



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**

Wednesday, April 13, 2022, 9:00–10:00 a.m.

*Popp Martin Student Union, Third Floor, Room A-F*

[View YouTube Public Livestream](#)

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 9:00 a.m.  
Christine Katziff, Chair
  
2. Approval of the February 16, 2022, Meeting Minutes 9:01 a.m.  
Christine Katziff, Chair  
Documents: [February 16, 2022, Meeting Minutes—Open Session](#)  
February 16, 2022, Meeting Minutes—Closed Session
  
3. Internal Audit Update 9:02 a.m.  
Jennifer Walker, Chief Audit Officer  
Documents: [FY22 Audit Plan Update](#)  
[Summary of Internal Audit Reports Issued](#)  
[Internal Audit Findings Exception Report](#)  
[Proposed FY23 Audit Plan](#)  
[Proposed FY23 Internal Audit Budget](#)
  
4. Enterprise Risk Management (ERM) Update 9:17 a.m.  
Steven Dunham, Chief Risk Officer  
Document: [Enterprise Risk Management \(ERM\) Update](#)
  
5. Motion to Convene in Closed Session 9:32 a.m.  
Christine Katziff, Chair
  - a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not*

*considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*

- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3);*
- c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6); and*
- d. to prevent the disclosure of sensitive public security information, as defined in N.C.G.S. §132-1.7(a).*

### **Closed Session**

- 6. Division of Institutional Integrity Organizational Update 9:32 a.m.  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
- 7. Report on Litigation and Administrative Case Updates 9:42 a.m.  
Sam Sears, Associate Vice Chancellor for Legal Affairs and Deputy General Counsel
- 8. Internal Audit Executive Session 9:47 a.m.  
Jennifer Walker, Chief Audit Officer
- 9. Motion to Resume in Open Session 9:57 a.m.  
Christine Katziff, Chair

### **Open Session**

- 10. Other Business 9:58 a.m.  
Christine Katziff, Chair
- 11. Adjourn 10:00 a.m.  
Christine Katziff, Chair

### Members

Christine Katziff, Chair  
Carlos Sanchez, Vice Chair  
Dennis Bunker III  
Sasha Weintraub  
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**

Wednesday, April 13, 2022 10:05 – 11:05 a.m.

*Popp Martin Student Union, 340 A-F*

[View YouTube Public Livestream](#)

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order

*Susan Devore, Chair*

2. Approval of Previous Meeting Minutes

*Susan Devore, Chair*

Documents: [February 16, 2022, Meeting Minutes - Open Session](#)  
February 16, 2022, Meeting Minutes - Closed Session

3. Nominating Committee

*Christine Katziff, Chair*

4. Reappointment, Promotion and Tenure Policy

*Joan Lorden, Provost and Vice Chancellor for Academic Affairs*

Documents: [Memo - Proposed Revisions to University Policy 102.13](#)  
[University Policy 102.13, Tenure Policies, Regulations, and](#)  
[Procedures of the University of North Carolina at Charlotte – redline](#)  
[University Policy 102.13, Tenure Policies, Regulations, and](#)  
[Procedures of the University of North Carolina at Charlotte – clean](#)

5. Annual Human Resources Compliance Report

*Joan Lorden, Provost and Vice Chancellor for Academic Affairs*

Documents: [Annual Human Resources Compliance Report](#)  
[Additional Compensation for Professional Services to the University](#)  
[UNC System Office Faculty Salary Ranges-UNC Charlotte Campus Response,](#)  
[August 31, 2021](#)

6. Motion to Convene in Closed Session

*Susan Devore, Chair*

- a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
- b. prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);
- c. to establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);

**Closed Session**

7. Quarterly Report of Faculty Actions and Salary Adjustments

*Joan Lorden, Provost and Vice Chancellor for Academic Affairs*

Documents: Quarterly Report of Faculty Actions

Quarterly Report of Faculty Salary Adjustments

8. Faculty Tenure Recommendations

*Joan Lorden, Provost and Vice Chancellor for Academic Affairs*

Document: Promotion and Tenure – New Appointments with Tenure – 2021-22

9. Annual Concurrent Employment Report

*Joan Lorden, Provost and Vice Chancellor for Academic Affairs*

Documents: University Policy 101.4

Concurrent Employment of Related Persons Report

10. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments

*Rich Amon, Vice Chancellor for Business Affairs*

Documents: Quarterly Report of EHRA Non-Faculty Actions

Quarterly Report of EHRA Non-Faculty Salary Adjustments

11. Reappointment to the Board of Trustees of the Endowment Fund

*Rich Amon, Vice Chancellor for Business Affairs*

Documents: BOTEF 2022 Reappointment

Biography for Reappointment

BOTEF 2022 Members List

12. Petition Regarding Political Activity

*Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel*

Document: UNC General Administration Petition Regarding Political Activity

13. Athletics Employment Agreement Amendment  
*Sharon Gaber, Chancellor*  
Document: Athletics Employment Agreement Amendment Summary
14. Motion to Resume in Open Session  
*Susan Devore, Chair*

### **Open Session**

15. Action on Faculty Tenure Recommendations  
*Susan DeVore, Chair*
16. Action on Reappointment to the Board of Trustees of the Endowment Fund  
*Susan DeVore, Chair*
17. Action on Petition Regarding Political Activity  
*Susan DeVore, Chair*
18. Action on Athletics Employment Agreement  
*Susan DeVore, Chair*
19. Other Business  
*Susan Devore, Chair*
20. Adjourn  
*Susan Devore, Chair*

### Executive Committee Members

Susan DeVore, Chair  
Dick Beekman  
Dennis Bunker III  
Christine Katziff  
Brett Keeter  
Fred W. Klein Jr.  
Carlos Sanchez  
Teross W. Young Jr.



**The University of North Carolina at Charlotte  
Board of Trustees**

**Academic and Student Affairs Committee Meeting**  
Wednesday, April 13, 2022, 11:10 a.m.- 12:10 p.m.  
*Popp Martin Student Union, Room 261*

**[View YouTube Public Livestream](#)**

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Brett Keeter, Chair
2. Approval of the February 16, 2022, Meeting Minutes  
Brett Keeter, Chair  
Document: [February 16, 2022, Meeting Minutes](#)
3. School of Professional Studies  
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs  
Asher Haines, Associate Provost, School of Professional Studies  
Document: [UNC Charlotte School of Professional Studies](#)
4. Associated Entity Status for UNC Charlotte Student Legal Services, Inc.  
Kevin W. Bailey, Vice Chancellor for Student Affairs  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel Document:  
[Approval of Student Legal Services as Associated Entity Resolution of the Board  
of Trustees of the University of North Carolina at Charlotte  
Student Legal Services](#)
5. Other Business  
Brett Keeter, Chair
6. Adjourn  
Brett Keeter, Chair

Members

Brett Keeter, Chair

Carlos Sanchez, Vice Chair

Wendy Grubbs

Dontá Wilson

Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Athletics Committee Meeting**

Wednesday, April 13, 2022, 11:10 a.m. -12:10 p.m.

*Popp Martin Student Union, Room 265*

[View YouTube Public Livestream](#)

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Teross Young Jr., Chair
2. Approval of the February 16, 2022, Meeting Minutes  
Teross Young Jr., Chair  
Document: [February 16, 2022, Meeting Minutes](#)
3. Athletic Department Update  
Mike Hill, Director of Athletics
4. Sports Performance – Nutrition and Mental Health Enhancements  
Chris Thomasson, Executive Associate Athletic Director
5. Other Business  
Teross Young Jr., Chair
6. Adjourn  
Teross Young Jr., Chair

**Members**

Teross W. Young Jr., Chair  
Sharon Decker, Vice Chair  
Dick Beekman  
Sasha Weintraub  
Susan DeVore, *ex officio*





**The University of North Carolina at Charlotte  
Board of Trustees**

**Finance and Physical Properties Committee Meeting**

Wednesday, April 13, 2022, 11:10 a.m.– 12:10 p.m.

*Popp Martin Student Union, Room A-F*

**Agenda**

**[View YouTube Public Livestream](#)**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 11:10 a.m.  
Fred W. Klein, Chair
  
2. Approval of Meeting Minutes 11:12 a.m.  
Fred W. Klein, Chair  
Document: [February 16, 2022, Meeting Minutes](#)
  
3. Financial Dashboard 11:15 a.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Document: [Financial Dashboard](#)
  
4. UNC Charlotte Budget Update 11:20 a.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Ken Smith, Associate Vice Chancellor for Budget  
Document: [UNC Charlotte Comparative Financial Information: Operating Budget](#)
  
5. All Funds Budget 11:25 a.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Document: [All Funds Budget](#)
  
6. Request for Authorization for Capital Project 11:30 a.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Stormwater Master Plan Implementation Phase 1](#)

7. Request for Authorization for a Capital Project 11:35 a.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Energy Projects Phase III](#)
  
8. Request for Authorization for a Capital Project 11:40 a.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Documents: [Softball Locker Rooms and Offices](#)
  
9. Request for Approval of Capital Project Designer Selections Rich 11:45 a.m.  
Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Documents: [Open End Professional Services](#)
  
10. Capital Improvement Projects Update 11:50 a.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Capital Improvement Projects Update](#)
  
11. Parking Ordinance and Rates 2022/2023 11:55 a.m.  
Rich Amon, Vice Chancellor for Business Affairs  
Rich Steele, Associate Vice Chancellor for Facilities Management  
Document: [Parking Ordinance and Rates for 2022/2023](#)  
[Proposal to Change Parking Ordinance and Rates 2022/2023](#)  
[Ordinance Governing Parking, Traffic and Registration](#)  
[of Motor Vehicles](#)  
[Appendix Parking Schedule of Fees](#)
  
12. Adjournment 12:10 p.m.  
Fred W. Klein, Chair

Members

Fred W. Klein, Chair  
David Miltenberg, Vice Chair  
Dennis Bunker  
Christine Katziff  
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

Wednesday, April 13, 2022  
*Popp Martin Student Union, 340 A-F*

[View YouTube Public Livestream](#)

**Schedule**

9:00–10:00 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting <i>Popp Martin Student Union, 340 A-F</i>
10:05–11:05 a.m.	Executive Committee Meeting <i>Popp Martin Student Union, 340 A-F</i>
11:10 a.m. –12:10 p.m.	Academic and Student Affairs (ASA) Committee Meeting <i>Popp Martin Student Union, Room 261</i>
11:10 a.m. –12:10 p.m.	Athletics Committee Meeting <i>Popp Martin Student Union, Room 265</i>
11:10 a.m. –12:10 p.m.	Finance and Physical Properties Committee (FPPC) Meeting <i>Popp Martin Student Union, 340 A-F</i>
12:15 – 1:15 p.m.	Lunch with UNC Charlotte Foundation Board <i>Popp Martin Student Union, 340 HI</i>
1:30 – 4:30 p.m.	Board Will Convene in the Committee of the Whole <i>Popp Martin Student Union, 340 A-F</i>

**Board of Trustees Agenda**

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
*Susan DeVore, Chair*

2. Announcements  
*Susan DeVore, Chair*
3. Approval of Previous Meeting Minutes  
*Susan DeVore, Chair*  
Documents: [February 16, 2022, Meeting Minutes - Open Session](#)  
February 16, 2022, Meeting Minutes - Closed Session
4. Recognition of Outgoing Student Body President and Trustee  
*Susan DeVore, Chair*  
*Dick Beekman, Outgoing Student Body President and Trustee*
5. Swearing-In of Incoming Student Body President and Trustee  
*Susan DeVore, Chair*  
*Tatiana Larson, Incoming Student Body President and Trustee*  
*Anna Clark, Assistant to Chief of Staff, Notary Public, Officer of the Chancellor*
6. Remarks by the Board of Governors Liaison  
Mark Holton, Board of Governors Liaison
7. Chancellor's Report  
*Sharon Gaber, Chancellor*
8. Proposed Changes to Board Structure  
*Susan DeVore, Chair*  
Document: [Recommended Changes to Board of Trustees Structure](#)
9. Academic Affairs: Accreditation Overview  
*Joan Lorden, Provost and Vice Chancellor for Academic Affairs*  
*John Smail, Associate Provost for Undergraduate Education and Dean of University College*  
Document: [Accreditation, General Education, and the Quality Enhancement Plan](#)
10. Report of the University Staff Council  
*Celeste Corpening, Staff Council Chair*  
Document: [Staff Council Report](#)
11. Report of the Student Government Association  
*Tatiana Larson, Student Body President and Trustee*  
Document: [Student Government Association Updates](#)
12. Report of the University Faculty Council  
*Susan Harden, Faculty Council President*  
Document: [Faculty Council Report](#)
13. Report of the Executive Committee  
*Susan DeVore, Chair*

14. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
*Christine Katziff, Chair*
15. Report of the Academic and Student Affairs Committee  
*Brett Keeter, Chair*
16. Report of the Athletics Committee  
*Teross Young Jr., Chair*
17. Report of the Finance and Physical Properties Committee  
*Fred Klein, Chair*
18. All Funds Budget  
*Rich Amon, Vice Chancellor for Business Affairs*  
Document: All Funds Budget
19. Motion to Convene in Closed Session  
*Susan DeVore, Chair*
  - a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
  - b. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S §143-318.11 (a)(6);

### **Closed Session**

20. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
*Christine Katziff, Chair*
21. Report of the Executive Committee  
*Susan DeVore, Chair*
22. Motion to Resume in Open Session  
*Susan DeVore, Chair*

### **Open Session**

23. Other Business  
*Susan DeVore, Chair*
24. Adjourn  
*Susan DeVore, Chair*

Members of the Board of Trustees

Susan D. DeVore, Chair

Dick J. Beekman

Dennis N. Bunker III

Sharon Allred Decker

Wendy J. Grubbs

Christine P. Katziff

J. Brett Keeter

Fred W. Klein Jr.

David W. Mildenberg

Carlos E. Sanchez

Sasha J. Weintraub

Dontá L. Wilson

Teross W. Young Jr.