



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**

Wednesday, April 13, 2022, 9:00 a.m. – 10:00 a.m.  
Popp Martin Student Union, Third Floor, Room A-F

**Open Session**

Present:

Christine Katziff, Chair  
Dennis Bunker III  
Sasha Weintraub  
Susan DeVore, *ex officio*

Staff/Guests in Attendance (including via Zoom)

Sharon Gaber, Chancellor  
Isabel Alele, Assistant General Counsel (*via Zoom*)  
John Bogdan, Associate Vice Chancellor for Safety and Security  
Brad Bowen, Digital Media Communications-Video Support  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees  
Susan Burgess, Chief Compliance Officer (*via Zoom*)  
Anna Clark, Executive Assistant to the Chief of Staff  
Tina Dadio, University Public Records Officer/Paralegal  
Shari Dunn, Senior Executive Assistant to the Chancellor  
Steven Dunham, Chief Risk Officer  
Harrison Hieb, Digital Media Communications-Video Support  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Melva Jenkins, Administrative Liaison for University Governance  
André Lindsay, Associate General Counsel (*via Zoom*)  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Kailey Meyer, Student Worker, Chancellor's Office  
Marcus Pena, AISLE Offsite Zoom Support  
Sam Sears, Associate Vice Chancellor for Legal Affairs and Deputy General Counsel  
Erica Solosky, Associate General Counsel (*via Zoom*)  
Brenda Shue, Executive Assistant to the Chancellor and Division Budget Manager  
Natasha Stracener, AISLE Onsite Zoom Support  
Jennifer Walker, Chief Audit Officer

Call to Order. Ms. Katziff called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act and advised Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. Katziff requested action on the February 16, 2022, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Action. On a motion by Mr. Bunker, seconded by Dr. Weintraub, seconded by Mr. Bunker, the Committee approved the February 16, 2022, Audit, Compliance, and Enterprise Risk Management Committee minutes.

Internal Audit Update. Ms. Walker informed the Committee that Internal Audit has filled the Internal Audit Manager and the Senior Internal Auditor positions, and is now fully staffed. Ms. Walker provided an update on the FY22 Audit Plan and a summary of internal audit reports issued this period, with no high-risk findings reported. There was one item on the Internal Audit Findings Exception Report that was remediated, and there were no new items to be reported. Ms. Walker presented a proposal for the Internal Audit Plan for FY23 and a proposal for the FY23 Internal Audit Budget. Ms. Walker noted that that FY23 Internal Audit Budget requests an increase in operating expenses for the cost of their TeamMate+ software maintenance program, which is in the process of being approved by management. Ms. Walker indicated that the salaries included in the proposed budget have been approved by management and that they intend to engage an external reviewer every five years to complete their required external Quality Assurance Review.

Action. On a motion by Dr. Weintraub, seconded by Mr. Bunker, the Committee approved the Internal Audit Plan for FY23 and a proposal for the FY23 Internal Audit Budget with no objections.

Enterprise Risk Management (ERM) Update. Mr. Dunham provided the Committee with an update on the university's Enterprise Risk Management program. Mr. Dunham's presentation included an overview of the emerging and subsiding risk themes and trends shared with him by the Chancellor and the Cabinet, and a discussion of the impact of four global and national trends on the University's major risks.

During the meeting, the Committee specifically discussed risks related to Mental Health and Employee Recruitment and Retention. Mr. Dunham will be scheduling individual meetings with each ACERM member in May to discuss the latest risk register and rankings, and to receive feedback from Committee members on which risks are most important to them.

Motion to Convene in Closed Session. Ms. Katziff called for a motion to convene in closed session.

Action. On a motion by Mr. Bunker, seconded by Mr. Sanchez, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidential arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*

- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, prospective public officer or employee.*

Ms. Katziff excused all attendees except the Committee members, Chancellor Gaber, Ms. Bradley, Ms. Dadio, Mr. Dunham, Mr. Humphrey, Dr. Lorden, Ms. Sears, Ms. Stracener, and Ms. Walker.

**Closed Session**

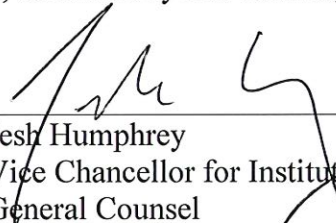
*Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.*

**Open Session**


Motion to Adjourn. Ms. Katziff requested a motion to adjourn.

Action. On a motion by Dr. Weintraub, seconded by Mr. Bunker, the meeting was adjourned.

Submitted:

 9/15/22  
\_\_\_\_\_  
Jesh Humphrey Date  
Vice Chancellor for Institutional Integrity and  
General Counsel

Approved:

 9/15/22  
\_\_\_\_\_  
Christine Katziff Date  
Chair  
Audit, Compliance, and Enterprise Risk  
Management Committee



**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**  
Wednesday, April 13, 2022, 10:05 - 11:05 a.m.  
*Popp Martin Student Union, 340 A-F*

**Open Session Minutes**

Present

Susan DeVore, Chair  
Dennis Bunker III  
Dick Beekman  
Christine Katziff  
Brett Keeter  
Fred Klein Jr.  
David Mildenberg  
Teross Young Jr.

Absent

Carlos Sanchez

Staff/Guests in Attendance

Sharon Gaber, Chancellor  
Rich Amon, Vice Chancellor for Business Affairs  
Brad Bowen, Digital Media Communications – Video Support  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board  
Anna Clark, Executive Assistant to the Chief of Staff  
Harrison Hieb – Digital Media Communications – Video Support  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Melva Jenkins, Administrative Liaison for University Governance  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Kailey Meyer, Office of the Chancellor, Graduate Assistant  
Marcus Pena – AISLE – Off-Site Zoom Support  
Stephen Snipes – Assistant Director, Technical Services  
Darin Spease – Deputy Athletic Director  
Natasha Stracener, AISLE – On-Site Zoom Support

Call to Order. Ms. DeVore called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of

interest were reported.

Approval of Minutes. Ms. DeVore requested action on the February 16, 2022, Executive Committee meeting minutes as distributed.

Action. On a motion by Mr. Bunker seconded by Ms. Katziff, the Committee unanimously approved the February 16, 2022, meeting minutes.

Nominating Committee. Ms. Katziff provided an overview of the nominating process that will take place in the upcoming months to develop a proposed slate of officer candidates.

Reappointment, Promotion and Tenure Policy. Provost Lorden presented the proposed revisions to University Policy 102.13, Tenure Policies, Regulations, and Procedures of the University of North Carolina at Charlotte. Discussion ensued regarding the specific changes, the timeline for the promotion process, and the opportunity for further discussion and input on potential additional revisions to the policy if approved today.

Action. On a motion by Mr. Bunker, seconded by Mr. Young, the Committee unanimously approved the proposed revisions to University Policy 102.13, Tenure Policies, Regulations, and Procedures of the University of North Carolina at Charlotte.

Annual Human Resources Compliance Report. Provost Lorden provided an overview of the Annual Human Resources Compliance Report for which annual board review is mandated prior to submitting the report to the UNC System Office.

Motion to Convene in Closed Session. Ms. DeVore requested a motion to convene in closed session.

Action. On a motion by Mr. Keeter, seconded by Mr. Beekman, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
- b. prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);
- c. establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

### **Closed Session**

*Closed session minutes are filed in the office of the assistance secretary to the Board of Trustees.*

## Open Session

Action on Faculty Tenure Recommendations. Ms. DeVore asked for a motion to approve the faculty tenure recommendations presented in closed session.

Action. On a motion by Mr. Klein, seconded by Mr. Keeter, the Committee unanimously approved the faculty tenure recommendations as presented in closed session.

Action on Reappointment to the Board of Trustees of the Endowment Fund. Ms. DeVore asked for a motion to approve the reappointment of Dimitri Triantafyllides to the Board of Trustees of the Endowment Fund.

Action. On a motion by Ms. Katziff, seconded by Mr. Young, the Committee unanimously approved the reappointment of Dimitri Triantafyllides to the Board of Trustees of the Endowment Fund.

Action on Petition Regarding Political Activity. Ms. DeVore asked for a motion to approve the petition regarding political activity as presented in closed session.

Action. On a motion by Mr. Bunker, seconded by Mr. Keeter, the Committee unanimously approved the petition regarding political activity as presented in closed session.

Action on Athletics Employment Agreement. Ms. DeVore asked for a motion to approve the athletics employment agreement amendment for Cara Consuegra as presented in closed session.

Action. On a motion by Mr. Bunker, seconded by Mr. Young, the Committee unanimously approved the athletics employment agreement amendment for Cara Consuegra as presented in closed session.

Adjourn. There being no other business, Ms. DeVore adjourned the meeting.

Submitted: Kim S. Bradley 9/15/22  
Date  
Assistant Secretary to the Board  
UNC Charlotte Board of Trustees

Approved: Susan DeVore 9/15/22  
Date  
Chair  
UNC Charlotte Board of Trustees



**The University of North Carolina at Charlotte  
Board of Trustees**

**Academic and Student Affairs Committee Meeting**  
Wednesday, April 13, 2022, 11:10 a.m. -12:10 p.m.  
Popp Martin Student Union, Room 267

**Minutes**

Present

Brett Keeter, Chair  
Susan DeVore  
Wendy Grubbs  
Dontá Wilson

Staff in Attendance

Kevin Bailey, Vice Chancellor for Student Affairs  
Shari Dunn, Senior Executive Assistant to the Chancellor  
Sarah Edwards, Senior Associate General Counsel  
Asher Haines, Associate Provost, School of Professional Studies  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs  
Ashley McAlarney, Director of Student Legal Services  
Rick Tankersley, Vice Chancellor for Research and Economic Development  
Leslie Zenk, Assistant Provost

Call to Order. Mr. Keeter called the meeting to order and reminded members of the Committee of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Committee at any time during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Keeter requested action on the minutes of the February 16, 2022 Academic and Student Affairs Committee meeting.

Action. On a motion by Mr. Wilson, seconded by Ms. Grubbs, the Committee unanimously approved the February 16, 2022 meeting minutes.

School of Professional Studies. The UNC Charlotte School of Professional Studies (SPS) was launched in August 2021. Associate Provost Haines described how SPS was formed and how it meets the needs of nontraditional learners through collaborations with businesses and organizations as well as partnerships with each academic college. He shared how SPS supports


UNC Charlotte's mission, and how the School of Professional Studies plans to grow its efforts and outreach.

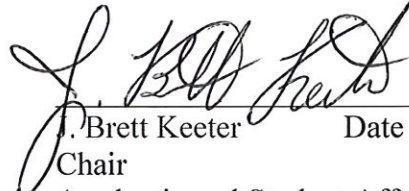
Associated Entity Status for UNC Charlotte Legal Services, Inc. Dr. Bailey and Mr. Humphrey made a presentation regarding UNC Charlotte Student Legal Services, Inc. (SLS). SLS is a nonprofit organization whose mission and purpose is to provide legal services for fee-paying UNC Charlotte students and registered student organizations of UNC Charlotte to address commonly encountered issues – such as landlord-tenant disputes, contract review, wills and powers of attorney, and obtaining domestic violence protective orders – that result in potential barriers to academic success. The program is modeled after student legal services entities at UNC Chapel Hill and NC State that have operated successfully for several decades, and is governed by a board of directors consisting of UNC Charlotte students, faculty, and staff, as well as one member of the Charlotte community. Vice Chancellors Bailey and Humphrey requested that the Committee recommend to the full Board of Trustees approval of a resolution designating SLS as an “associated entity” of UNC Charlotte. Approval as an associated entity allows SLS to receive resources from the University so long as specific policy requirements are met.

Following the full Board's approval of the resolution, the University and SLS will enter into an agreement outlining each party's responsibilities to the other. The agreement includes restrictions on the services SLS is permitted to offer to UNC Charlotte students, including a prohibition against providing legal advice or representation for students in disputes against other students or against the University, the UNC System, or cases involving the State of North Carolina. As with UNC Charlotte's other associated entities, SLS's annual reports will be shared each year with the Board's Audit, Compliance, and Enterprise Risk Management Committee.

Action. On a motion by Mr. Wilson, seconded by Ms. Grubbs, the Committee unanimously voted to recommend to the full Board of Trustees approval of the resolution designating SLS as an associated entity of UNC Charlotte.

Adjourn. There being no further business before the Committee, Mr. Keeter adjourned the meeting.

Submitted:  9/15/22  
Joan F. Lorden Date  
Provost and Vice Chancellor for Academic Affairs

Approved:  9/15/2022  
J. Brett Keeter Date  
Chair  
Academic and Student Affairs Committee





**The University of North Carolina Charlotte  
Board of Trustees**

**Athletics Committee Meeting**  
Wednesday, April 13, 2022  
*Popp Martin Student Union, Room 265*

Present

Teross Young Jr., Chair  
Dick Beekman  
Sasha Weintraub

Staff in Attendance

Anna Clark, Executive Assistant to the Chief of Staff  
Ragean Hill, Executive Associate Athletic Director  
Allison Roach, Athletic Executive Assistant  
Chris Thomasson, Executive Associate Athletic Director

Call to Order. Mr. Young called the meeting to order and reminded the committee members of their obligation under the State Government Ethics Act as stated in Chapter 138A of the N.C. General Statutes. No conflicts of interest were reported.

Approval of Minutes. Mr. Young requested action on the February 16, 2022, Athletics Committee meeting minutes.

Action. On a motion by Mr. Beekman, seconded by Dr. Weintraub, the Committee unanimously approved the February 16, 2022, Athletics Committee meeting minutes.

Sports Performance. Mr. Thomasson reported on four different areas under Sports Performance: Sports Medic/Athletic Training, Strength and Conditioning, Sports Psychology, and Sports Nutrition.

Sports Medicine/Athletic Training - This department faced the biggest challenges due the COVID-19 pandemic. They administered more than 35,000 tests in conjunction with local healthcare providers, completed a contact tracing course, worked on developing department protocols for managing the virus, and administered vaccination and booster clinics. During this period, the Executive Associate AD also hired and trained more than 12 new athletic trainers and a Director of Sports Medicine.

Strength and Conditioning – The biggest change/impact has come in the area of analytics as almost every team now utilizes a system to track student athletes' movements and create a better performance environment.

Sports Psychology – The need for mental health services has been amplified as a result of the pandemic. To meet the needs of student athletes, Dr. Logan Hartnell has been hired as a sports psychologist. He will start on June 6<sup>th</sup>. Athletics also received a gift from Truist Bank that will provide a post doc position and Eryn DeLaney, a graduate of VCU and currently an intern at Texas A&M has been hired

Sports Nutrition – This program has seen tremendous growth over the last couple of years. We have been able to partner with Atrium Health to have a full-time sports nutritionist on staff for a minimal cost to Athletics. Beginning with the 2022 -2023 academic year, a second nutritionist from Atrium will work exclusively with the football team.

Athletic Director Update. Ms. Hill presented the report in Mr. Hill's absence. She reported that Women's Basketball won both the regular season conference championship and conference tournament championship for the first time in program history. The team also competed in the NCAA tournament for the first time since 2009, and third time in program history. Ms. Hill then discussed the move to The American. She stated that the University is required by contract to notify Conference USA by April 30<sup>th</sup> of its intended departure for the 2023 academic year. She reported that Men's Soccer will compete in the American Athletic Conference in the 2022-2023 academic year. She next reported that Charlotte Athletics is participating alongside other collegiate and professional sports entities within the Charlotte region in the Charlotte Difference Makers Fellowship. She then discussed student-athlete fundraising activities. During the Niner Nation Gives campaign, 45% of student-athletes gave, up 8% from last year. A total of \$1523.00 in cash donation was collected, with matching gifts bringing the total raised to \$4569. Student-athletes also raised \$6248.28 during the Student-Athlete Phone-a-thon. She then detailed participation by team.

Other Business. None

Adjournment. There being no further business, Mr. Young adjourned the meeting.

Submitted:

Kim S. Bradley 9/15/22  
Date

Assistant Secretary to the Board  
UNC Charlotte Board of Trustees

Approved:

Susan D. DeVore 9/15/22  
Date

Chair  
UNC Charlotte Board of Trustees



**The University of North Carolina at Charlotte  
Board of Trustees**

**Finance and Physical Properties Committee Meeting**

Wednesday, April 13, 2022  
*Popp Martin Student Union, A-F*

**Open Session Minutes**

Present

Fred Klein, Chair  
David Mildenberg  
Dennis Bunker  
Christine Katziff

Staff/Guests in Attendance

Rich Amon, Vice Chancellor Business Affairs  
Sharon Gaber, Chancellor  
Kim Bradley, Chief of Staff  
Anne Brown, Associate Vice Chancellor for Finance  
Katharina Findlater, Senior Executive Assistant  
Kathryn Horne, Director of Planning, Design and Construction  
Doug Lape, Director of Parking and Transportation Services  
Bonnie Murphy, Interim Director of Business Services  
Carrie Smith, Deputy Chief Budget Director  
Ken Smith, Associate Vice Chancellor for Budget  
Darin Spease, Deputy Athletic Director  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Greg Verret, Director of Treasury Services  
Nelda Tatum, Board and Committee Specialist

Call to Order. Mr. Klein called the meeting to order and reminded committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interests were reported.

Approval of the Minutes. Mr. Klein requested approval of the February, 16, 2022, minutes of the Finance and Physical Properties Committee (FPPC).

Action: On a motion by Mr. Bunker, seconded by Ms. Katziff the Committee unanimously approved the minutes for the February 16, 2022, FPPC meeting.

Financial Dashboard. Dr. Amon stated that this report provides indicators of the fiscal health of the university for the current Spring 2022 semester. He also shared a year-end financial summary that shows what happened in the prior fiscal year.

Dr. Amon further explained the four indicators used in the fiscal dashboard. Enrollment is the key driver for the general fund and has remained flat for the current period. Both the value of the endowment fund and research contract and grant awards increased over the past period. Dr. Amon concluded with the illustration that student housing occupancy rates, the leading indicator for auxiliary operations, remain strong despite the pandemic.

University Budget Update. Mr. Smith stated the report layout has been changed to show a comparison of forecasted university budgets compared to actual expenditures for March 2022.

Mr. Smith explained that the report shows university operating budgets for the third quarter compared to the annual and forecasted budget for the general fund, housing, athletics, parking, and dining. He reported that all budgets are in a positive position. Mr. Smith explained the timing of tuition receipts and state appropriations and noted that the fourth quarter will include capital projects completed in the summer, sport camps, and additional parking and dining revenue.

FY23 All Funds Budget. Dr. Amon thanked the budget team for their work with the FY 2022-23 all-funds budget for the university. He stated that the Board will vote today to forward this budget to the UNC Board of Governors (BOG). He indicated that extensive information was required for this report from every college and division in the university. Dr. Amon reported that this is a projected budget and general funds are budgeted to balance with revenues to meet expenses.

Dr. Amon reported that the primary expense for the university is personnel. He explained that the primary sources of funds are appropriations, student fees, sales and services, and contracts and grants. He further explained that while auxiliary operations are reviewed in the quarterly university budget, the all-funds budget includes a new category of restricted trust funds. These funds consist primarily of contract, grant, and gift revenues. The FY 2022-23 all-funds budget includes using fund balances from auxiliary operations and restricted trust funds for capital projects and equipment purchases deferred during the pandemic.

Dr. Amon reported that over time the all funds budget will give insight into how the colleges and university divisions operate and can be incorporated into the monthly budget to make it more meaningful.

Action: On a motion by Mr. Bunker, seconded by Ms. Katziff, the Committee unanimously recommended that the Board approve the FY23 All Funds Budget for UNC Charlotte.

Request for Authorization for a Capital Project–Stormwater Master Plan Implementation Phase I

Mr. Steele stated that in 2019, a stormwater master plan was completed which recommended the installation of several regional stormwater control measures to ensure the university follows the city of Charlotte guidelines. Advance planning was not required in the first phase. The university is asking for the committee’s approval to move forward with Phase I.

Action: On a motion by Mr. Bunker, seconded by Mr. Mildenberg, the Committee unanimously recommends the Board authorize the Stormwater Master Plan Implementation Phase I with a budget of \$3,000,000.

Request for Authorization for a Capital Project – Energy Projects Phase III. Mr. Steele stated the energy saving project will include multiple initiatives to reduce energy consumption. Savings are derived from improvements to building automation systems, HVAC systems, lighting, controls and other mechanical systems.

Action: On a motion by Mr. Bunker, seconded by Ms. Katziff, the Committee unanimously recommended the Board approve the Energy Projects Phase III with a budget of \$750,000.

Request for Authorization for a Capital Project – Softball Locker Rooms and Offices. Mr. Steele stated that this project received advance planning approval in October 2021. This project will be funded through trust funds and private donations. It will provide locker rooms, coaches offices, and a team room to support the growth of the women’s softball program.

Action: On a motion by Ms. Katziff, seconded by Mr. Bunker, the Committee unanimously recommended the Board approve the Softball Locker Rooms and Offices project with a budget of \$3,100,000.

Request for Approval of Capital Project Designer Selections – Open End Professional Services. Mr. Steele stated that the open-end professional services contracts were bid in 2021 and the contract allowed for a one-year extension. These agreements will allow the university to move forward quickly on projects under \$500,000.

Action: On a motion by Mr. Bunker seconded by Ms. Katziff the Committee unanimously recommended the Board approve the extension of the Open-End Professional Services agreements for the listed firms for FY23.

Capital Improvement Projects Update. Mr. Steele provided a reformatted document to update the Board on all capital projects with comments on the following capital improvement projects:

*Remembrance Memorial*

The Remembrance Memorial will be bid at the end of April and construction will begin in spring of 2022.

*Residence Hall Phase XVI*


The residence hall is on track. The university will address any issues to ensure the schedule for completion and occupancy can be met.


Parking Ordinance and Rates 2022/2023. Mr. Lape stated that there would be no increase in parking permit rates for the 2022/2023 academic year. He proposed technical changes to the parking ordinances, policies, and the appeals process and a \$25 administrative fee for denied parking violation appeals.

Action: On a motion by Mr. Bunker, seconded by Mr. Mildenberg, the Committee unanimously recommended the Board approve the proposed changes in the ordinance governing parking, traffic, and the registration of motor vehicles for the University.

Adjourn

With no further business the meeting was adjourned at 11:49am.

Submitted:  9/15/22  
Rich Amon Date  
Vice Chancellor for Business Affairs

Approved:  9/15/22  
Fred W. Klein Date  
Chair, Finance and Physical Properties Committee



UNIVERSITY OF NORTH CAROLINA  
**CHARLOTTE**

**The University of North Carolina at Charlotte  
Board of Trustees**

Wednesday, April 13, 2022  
*Popp Martin Student Union, 340 A-F*

**Open Session Minutes**

Present

Susan DeVore, Chair  
Dennis Bunker  
Dick Beekman  
Sharon Decker  
Wendy Grubbs  
Christine Katziff  
J. Brett Keeter  
Fred Klein Jr.  
Tatiana Larson  
David Mildenberg  
Sasha Weintraub  
Dontá Wilson  
Teross Young Jr.

Absent

Sharon Decker  
Carlos Sanchez

Staff/Guests in Attendance

Sharon Gaber, Chancellor  
Rich Amon, Vice Chancellor for Business Affairs  
Kevin Bailey, Vice Chancellor for Student Affairs  
Brad Bowen, Digital Media Communications – Video Support  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees  
Anna Clark, Executive Assistant to the Chief of Staff  
Celeste Corpening, Staff Council Chair  
Beth Crigler, Associate Vice Chancellor for Development  
Betty Doster, Special Assistant to the Chancellor for Constituent Relations  
Shari Dunn, Senior Executive Assistant to the Chancellor  
Susan Harden, Faculty Council President  
Harrison Hieb, Digital Media Communications, Video Support  
Mike Hill, Director of Athletics  
Mark Holton, Board of Governors Liaison  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Melva Jenkins, Administrative Liaison for University Governance  
Joan Lorden, Vice Chancellor for Academic Affairs



Kailey Meyer, Graduate Assistant, Office of the Chancellor  
Marcus Pena, AISLE – Off-site Zoom Support  
Brenda Shue, Executive Assistant to the Chancellor and Budget Manager  
John Smail, Associate Provost for Undergraduate Education and Dean of University College  
Ken Smith, Associate Vice Chancellor for Budget  
Carrie Smith, University Associate Budget Director  
Stephen Snipes, Assistant Director, Technical Services  
Niles Sorensen, Vice Chancellor for Advancement  
Natasha Stracener, AISLE – On-Site Zoom Support  
Jen Ames Stuart, Associate Vice Chancellor for University Communications  
Rick Tankersley, Vice Chancellor for Research and Economic Development  
Brandon Wolfe, Associate Vice Chancellor for Diversity and Inclusion and Chief Diversity Officer

Call to Order. Ms. DeVore called the meeting to order and reminded Board members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Board members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Announcements. Ms. DeVore shared several announcements and upcoming events.

Approval of Previous Meeting Minutes. Ms. DeVore requested action on the minutes of the February 16, 2022, meeting.

Action. On a motion by Mr. Bunker, seconded by Dr. Weintraub, the Board unanimously approved the minutes of the February 16, 2022, meeting as distributed.

Recognition of Outgoing Student Body President and Trustee. Ms. DeVore and Chancellor Gaber presented Mr. Beekman with a gift for his service as student body president and a trustee for the past year. Mr. Beekman said farewell and thanked the Board.

Swearing-In of Incoming Student Body President and Trustee. Ms. Clark administered the oath to Ms. Larson, swearing her in as a trustee.

Remarks by the Board of Governors Liaison. Governor Holton provided remarks, during which he acknowledged UNC Charlotte for its recent Speaker Series, and commended the University for being a leader in illustrating how, with patience and the ability to listen, people with very different perspectives can discuss issues in a civil way.

Chancellor's Report. Chancellor Gaber updated the Board on the status of managing COVID-19, as the University and nation transitioned from pandemic to endemic management mode. She reported a low COVID positivity rate on campus, and recent changes to the COVID safety protocols, including testing and reporting protocols.

Next, Chancellor Gaber reported recent organizational changes, effective July 1, 2022. The vice chancellors for research and economic development and One IT will report directly to the chancellor. Also, the investigative scope of the Title IX office will expand to include all forms of impermissible

discrimination. This expansion will ensure that the university is addressing discrimination complaints in a consistent and effective manner.

Chancellor Gaber then provided an update on the ongoing provost search, thanking Provost Lorden for her commitment to the University and her willingness to stay on through the fall semester at which time the search should be concluded. She also reported that interim deans will be named for the Graduate School and College of Liberal Arts and Sciences to allow the next provost to lead the search for permanent deans. Chancellor Gaber also shared that Mr. Sorensen announced his retirement at the end of the calendar year and thanked him for his leadership.

Next, Chancellor Gaber reported that the divisions are in the process of finalizing their strategic plans, which will be aligned with Shaping What's Next. She stated that she will report on the progress of Shaping What's Next at the first fall Board meeting. She then provided an update on the Board of Governors' review and approval of UNC Charlotte's revised mission statement. In accordance with Board of Governors' review, the revised mission statement will be submitted on June 1 and considered by the Board of Governors in July.

Chancellor Gaber next shared an update on some of the diversity and inclusion related work. She noted the launch of the interactive diversity dashboard, a tool that offers access to data about the compositional diversity of the University's students and employees. She also reported that the University is an emerging Hispanic Serving Institution (HSI), stating that we are exploring strategies to continue to increase Hispanic student enrollment. Chancellor Gaber reminded the Board of the Board of Governors policy which mandates that Boards of Trustees receive an annual update on the institution's diversity and inclusion operations and activities, and that each institution submit an annual report to the System Office regarding diversity and inclusion related data and programs. She advised that Dr. Wolfe will present the update to the Board at the first meeting of the next academic year.

Next, Chancellor Gaber then provided an update on the System's proposed funding model. The most recent version of the proposed funding model was adjusted to increase the instructional rate for undergraduate nursing and engineering, allow for a higher rate of funding for health services and STEM master's and doctoral programs, and provide institutions with additional flexibility to propose more market-driven tuition rates for graduate programs.

Chancellor Gaber next discussed the process that is underway to refresh the System's strategic plan, including the process for input and feedback, and the potential areas of focus and refinement.

Chancellor Gaber concluded her update by inviting Mr. Sorensen to introduce Beth Crigler, Associate Vice Chancellor for Development, to present this year's record-breaking Niner Nation Gives results. Ms. Crigler stressed the importance of donor acquisition and the need to start building a culture of giving back amongst students and alumni.

Proposed Changes to Board Structure. Ms. DeVore presented proposed changes to the current Board of Trustees structure, resulting from the trustees' feedback from the recent board self-assessment. She discussed the realignment of the standing committees, including the renaming of the Academic and Student Affairs Committee to Academic and Student Life, the elimination of the Athletics Committee, and incorporating the review of athletics into the Academic and Student Life Committee, and the creation of the Advancement and Public Relations Committee. She also discussed a new meeting schedule based

on the board assessment feedback meeting structure. Discussion ensued about the the new proposed committees and board meeting schedule.

Ms. DeVore requested a motion to approve the proposed committee structure revision with more discussion about the schedule changes to follow in the near future.

Action. On a motion by Mr. Bunker, seconded by Dr. Weintraub, the Board unanimously approved the proposed committee structure revisions with more discussion about the schedule changes to follow in the near future.

Academic Affairs: Accreditation Overview. Provost Lorden and Dean Smail provided an overview of the accreditation process, including updates to the General Education and Quality Enhancement Plan (QEP). Provost Lorden explained that the purpose of accreditation is to assess policies, procedures and practices that ensure the validity of credits and degrees awarded by the institution. She identified the seven regional accrediting bodies, the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) being the body UNC Charlotte is a part of. Provost Lorden shared the timeline of the reaccreditation process. Dean Smail then explained reasons behind general education revisions as the need to: update the curriculum and reengage the faculty, and align to leverage the new mission statement and “Shaping What’s Next”. He then defined the current Math Quality Enhancement Plan (QEP) curriculum realignment as it relates to the SACSCOC requirements and guidelines.

Report of the University Staff Council. Ms. Corpening’s report included an update on the golden nugget program, a recap of speakers and topics at recent staff and committee meetings. Ms. Corpening also provided an overview of the topics covered at the staff assembly chairs meeting and staff assembly full body meeting.

Report of the Student Government Association. Ms. Larson provided an overview of her background, involvement on campus, and the platform on which she was elected to office, promoting unity and trust post-pandemic. She then provided an update on the activities of the Student Government Association since she took office.

Report of the University Faculty Council. Dr. Harden reported that the faculty continue to raise compensation questions, acknowledging Chancellor Gaber’s hard work to improve pay. She reported that faculty would also like to raise concerns about the current health insurance plan as compared to peer institutions. Chancellor Gaber clarified that the funds for the health insurance plan come from the System Office and indicated that we have shared the concerns with the System Office.

Report of the Executive Committee. Ms. DeVore reported that the Committee received an overview of the process for facilitating nominations for next year’s officers. She also reported that the committee unanimously approved proposed revisions to University Policy 102.13 and conducted its annual review of the human resources compliance report. Ms. DeVore then reported that she would share three additional items that the Committee voted on in closed session.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Ms. Katziff reported that the Committee received an update of the Internal Audit Plan confirming that the plan is on track. She also reported that the Committee reviewed the exceptions finding report, which indicated no high-risk outstanding findings. Lastly, Ms. Katziff reported that the Committee received an Enterprise Risk Management overview of how the University is addressing emerging and subsiding risks.

Report of the Academic and Student Affairs Committee. Mr. Keeter reported that the Committee received an overview of the School of Professional Studies and how it supports UNC Charlotte's mission. He also reported that the Committee voted unanimously to recommend full Board approval of a resolution regarding associated entity status for UNC Charlotte Student Legal Services, Inc.

Ms. DeVore requested action on the Committee's motion recommending approval of Associated Entity status for UNC Charlotte Student Legal Services, Inc.

Action. The Board unanimously approved Associated Entity status for UNC Charlotte Student Legal Services, Inc.

Report of the Athletics Committee. Mr. Young reported that the Committee was provided with an update on the success of several athletic programs including women's and men's basketball, baseball, softball, golf, and track and field. He reported that they also received an update on the status of the pending transition to the American Athletic Conference. Mr. Young then reported that the Committee also received an overview of the Athletic Department's partnership with The Center for Counseling at Psychological Services (CAPS) aimed to ensure student-athletes receive the services they need in the mental health and nutrition areas.

Report of the Finance and Physical Properties Committee. Mr. Klein reported that the Committee received updates on the financial dashboard and University budget. He reported that the Committee had several recommendations to bring forward for approval.

**Stormwater Master Plan Implementation Phase 1.** Mr. Klein provided a summary of the details of the request and stated that the Committee recommends that the Board approve the University to undertake a capital improvement project for the Stormwater Master Plan Implementation – Phase 1 with a budget of \$3,000,000.

Ms. DeVore requested action on the Committee's motion for the Board to approve the University to undertake a capital improvement project for the Stormwater Master Plan Implementation – Phase 1 with a budget of \$3,000,000.

Action. The Board unanimously approved the University to undertake a capital improvement project for the Stormwater Master Plan Implementation – Phase 1 with a budget of \$3,000,000.

**Energy Projects Phase III.** Mr. Klein provided a summary of the details of the request and stated that the Committee recommends that the Board approve the University to undertake a capital improvement project for Energy Projects Phase III with a budget of \$750,000.

Ms. DeVore requested action on the Committee's motion for the Board to approve the University to undertake a capital improvement project for Energy Projects Phase III with a budget of \$750,000.

Action. The Board unanimously approved the University to undertake a capital improvement project for Energy Projects Phase III with a budget of \$750,000.

**Softball Locker Rooms and Offices.** Mr. Klein provided a summary of the details of the request and stated that the Committee recommends that the Board approve the University to undertake a capital improvement project for Softball Locker Rooms and Offices with a budget of \$3,100,000.

Ms. DeVore requested action on the Committee's motion for the Board to approve the University to undertake a capital improvement project for Softball Locker Rooms and Offices with a budget of \$3,100,000.

Action. The Board unanimously approved the University to undertake a capital improvement project for Softball Locker Rooms and Offices with a budget of \$3,100,000.

**Open-End Professional Services.** Mr. Klein provided a summary of the details of the request and stated that the Committee recommends that the Board approve the extension of open-end professional service agreements for specified firms for FY 2023.

Ms. DeVore requested action on the Committee's motion for the Board to approve the extension of open-end professional service agreements for specified firms for FY 2023.

Action. The Board unanimously approved the extension of open-end professional service agreements for specified firms for FY 2023.

**Parking Ordinance.** Mr. Klein provided a summary of the details of the request and stated that the Committee recommends that the Board approve proposed changes in the ordinance governing parking, traffic, and the registration of motor vehicles for the University.

Ms. DeVore requested action on the Committee's motion for the Board to approve proposed changes in the ordinance governing parking, traffic, and the registration of motor vehicles for the University.

Action. The Board unanimously approved proposed changes in the ordinance governing parking, traffic, and the registration of motor vehicles for the University.

All Funds Budget. Dr. Amon gave an overview of the All Funds Budget, explaining that the Board of Governors has approved a policy mandating that each Board of Trustees review and approve before May. Following Dr. Amon's overview, Mr. Klein stated that the Finance and Physical Properties Committee recommends that the Board approve the FY23 All funds Budget for UNC Charlotte.

Ms. DeVore requested action on the Committee's motion for the Board to approve the FY23 All Funds Budget for UNC Charlotte.

Action. The Board unanimously approved the FY23 All Funds Budget for UNC Charlotte.

Motion to Convene in Closed Session. Ms. DeVore called for a motion to convene in closed session, welcoming Cabinet members to stay.

Action. On a motion by Mr. Young, seconded by Ms. Grubbs, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);

### Closed Session

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

### Open Session

Adjourn. There being no further business to come before the Board, the meeting was adjourned at 4:00 p.m.

Submitted:

 9/15/22  
\_\_\_\_\_  
Kim S. Bradley Date  
Assistant Secretary to the Board  
UNC Charlotte Board of Trustees

Approved:

 9/15/22  
\_\_\_\_\_  
Susan D. DeVore Date  
Chair  
UNC Charlotte Board of Trustees