



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Thursday, April 28, 2016, 8:00–9:30 a.m.
Student Union, Room 263

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Approval of the February 19, 2016, Meeting Minutes 8:00 a.m.
Karen A. Popp, Acting Chair
Document: February 19, 2016, Meeting Minutes

2. Internal Audit Update 8:00–8:15 a.m.
Tom York, Director of Internal Audit
Documents: Office of Internal Audit—Staff Biographies
FY2016 Annual Audit Plan Update
Summary of Internal Audit Reports Issued Since February 2016
April 20, 2016, SEAA Program Review
Internal Audit Active Findings Report
Annual Certification Requirements from the Board of Governors FY2016
FY2017 Audit Plan Briefing

3. Compliance Update 8:15–8:25 a.m.
Sue Burgess, Director of Compliance
Document: Ethics and Compliance Update

4. Whistleblower Policy (Orientation) 8:25–8:45 a.m.
Jesh Humphrey, Deputy General Counsel
Document: Whistleblower Policy

5. Motion to Convene in Closed Session 8:45 a.m.
Karen A. Popp, Acting Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and
- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).

Closed Session

6. Report on Litigation and Risk Management Issues 8:45–8:55 a.m.
Jesh Humphrey, Deputy General Counsel
7. Title IX Reports 8:55–9:05 a.m.
Sue Burgess, Interim Title IX Coordinator
Document: Title IX Compliance Program Update
8. Motion to Resume in Public Session 9:05 a.m.
Karen A. Popp, Acting Chair

Open Session

9. Internal Audit and Compliance Executive Session 9:05–9:30 a.m.
(with Trustees and Assistant Secretary)
Tom York, Director of Audit
Susan Burgess, Director of Compliance
Raheel Qureshi, Staff Auditor
Document: UNC Charlotte Internal Audit Department, Internal Procedures Manual,
Chapter 1300: Quality Assurance and Improvement Program
10. Adjourn 9:30 a.m.
Karen A. Popp, Acting Chair

Members

Catherine P. Bessant, Chair
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson
Karen A. Popp, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting
Thursday, April 28, 2016, 9:30–10:30 a.m.
Student Union, Room 263

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Approval of the February 19, 2016, Meeting Minutes 9:30 a.m.
Karen A. Popp, Chair
Document: February 19, 2016, Meeting Minutes

2. Board Self-Assessment 9:30–9:45 a.m.
Karen A. Popp, Chair
Krista Newkirk, Chief of Staff and Assistant Secretary to the Board of Trustees
Carol Cartwright, Association of Governing Boards Consultant
Documents: Board of Governors Policy 200.4, Assessment Process for the Chief Executive and Governing Boards of The University of North Carolina
Results of 2012 Board of Trustees Self-Assessment Survey
University of North Carolina at Charlotte Institutional Plan 2011-2016
University of North Carolina at Charlotte Institutional Plan 2016-2021
University of North Carolina: Our Time Our Future
Board of Trustees Self-Assessment Survey 2016

3. Report of the Officer Nominating Committee 9:45–9:50 a.m.
Mary Ann Rouse, Chair

4. General Administration Report on Organizational Effectiveness 9:50–10:00 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Document: Report on Organizational Effectiveness: General Administration of the University of North Carolina System

5. Motion to Convene in Closed Session 10:00 a.m.
 Karen A. Popp, Chair
- a. To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6); and*
b. to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).

Closed Session

6. Annual Concurrent Employment Report 10:00–10:04 a.m.
 Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: University Policy 101.4—Concurrent Employment of Related Persons
7. Management Flexibility Report 10:04–10:07 a.m.
 Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: Annual Summary Regarding the UNC Management Flexibility Report
8. Faculty Tenure Actions 10:07-10:12 a.m.
 Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Summary of Personnel Actions
 Personnel Actions Requiring Approval of the Board of Trustees
9. Quarterly Report of Faculty Actions 10:12-10:14 a.m.
 Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: Quarterly Report of Faculty Actions
10. Quarterly Report of EPA Non-Faculty Actions 10:14-10:15 a.m.
 Beth Hardin, Vice Chancellor for Business Affairs
Document: Quarterly Report of EPA Non-Faculty Actions
11. Naming Opportunity 10:15–10:20 a.m.
 Niles Sorensen, Vice Chancellor for University Advancement
12. Board of Trustees Nominations and Appointments 10:20-10:26 a.m.
 Betty Doster, Special Assistant for Constituent Relations
13. Motion to Resume in Open Session 10:26 a.m.
 Karen A. Popp, Chair

Open Session

14. Faculty Tenure Actions 10:26-10:28 a.m.
 Karen A. Popp, Chair
15. Other Business 10:28-10:30 a.m.
 Karen A. Popp, Chair

16. Adjourn
Karen A. Popp, Chair

10:30 a.m.

Members

Karen A. Popp, Chair
Catherine P. Bessant
Theresa J. Drew
Dhiala Jamil
Joe L. Price
Laura A. Schulte
Michael L. Wilson



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting
Thursday, April 28, 2016, 10:30 a.m.–11:30 a.m.
Student Union, Room 263

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Approval of the February 19, 2016, Meeting Minutes
Laura A. Schulte, Chair
Document: February 19, 2016, Meeting Minutes
2. Student Entrepreneurship
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Paul Wetenhall, President and Executive Director, PORTAL
Devin Collins, Assistant Director of Business and Entrepreneurial Development
Document: Student Entrepreneurship and Ventureprise
3. Educating Veterans (Orientation)
Art Jackson, Vice Chancellor for Student Affairs
David Vacchi, Associate Director of Veterans Services
Document: Veterans Services Office Training and Educational Programs 2015-16
4. 49ers Rocketry: NASA Student Launch
Jerry Dahlberg, Graduate Student, Mechanical Engineering and Engineering Science
Bob Johnson, Dean, College of Engineering
Karen Thorsett-Hill, Assistant Teaching Professor, Mechanical Engineering and Engineering Science
Scott Smith, Department Chair, Mechanical Engineering and Engineering Science
5. Adjourn
Laura A. Schulte, Chair

Members

Laura A. Schulte, Chair
Susan D. DeVore
Mary Ann Rouse

Michael L. Wilson
Karen A. Popp, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting
Thursday, April 28, 2016, 10:30 a.m.–11:30 a.m.
Student Union, Room 264

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Approval of the February 19, 2016, Meeting Minutes
Dhiaa Jamil, Chair
Document: February 19, 2016, Meeting Minutes
2. Review of Athletics Academic Policies and Procedures
Jeffrey Leak, Chair, Chancellor's Advisory Council on Intercollegiate Athletics
Document: April 4, 2016, Memo re: Summary of UNC Chapel Hill Policy Changes in Academics and Athletics
3. Student-Athlete Advisory Committee Update
Chris Everett, Student-Athlete Advisory Committee Advisor
4. Career Fair Update
Lisa Hibbs, Director, Athletic Academic Center
Rachel Ramey, Assistant Director and Life Skills Coordinator, Athletic Academic Center
5. Athletics Budget Annual Report
Darin Spease, Senior Associate Athletics Director
Documents: Athletics Budget
2015-2016 Conference USA Comparison—Operations/Recruiting
2015-2016 Conference USA Comparison—Operational Budgets
6. NCAA Compliance Report
Scott Byrd, Assistant Athletic Director for Compliance and Championships
Documents: Charlotte 49ers Athletics: Institutional Control
Violation Report for 2015-2016 Academic Year
Conference USA Rules Compliance Evaluation

7. Gender Equity and Title IX Report
Kim Whitestone, Senior Associate Athletic Director and Senior Women's Administrator
8. Team Rules and Accountability
Judy Rose, Director of Athletics
9. Athletics Department Update
Judy Rose, Director of Athletics
10. Athletics Committee Annual Report Schedule
Dhiala Jamil, Chair
Document: Athletics Committee Calendar
11. Other Business
Dhiala Jamil, Chair

Members

Dhiala Jamil, Chair

Catherine P. Bessant

Mitchell J. Daratony

David L. Hauser

Karen A. Popp, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting
Thursday, April 28, 2016, 10:30 a.m.–11:30 a.m.
Student Union, Room 262

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Approval of the February 19, 2016, Meeting Minutes 10:30–10:32 a.m.
Theresa J. Drew, Chair
Document: February 19, 2016, Meeting Minutes

2. Request for Authorization to Issue Debt 10:32–10:37 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Document: Request to Issue Debt for Proposed Project Funding—
Elm Maple, and Pine Halls

3. Requests for Capital Project Authorization 10:37–10:52 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
Document: Request for Authorization of Capital Project—Union Deck Expansion
Request for Authorization of Capital Project—Parking Lot 8 Expansion
Request for Authorization of Capital Project—Parking Lot 11A Expansion
Request for Authorization of Capital Project—Facilities Operations (FO) Complex
Request for Authorization of Capital Project—Parking Services Building

4. Request to Dispose of Real Property by Demolition 10:52–10:55 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Document: Request to Dispose of Real Property by Demolition—
Demolition of Two Facilities Operations Storage Sheds

5. Approval of Parking System Rates/Regulations 10:55–11:05 a.m.
 Beth Hardin, Vice Chancellor for Business Affairs
 Keith Wassum, Associate Vice Chancellor for Business Services
 Doug Lape, Director for Parking and Transportation Services
Documents: Approval of Parking Ordinance and Rates for 2016-2017
 Proposal to Change Ordinance and Rates for 2016-2017, and Summary of
 Ordinance Revisions 2016-2017
 Ordinance Governing Parking, Traffic, and the Registration of Motor Vehicles
 for the University of North Carolina at Charlotte (Redline)
 Ordinance Governing Parking, Traffic, and the Registration of Motor Vehicles
 for the University of North Carolina at Charlotte (Clean)
 2016-2017 Benchmarking
 Parking Benchmark 2016-2017
 2016-2017 Citation Benchmark
 Parking Rate Benchmark – Uptown Charlotte 2016-2017
 UNC Charlotte Financial Projections
6. Report on Capital Project Authorization Increase 11:05–11:09 a.m.
 Beth Hardin, Vice Chancellor for Business Affairs
 Phil Jones, Associate Vice Chancellor for Facilities Management
Documents: Elm, Maple, Pine Halls Renovation—Authorization Increase
 Campus Infrastructure Development—Phases 1 and 2—Authorization Increases
7. Report on 2015-2016 Repair and Renovations Projects 11:09–11:12 a.m.
 Beth Hardin, Vice Chancellor for Business Affairs
 Phil Jones, Associate Vice Chancellor for Facilities Management
Document: 2015 Reserve for Repairs and Renovation (R&R)
8. Capital Improvement Projects Update 11:12–11:15 a.m.
 Beth Hardin, Vice Chancellor for Business Affairs
 Phil Jones, Associate Vice Chancellor Facilities Management
Document: Capital Improvement Projects Update
9. Space Utilization and Management (Orientation) 11:15-11:30 a.m.
 Beth Hardin, Vice Chancellor for Business Affairs
 Kathryn Horne, Director of Space Management
Document: Space Management: Supporting the Mission of UNC Charlotte

Members

Theresa J. Drew, Chair
 Sharon A. Decker
 Fred W. Klein
 Joe L. Price
 Karen A. Popp, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Thursday, April 28, 2016
Student Union

Schedule

- 8:00–9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting
Student Union, Room 263
- 9:30–10:30 a.m. Executive Committee Meeting
Student Union, Room 263
- 10:30–11:30 a.m. Academic and Student Affairs Committee Meeting
Student Union, Room 263
- 10:30–11:30 a.m. Athletics Committee Meeting
Student Union, Room 264
- 10:30–11:30 a.m. Facilities and Physical Properties Committee Meeting
Student Union, Room 262
- 11:30 a.m.–
12:00 p.m. Lunch
Student Union, Room 261
- 12:00–3:30 p.m. Board Will Convene in the Committee of the Whole
Student Union, Room 200

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Karen A. Popp, Chair

2. Introductions and Announcements
Karen A. Popp, Chair
3. Trustee Recognition
Karen A. Popp, Chair
4. Introduction and Swearing-In of New Student Body President
Karen A. Popp, Chair
Fahn Darkor, Student Body President and Trustee
Mitch Daratony, Former Student Body President and Trustee
Anna Clark, Notary Public, Legal Affairs
5. Resolution of Appreciation to Representative Dean Arp, '99
Karen A. Popp, Chair
Philip Byers, Board of Governors Member and UNC Charlotte Liaison
Document: Resolution of Appreciation
6. Remarks by Board of Governors Member
Philip Byers, Board of Governors Member and UNC Charlotte Liaison
7. Approval of the February 19, 2016, Meeting Minutes
Karen A. Popp, Chair
Document: February 19, 2016, Meeting Minutes
8. Report of the University Faculty Council
Jeffrey Leak, Faculty President
Document: Faculty Council Report
9. Report of the University Staff Council
Jim Kay, Chair
Document: Staff Council Report
10. Legislative Update
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
11. Campaign Update
Gene Johnson, Capital Campaign Chair
12. Hotel and Conference Center Update
Niles Sorensen, Vice Chancellor for University Advancement
13. Belk Plaza Redesign
Peter Franz, Campus Landscape Architect
Adam Martin and Richard Petersheim, LandDesign

14. Institutional Metrics
 Joan Lorden, Provost and Vice Chancellor for Academic Affairs
 Krista Newkirk, Chief of Staff and Assistant Secretary to the Board of Trustees
Documents: Dashboard Indicators
 University Scorecard 2015

15. Delegated Authority (Orientation)
 Sarah Edwards, Assistant General Counsel
Documents: The Powers and Duties of the UNC Charlotte Board of Trustees
 UNC Charlotte Board of Trustees Delegations and Duties

16. University Policy 711, Program to Prevent Use of Illegal Drugs and Alcohol Abuse
 Sarah Edwards, Assistant General Counsel
Documents: University Policy 711, Program to Prevent Use of Illegal Drugs and Alcohol Abuse
 (redline)
 University Policy 711, Program to Prevent Use of Illegal Drugs and Alcohol Abuse
 (clean)

17. Report of the Executive Committee
 Karen A. Popp, Chair

18. Report of the Officer Nominating Committee
 Mary Ann Rouse, Chair

19. Report of the Academic and Student Affairs Committee
 Laura Schulte, Chair

20. Diversity Plan
 Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: UNC Charlotte Plan for Diversity, Access, and Inclusion
 UNC Charlotte Plan for Diversity, Access, and Inclusion (presentation)

21. Report of the Athletics Committee
 Dhiaa Jamil, Chair

22. Report of the Facilities and Physical Properties Committee
 Theresa Drew, Chair
Documents: Request to Issue Debt for Proposed Project Funding—Elm Maple, and Pine Halls
 Request for Authorization of Capital Project—Union Deck Expansion
 Request for Authorization of Capital Project—Parking Lot 8 Expansion
 Request for Authorization of Capital Project—Parking Lot 11A Expansion
 Request for Authorization of Capital Project—Facilities Operations (FO) Complex
 Request for Authorization of Capital Project—Parking Services Building
 Request to Dispose of Real Property by Demolition—Demolition of Two Facilities
 Operations Storage Sheds

23. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Catherine P. Bessant, Chair
24. Report of the Student Government Association
Fahn Darkor, Student Body President and Trustee
25. Other Business
Karen A. Popp, Chair
26. Motion to Convene in Closed Session
Karen A. Popp, Chair
 - a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
 - b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);
 - c. to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S. §143-318.11 (a)(6); and
 - d. to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).

Closed Session

27. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Catherine P. Bessant, Chair
28. Report of the Executive Committee
Karen A. Popp, Chair
29. Naming Opportunity
Niles Sorensen, Vice Chancellor for University Advancement
30. Motion to Resume in Open Session
Karen A. Popp, Chair

Open Session

31. Adjourn
Karen A. Popp, Chair