael L. Wilson, Chair
7. Adjourn 11:30 a.m.
Michael L. Wilson, Chair

Members

Michael L. Wilson, Chair

Sharon A. Decker

J. Brett Keeter

Mary Ann Rouse

Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting

Wednesday, April 12, 2019, 10:30-11:30 a.m.
Popp Martin Student Union, Third Floor, Multipurpose Room C

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:30 a.m.
Susan D. DeVore, Chair

2. Approval of the February 19, 2019, Meeting Minutes 10:31 a.m.
Susan D. DeVore, Chair
Document: February 19, 2019, Meeting Minutes

3. Athletics Budget Annual Report 10:32 a.m.
Darin Spease, Deputy Athletic Director
Documents: Athletics Budget
2018-2019 Conference USA Comparison—Operational Budgets
2018-2019 Conference USA Comparison—Operations/Recruiting

4. Charlotte Athletics Sports Medicine 10:45 a.m.
AJ Lukjanczuk, Director of Sports Medicine and Head Football Athletic Trainer
Document: Charlotte Athletics Sports Medicine

5. Athletics Department Update 11:05 a.m.
Mike Hill, Director of Athletics

6. Other Business 11:25 a.m.
Susan D. DeVore, Chair

7. Adjourn 11:30 a.m.
Susan D. DeVore, Chair

Members

Susan D. DeVore, Chair
Niayai Lavien

Laura A. Schulte
Teross W. Young, Jr.

Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting
Friday, April 12, 2019, 10:30–11:30 a.m.
Popp Martin Student Union, Third Floor, Multipurpose Room F

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:30 a.m.
Theresa J. Drew, Chair

2. Approval of Meeting Minutes 10:31 a.m.
Theresa J. Drew, Chair
Document: February 19, 2019, Meeting Minutes

3. Request for Authorization of Capital Project 10:32 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor for Facilities Management
Documents: Cameron Applied Research Center—
Second Floor Renovation Advanced Planning

4. Request for Approval of Open-End Design Services Selection 10:38 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor for Facilities Management
Document: Open-End Contracts

5. Approval of Parking Systems/Rates Regulations 10:45 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Keith Wassum, Associate Vice Chancellor for Business Services
Douglas Lape, Director of Parking and Transportation Services
Documents: Approval of Parking Ordinance for 2019-2020
Proposal to Change Parking Ordinance and Rates 2019-2020
Ordinance Governing Parking, Traffic and the Registration of Motor Vehicles
for the University of North Carolina at Charlotte (Clean)

Ordinance Governing Parking, Traffic and the Registration of Motor Vehicles
for the University of North Carolina at Charlotte (Redline)
2019-2020 Benchmarking
Parking Benchmark 2019-2020
2019-2020 Citations
Parking Rate Benchmark—Uptown Charlotte
UNC Charlotte Financial Projections

6. Request for Approval to Acquire Real Property by Lease 11:05 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor Facilities Management
Richard LaLiberte, Real Estate Manager
Dr. Cory Brouwer, Director of the Bioinformatics Services Division and
Associate Professor of Bioinformatics and Genomics
Document: Research Space, David Murdock Core Laboratory
7. Capital Improvement Projects Update 11:10 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Jon Varnell, Associate Vice Chancellor Facilities Management
Document: Capital Improvement Projects
8. Other Business 11:25 a.m.
Theresa J. Drew, Chair
9. Adjourn 11:30 a.m.
Theresa J. Drew, Chair

Members

Theresa J. Drew, Chair
Dennis N. Bunker III
Dhiaa Jamil
Fred W. Klein Jr.
Joe L. Price, *ex officio*



UNC CHARLOTTE

The University of North Carolina at Charlotte Board of Trustees

Friday, April 12, 2019
Popp Martin Student Union, Third Floor

Schedule

- 8:00–9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting
Popp Martin Student Union, Multipurpose Room I
- 9:30–10:30 a.m. Executive Committee Meeting
Popp Martin Student Union, Multipurpose Room I
- 10:30–11:30 a.m. Academic and Student Affairs Committee Meeting
Popp Martin Student Union, Multipurpose Room I
- 10:30–11:30 a.m. Athletics Committee Meeting
Popp Martin Student Union, Multipurpose Room C
- 10:30–11:30 a.m. Facilities and Physical Properties Committee Meeting
Popp Martin Student Union, Multipurpose Room F
- 12:00–3:30 p.m. Board Will Convene in the Committee of the Whole
Popp Martin Student Union, Multipurpose Room ABDE

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Joe L. Price, Chair
2. Introductions and Announcements
Joe L. Price, Chair
3. Trustee Recognition
Philip L. Dubois, Chancellor

4. Introduction and Swearing-In of New Student Body President
Joe L. Price, Chair
Niayai Lavien, Former Student Body President and Trustee
Chandler Crean, Student Body President and Trustee
Anna Clark, Assistant to the Chief of Staff, Notary Public, Office of the Chancellor
5. Remarks from Board of Governors' Members
C. Philip Byers, Board of Governors' Liaison
6. Chancellor's Remarks
Philip L. Dubois, Chancellor
7. Approval of the February 19, 2019, Meeting Minutes
Joe L. Price, Chair
Documents: February 19, 2019, Meeting Minutes—Open and Closed
8. Hotel and Conference Center Update
Niles Sorensen, Vice Chancellor for University Advancement
Document: The Foundation of the University of North Carolina at Charlotte, Inc.:
Corporate Resolution
9. Legislative Update
Betty Doster, Special Assistant to the Chancellor for Constituent Affairs
10. Christine F. Price Counseling Center: Overview (Education)
David Spano, Associate Vice Chancellor for Student Affairs and Director,
Center for Counseling and Psychological Services
Document: Counseling and Psychological Services at UNC Charlotte
11. Alcohol Sales
Mike Hill, Director of Athletics
Kelly Weatherman, Associate Executive Director for Athletics
Document: Alcohol Sales at UNC Charlotte Athletic Facilities
12. Report of the Executive Committee
Joe L. Price, Chair
13. Report of the Officer Nominating Committee
Mary Ann Rouse, Chair
14. Report of the Academic and Student Affairs Committee
Michael L. Wilson, Chair
15. Report of the Athletics Committee
Susan D. DeVore, Chair

16. Report of the Facilities and Physical Properties Committee
Theresa J. Drew, Chair
Documents: Cameron Second Floor Renovation Advance Planning
Research Space, David Murdock Core Laboratory
17. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Laura A. Schulte, Chair
18. Report of the University Faculty Council
Richard Leeman, Faculty President
Document: Faculty Council Report
19. Report of the University Staff Council
Celeste Corpening, Chair
Document: Staff Council Report
20. Report of the Student Government Association
Chandler Crean, Student Body President and Trustee
Document: Student Government Association Report
21. Other Business
Joe L. Price, Chair
22. Motion to Convene in Closed Session
Joe L. Price, Chair
 - a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
 - b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
 - c. *N.C.G.S. §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee.*

Closed Session

23. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Laura A. Schulte, Chair
24. Report of the Executive Committee
Joe L. Price, Chair
25. Naming Opportunity
Philip L. Dubois, Chancellor

26. Chancellor's Biennial Evaluation

Michael L. Wilson, Vice Chair and Biennial Evaluation Committee Chair

Documents: Biennial Assessment Letter

Biennial Assessment Survey Results

Chancellor Dubois' Self-Assessment

Attachment A: Institutional Plan 2017-18 Status

Attachment B: UNC Charlotte Five Year Performance Goals
and Interim Benchmarks

Attachment C: Goals for Chancellor Philip L. Dubois for 2019-2020

27. Motion to Resume in Open Session

Joe L. Price, Chair

Open Session

28. Adjourn

Joe L. Price, Chair