



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Thursday, September 15, 2022, 8:00 – 9:00 a.m.

Popp Martin Student Union, Room, Multipurpose, Room 340-I

[View YouTube Public Live Stream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.
Christine Katziff, Chair

2. Approval of the April 13, 2022, Meeting Minutes 8:01 a.m.
Christine Katziff, Chair
Documents: [April 13, 2022, Meeting Minutes - Open Session](#)
[April 13, 2022, Meeting Minutes - Closed Session](#)

3. Division of Institutional Integrity and ACERM Calendar Update 8:02 a.m.
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Documents: [Division of Institutional Integrity Organizational Chart](#)
[ACERM Calendar](#)

4. Internal Audit Update 8:04 a.m.
Documents: [FY22 Audit Plan Update](#)
[FY23 Audit Plan Update](#)
[Summary of Internal Audit Reports Issued](#)

5. Enterprise Risk Management (ERM) Update 8:24 a.m.
Steven Dunham, Chief Risk Officer
Document: [Enterprise Risk Management \(ERM\) Update](#)

6. Motion to Convene in Closed Session 8:39 a.m.
Christine Katziff, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3);
- c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6); and
- d. to prevent the disclosure of sensitive public security information, as defined in N.C.G.S. §132-1.7(a).

Closed Session

- 7. Title IX/Sexual and Interpersonal Misconduct Update 8:39 a.m.
Dr. Michelle Reinken, Director of Civil Rights and Title IX/Title IX Coordinator
Document: Title IX/Sexual and Interpersonal Misconduct Update
- 8. Information Technology Audit Results 8:54 a.m.
Jennifer Walker, Chief Audit Officer
- 9. Motion to Resume in Open Session 8:59 a.m.
Christine Katziff, Chair

Open Session

- 10. Other Business 8:59 a.m.
Christine Katziff, Chair
- 11. Adjourn 9:00 a.m.
Christine Katziff, Chair

Members

Christine Katziff, Chair
 Sasha Weintraub, Vice Chair
 Dennis Bunker III
 Sharon Decker
 Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Thursday, September 15, 2022, 9:05–10:05 a.m.
Popp Martin Student Union, 340 A-F

[View YouTube Public Livestream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order

Susan DeVore, Chair

2. Approval of Previous Meeting Minutes

Susan DeVore, Chair

Documents: [April 13, 2022, Meeting Minutes - Open Session](#)
April 13, 2022, Meeting Minutes - Closed Session
[May 31, 2022, Meeting Minutes – Open Session](#)
May 31, 2022, Meeting Minutes – Closed Session
[June 17, 2022, Meeting Minutes – Open Session](#)
June 17, 2022, Meeting Minutes – Closed Session

3. Chair Updates

Susan DeVore, Chair

4. University Policy 101.1, Tuition and Fees Privileges for Eligible Faculty and Staff
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

Documents: [UP 101.1, Tuition and Fees Privileges for Eligible Faculty and Staff – REDLINE](#)
[UP 101.1, Tuition and Fees Privileges for Eligible Faculty and Staff – CLEAN](#)
[Regulations Implementing UP 101.1 – REDLINE](#)
[Regulations Implementing UP 101.1 - CLEAN](#)

5. Motion to Convene in Closed Session

Susan DeVore, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
- b. To prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);

Closed Session

6. Quarterly Report of Faculty Actions and Salary Adjustments
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Quarterly Report of Faculty Salary Adjustments
7. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
Rich Amon, Vice Chancellor for Business Affairs
Documents: Quarterly Report of EHRA Non-Faculty Actions
Quarterly Report of EHRA Non-Faculty Salary Adjustments
8. Request for EHRA Non-Faculty Salary Action and Adjustment
Rich Amon, Vice Chancellor for Business Affairs
Documents: Request for Salary Action Approval
9. Executive Searches Update
Sharon Gaber, Chancellor
10. Honorary Degree Process
Sharon Gaber, Chancellor
Documents: Honorary Degree Pool
Honorary Degrees Conferred
Policy, Criteria, and Procedures for the Awarding of Honorary Degrees
11. Distinguished Service Award Process
Sharon Gaber, Chancellor
Documents: Recipients of the Distinguished Service Award
Policy, Criteria, and Procedure for the Selection of the Distinguished Service Award
12. Motion to Resume in Open Session
Susan DeVore, Chair

Open Session

13. Action on Request for EHRA Non-Faculty Salary Action and Adjustment
Susan DeVore, Chair

14. Other Business

Susan DeVore, Chair

15. Adjourn

Susan DeVore, Chair

Executive Committee Members

Susan DeVore, Chair

Dennis Bunker III

Christine Katziff

Brett Keeter

Fred Klein Jr.

Tatiana Larson

Dontá Wilson

Teross Young Jr.



**The University of North Carolina at Charlotte
Board of Trustees**

Advancement & Public Relations Committee

September 15, 2022, 10:10 – 11:10 a.m.

Popp Martin Student Union, Room 265

[View YouTube Public Live Stream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Teross Young, Jr., Chair
2. Committee Focus Areas
Teross Young, Jr., Chair
Document: [Advancement and Public Relations Committee](#)
3. Advancement Updates & Goals
Beth Crigler, Vice Chancellor of University Advancement
Document: [Advancement Updates and Goals](#)
4. Corporate Engagement
Beth Crigler, Vice Chancellor of University Advancement
Document: [Corporate Engagement](#)
The Charlotte Promise
5. Brand Building Updates
Jen Ames-Stuart, Associate Vice Chancellor of University Communications
Document: [Brand Building Updates](#)
6. Motion to Convene in Closed Session
Teross Young, Jr., Chair
 - a. *To prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*

Closed Session

7. EverGreen Phase I Challenge Gift
Beth Crigler, Vice Chancellor of University Advancement
8. Naming Opportunity
Beth Crigler, Vice Chancellor of University Advancement
Document: Naming Opportunity
9. Motion to Resume in Open Session
Susan DeVore, Chair

Open Session

10. Other Business
Teross Young, Jr., Chair
11. Adjourn
Teross Young, Jr., Chair

Members

Wendy Grubbs
Fred Klein, Jr.
Dontá Wilson
Teross Young, Jr.



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Life Committee Meeting

Thursday, September 15, 2022, 10:40-11:40 a.m.

Popp Martin Student Union, Room 261

[View Public YouTube Live Stream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Brett Keeter, Chair
2. Approval of the April 13, 2022, Meeting Minutes
Brett Keeter, Chair
Document: [April 13, 2022, Meeting Minutes](#)
3. Title IX Appeals Training
Sarah Edwards, Senior Associate General Counsel
Michelle Reinken, Director of Civil Rights and Title IX/Title IX
Coordinator Document: [An Appellate Officer Primer](#)
4. College athletics landscape; entry into American Athletic Conference
Mike Hill, Director of Athletics
5. Other Business
Brett Keeter, Chair
6. Adjourn
Brett Keeter, Chair

Members

Brett Keeter, Chair	Tatiana Larson
Sasha Weintraub, Vice Chair	Susan DeVore, <i>ex officio</i>
John Bailey	



**The University of North Carolina at Charlotte
Board of Trustees**

Finance and Physical Properties Committee Meeting

Thursday, September 15, 2022, 11:15 a.m.– 12:15 p.m.

Popp Martin Student Union, 340 I

[View Public YouTube Live Stream](#)

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 11:15 a.m.
Fred W. Klein, Chair

2. Approval of Meeting Minutes 11:16 a.m.
Fred W. Klein, Chair
Document: [April 13, 2022, Meeting Minutes](#)

Financial Action Item

3. Nonrefundable Housing Application Fee 11:17 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Kevin Bailey, Vice Chancellor for Student Affairs
Document: [Nonrefundable Housing Application Fee](#)

Capital Action Items

4. Capital Projects Process and Timelines 11:20 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Information Item Only](#)

5. Requests for Authorization for Advance Planning 11:23 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Greek Village HVAC System Upgrades and Kitchen Renovations](#)

[Smith Building Comprehensive Renovation](#)
[Upper Prospector Dining Renovation](#)

6. Requests for Authorization for Capital Projects 11:25 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Cameron Roof and Windows Replacement](#)
[Cameron Second Floor Lab Upfits](#)
[Campus Roadway Repairs](#)
[Cone Center North Roof Replacement Elevator](#)
[Upgrades – Multiple Buildings Richardson](#)
[Stadium Videoboard Replacement Robinson](#)
[Hall Partial Roof Replacement Roof](#)
[Replacement – Multiple Buildings Rowe Arts](#)
[Building Exterior Envelope Repairs South](#)
[Village Deck Elevator Repairs](#)
[West Deck Elevator Replacement](#)

7. Request for Authorization of Open-end Professional Services Contract Rich 11:35 a.m.
Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Open-end Contract with Optima Engineering](#)

8. Request for Designer Selection Ratification or Approval 11:40 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Documents: [Atkins Library Tower ADA and Fire/Smoke System Upgrades](#)
[Burson Renovation and Expansion](#)

Capital Information Items

9. Six-year Capital Plan 11:45 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Document: [Preliminary Six-year Capital Plan](#)

10. Capital Improvement Projects Update 11:50 a.m.
Rich Amon, Vice Chancellor for Business Affairs
Rich Steele, Associate Vice Chancellor for Facilities Management
Documents: [Capital Improvement Projects Update](#)

Financial Information Items

11. Financial Dashboard 11:55 a.m.
Rich Amon, Vice Chancellor for Business
Affairs Document: [Financial Dashboard](#)

12. UNC Charlotte Budget Update 12:00 p.m.
Rich Amon, Vice Chancellor for Business Affairs
Ken Smith, Associate Vice Chancellor for Budget
Document: [UNC Charlotte Comparative Financial](#)
[Information: Operating Budget](#)

13. Consulting Services Contracts FY22 12:05 p.m.
Rich Amon, Vice Chancellor Business Affairs
Document: [Consulting Services Contracts FY22](#)

14. Adjournment 12:12 p.m.
Fred W. Klein, Chair

Members

Fred W. Klein, Chair
David Mildenberg, Vice Chair
Dennis Bunker
Christine Katziff
Susan DeVore, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Thursday, September 15, 2022
Popp Martin Student Union, 340 A-F

[View Public YouTube Live Stream](#)

Schedule

8:00–9:00 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting <i>Popp Martin Student Union, Room 340-I</i>
9:05–10:05 a.m.	Executive Committee Meeting <i>Popp Martin Student Union, Room 340 A-F</i>
10:10–11:10 a.m.	Advancement and Public Relations (APR) Committee Meeting <i>Popp Martin Student Union, Room 265</i>
10:40–11:40 a.m.	Academic and Student Life (ASL) Committee Meeting <i>Popp Martin Student Union, Room 261</i>
11:15 a.m.–12:15 p.m.	Finance and Physical Properties Committee (FPPC) Meeting <i>Popp Martin Student Union, Room 340-I</i>
12:15 –1:15 p.m.	Lunch Break <i>Popp Martin Student Union, Room 340 A-F</i>
1:15–4:30 p.m.	Board Will Convene in the Committee of the Whole <i>Popp Martin Student Union, Room 340 A-F</i>

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan DeVore, Chair

2. Board Chair's Remarks
Susan DeVore, Chair
3. Approval of Previous Meeting Minutes
Susan DeVore, Chair
Documents: [April 13, 2022, Meeting Minutes - Open Session](#)
[April 13, 2022, Meeting Minutes - Closed Session](#)
[April 13, 2022, Athletics Meeting Minutes](#)
[July 12, 2022, Meeting Minutes - Open Session](#)
[July 12, 2022, Meeting Minutes – Closed Session](#)
[August 23, 2022, Meeting Minutes – Open Session](#)
[August 23, 2023, Meeting Minutes – Closed Session](#)
4. Chancellor's Report
Sharon Gaber, Chancellor
5. Strategic Plan Annual Progress Report
Sharon Gaber, Chancellor
6. Bylaws Revision
Susan DeVore, Chair
Documents: [Proposed Board of Trustees Bylaws Revisions - redline](#)
[Proposed Board of Trustees Bylaws Revisions - clean](#)
7. Diversity and Inclusion Annual Update
*Brandon Wolfe, Associate Vice Chancellor for Diversity and Inclusion/
Chief Diversity Officer*
Documents: [Office of Diversity and Inclusion](#)
[Diversity and Inclusion Annual Report to the Board of Trustees](#)
8. Report of the Student Government Association
Tatiana Larson, Student Body President and Trustee
Document: [Student Government Association Updates](#)
9. Report of the University Staff Council
Document: [Staff Council Report](#)
10. Report of the University Faculty Council
Susan Harden, Faculty Council President
11. Report of the Executive Committee
Susan DeVore, Chair
12. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair
13. Report of the Academic and Student Life Committee
Brett Keeter, Chair

14. Report of the Advancement and Public Relations Committee
Teross Young Jr., Chair
15. Report of the Finance and Physical Properties Committee
Fred Klein, Chair
16. Motion to Convene in Closed Session
Susan DeVore, Chair

a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;

Closed Session

17. Report of the Executive Committee
Susan DeVore, Chair
18. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Christine Katziff, Chair
19. Report of the Advancement and Public Relations Committee
Teross Young Jr., Chair
20. Motion to Resume in Open Session
Susan DeVore, Chair

Open Session

21. Other Business
Susan DeVore, Chair
22. Adjourn
Susan DeVore, Chair

Members of the Board of Trustees

Susan D. DeVore, Chair
John Bailey
Dennis N. Bunker III
Sharon Allred Decker
Wendy J. Grubbs
Christine P. Katziff
J. Brett Keeter
Fred W. Klein Jr.
Tatiana Larson
David W. Mildenberg
Sasha J. Weintraub

Dontá L. Wilson
Teross W. Young Jr.