



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting
Tuesday, October 2, 2018, 9:30 a.m.
Student Union, Multipurpose Room F

Meeting Minutes

Present

Joe L. Price, Chair
Susan D. DeVore
Theresa J. Drew
Dhiaa Jamil
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson

Staff /Guests in Attendance

Philip L. Dubois, Chancellor
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees
Betty Doster, Special Assistant to the Chancellor for Constituent Affairs
Beth Hardin, Vice Chancellor for Business Affairs
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Karen Morgan, American Council on Education Fellow
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor's Cabinet
Leslie Zenk, Assistant Provost

Open Session

Call to Order. Mr. Price called the meeting to order and reminded the Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Meeting Minutes. Mr. Price requested action on the Executive Committee's April 18, 2018, and August 23, 2018, open and closed session meeting minutes.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Committee approved the April 18, 2018 and August 23, 2018, Executive Committee minutes.

Motion to Convene in Closed Session. Mr. Price requested a motion to convene in closed session.

Action. On a motion by Ms. Schulte, seconded by Ms. DeVore, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee; and*
- b. *N.C.G.S. §143-318.11(a)(2), to prevent the premature disclosure of an award.*

Closed Session


Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

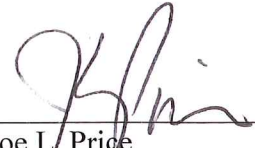
Open Session

Approval of Salary Adjustment. Mr. Price requested a motion to approve the salary adjustment as presented in closed session.

Action. On a motion by Mr. Jamil, seconded by Ms. Schulte, the Committee voted to approve the salary adjustment as presented.

Adjourn. There being no further business before the Committee, Mr. Price adjourned the meeting.

Submitted:  11/27/18
Kim S. Bradley Date
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved:  27-NOV-18
Joe L. Price Date
Chair
UNC Charlotte Board of Trustees



**The University of North Carolina at Charlotte
Board of Trustees**

Tuesday, October 2, 12:00 p.m.
Popp Martin Student Union, Multipurpose Room ABDE

Open Session Minutes

Presiding: Joe L. Price, Chair
Assistant Secretary: Kim S. Bradley, Chief of Staff

Present

Dennis N. Bunker III
Sharon A. Decker
Susan D. DeVore
Theresa J. Drew
Dhiala Jamil
J. Brett Keeter
Fred W. Klein Jr.
Niayai L. Lavien
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson
Teross W. Young Jr. (via conference call)

Staff and Guests in Attendance

Philip L. Dubois, Chancellor
Kevin Bailey, Vice Chancellor for Student Affairs
Susan Burgess, Director of University Compliance
Philip Byers, Member, Board of Governors
Kris Caudle, Higher Education Legal Fellow
Anna Clark, Executive Assistant to the Chief of Staff
Celeste Corpening, Staff Council Chair
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Shari Dunn, Senior Executive Assistant to the Chancellor
Beth Fischer, Executive Director of University Development
Beth Hardin, Vice Chancellor for Business Affairs
Mike Hill, Director of Intercollegiate Athletics
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Melva Jenkins, Administrative Support Associate, Chancellor's Office
Richard Leeman, Faculty Council President

Karen Morgan, American Council on Education Fellow
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Paul Nowell, Senior Communications Manager
Brenda Shue, Executive Assistant to the Chancellor
Niles Sorensen, Vice Chancellor for University Advancement
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor's Cabinet
Rick Tankersley, Interim Vice Chancellor for Research and Economic Development

Call to Order. Mr. Price called the meeting to order and reminded members of the Board of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board at any time during this meeting. No conflicts of interest were reported.

Introductions and Announcements. Mr. Price reminded the trustees about two upcoming events, including the annual Chancellor's Speaker Series event on October 16 and the launch of the University's Civic Action Plan on November 1.

Remarks from Board of Governors Liaison. Mr. Price thanked Mr. Byers for attending the meeting. Mr. Byers encouraged the Trustees to discuss potential Trustee candidates with him. Mr. Byers also expressed gratitude for UNC Charlotte's support of other UNC campuses in the aftermath of Hurricane Irma.

Approval of Minutes. Mr. Price requested action on open and closed session minutes of the April 18, 2018, July 2, 2018, August 14, 2018, and August 24, 2018, meetings of the Board of Trustees.

Action. On a motion by Mr. Wilson, seconded by Ms. DeVore, the Trustees approved the April 18, 2018, July 2, 2018, August 14, 2018, and August 24, 2018, meeting minutes.

Remarks by the Chancellor. Chancellor Dubois welcomed Karen Morgan, a current American Council on Education Fellow from New Jersey City University.

The Chancellor stated that fall 2018 enrollment hit an all-time high of 29,710; the freshman class was a record 3,707 students; and graduate student enrollment fell by 80 students to 5,323. The Chancellor stated that UNC Charlotte remains the third largest UNC institution and represents about 30 percent of the system's enrollment growth since 2010.

The Chancellor discussed the hotel-conference center project, noting that in August the Charlotte City Council voted unanimously to provide \$8 million in tourism funds to support conference center construction. The Chancellor stated that he has been very pleased with the project's design team.

The Chancellor updated the Board on several ongoing construction projects, including the new University Recreation Center, the Student Union Parking Deck expansion, and the renovation of Belk Plaza, and the new Facilities Operations and Parking Services Building. The Chancellor stated that the next major projects after the completion of the new Science Building will be the renovation of the Cone Center and the Burson Building. The Chancellor discussed funding for the

proposed \$24 million renovation of the Burson building and stated that UNC Charlotte should include a request for planning funds in next session of the North Carolina General Assembly.

Chancellor Dubois discussed tuition and fees for 2019-2020, stating that the System Office calls for no increase in resident undergraduate tuition and a 3 percent cap on fees. The Chancellor noted that the 3 percent fee cap was set by the Legislature in 2017. The Chancellor stated that tuition increases will be permitted for non-resident undergraduates and resident and non-resident graduate students. Chancellor Dubois noted that, because of prior legislation, the fall 2019 resident undergraduate class will have their tuition frozen for four years, which will affect the University's operating revenues.

The Chancellor recent state salary decisions made by the General Assembly. The Chancellor stated that the General Assembly had mandated a 2 percent increase for staff subject to the State Human Resources Act (SHRA) retroactive to July 1 but had allocated only \$20 million for those increases and raises for all other staff, including faculty. The Chancellor stated that, from the funds left after SHRA raises were applied, less than 20 percent of EHRA non-faculty employees received increases and just over 10 percent of the faculty received raises. The Chancellor stated that the Board of Governors should take action, because UNC faculty and staff salaries are not keeping pace with their peers in higher education.

The Chancellor stated that President Spellings has asked him to represent the UNC System before a newly established General Assembly commission studying the treatment of student-athletes and as the system's Chancellor as part of a system-wide study of state-funded financial aid.

Growing to 35,000: Graduate Education. Mr. Price stated that Dr. Lorden's presentation on Graduate Education was rescheduled from the August Board of Trustees retreat. Dr. Lorden discussed the role of graduate education in reaching an enrollment of 35,000, and she reviewed University's 2007 long-term enrollment plan, demographic and regional growth models, and comparison data. Dr. Lorden also reviewed the University's goals for graduate education, current graduate program offerings, graduate enrollment and degrees awarded. Dr. Lorden reviewed UNC Charlotte's graduate student profile and financial support mechanisms. Dr. Lorden discussed challenges to graduate enrollment growth, future growth areas in graduate education, and the findings of a 2017 Task Force on Graduate Student Funding.

Title IX in Athletics. Mr. Price noted that the Title IX in Athletics presentation was also rescheduled from the August Board of Trustees retreat. Mr. Humphrey presented a brief overview of Title IX law. Mr. Hill discussed how UNC Charlotte has met the requirements of Title IX by achieving proportionate participation in the past; the University's 10-year expansion plan to add female participation opportunities; and future need to meet Title IX requirements by offering "full and effective accommodation" to female student-athletes.

Campaign Update. Mr. Sorensen updated the Trustees on the progress of Exponential: The Campaign for UNC Charlotte, reporting actual and promised gifts of \$176 million to date.

Hotel and Conference Center Update. Mr. Sorensen updated the Trustees on planning work for the hotel and conference center.

Clery and Crime Statistics. Mr. Baker briefly reviewed the University's 2017 crime statistics.

Report of the Student Government Association (SGA). Ms. Allsbrook updated the Committee on the work of the SGA. Ms. Allsbrook noted that she is the first SGA president to keep regular office hours.

Report of the University Faculty Council. Dr. Leeman presented the report of the Faculty Council as included in the Trustees' boardbook.

Report of the University Staff Council. Ms. Stevens presented the report of the Staff Council as included in the Trustees' boardbook.

Report of the Academic and Student Affairs Committee. Mr. Wilson reported that Tony Schmitz, Professor of Mechanical Engineering and Engineering Science and assistant director of the Energy Production and Infrastructure Center, had presented on the North Carolina Consortium for Self-Aware Machining and Metrology. Mr. Wilson also reported that Dr. Carlin had updated the Committee on the IT restructuring process and Dr. Bailey had discussed matters related to diversity. Mr. Wilson also stated that the Committee had participated in annual Title IX appeals training.

Report of the Athletics Committee. Ms. DeVore stated that three new members of the Athletics staff were introduced during the Committee meeting: Deputy Athletics Director for External Affairs Chris Fuller; Women's Tennis Head Coach Anthony Davison; and Men's Basketball Coach Ron Sanchez.

Report of the Facilities and Physical Properties Committee. Ms. Drew reported that the Committee had ratified the capital project designer selection for a capital improvement project, Intercollegiate Swim Upfit, with a budget of \$3,500,000.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Ms. Schulte stated that Ms. Walker had reviewed the status of the FY 2018 Audit Plan, updated the Committee on the status of FY 2019 audits, and summarized Internal Audit reports issued since April 2018, and reviewed the Internal Audit budget. Ms. Schulte noted that Internal Audit's recent External Quality Assessment report received the highest possible ratings.

Motion to Convene in Closed Session. Mr. Price called for a motion for the Board to convene in closed session.

Action. On a motion by Mr. Wilson, seconded by Mr. Young, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel.*

Closed Session

Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.

Open Session

Adjournment. There being no additional items, Mr. Price adjourned the meeting.

Submitted: Kim S. Bradley 11/27/18
Date
Kim S. Bradley
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved: Joe L. Price 27-NOV-18
Date
Joe L. Price
Chair
UNC Charlotte Board of Trustees



UNC CHARLOTTE

The University of North Carolina at Charlotte
Board of Trustees

Academic and Student Affairs Committee Meeting
Tuesday, October 2, 2018, 10:30 a.m.–11:30 a.m.
Popp Martin Student Union

Minutes

Present

Michael Wilson, Chair
Sharon A. Decker
J. Brett Keeter
Joe L. Price

Staff in Attendance

Kevin Bailey, Vice Chancellor for Student Affairs
Kim Bradley, Chief of Staff
C. Philip Byers, Member, UNC Board of Governors
Mike Carlin, Vice Chancellor for Information Technology and Chief Information Officer
Christine Reed Davis, Dean of Students
Sarah Edwards, Associate General Counsel
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Richard Leeman, Faculty Council Chair
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
Karen Morgan, American Council on Education Fellow
Jennifer Walker, Chief Audit Officer
Michelle Reinken, Title IX Coordinator
Tony Schmitz, Mechanical Engineering and Engineering Science
Rick Tankersley, Interim Vice Chancellor for Research and Economic Development
Leslie Zenk, Assistant Provost

Call to Order. Mr. Wilson called the meeting to order at 10:26 a.m. and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Mr. Wilson requested action on the minutes of the April 18, 2018, Academic and Student Affairs Committee meeting.

Action. On a motion by Mr. Keeter, seconded by Mr. Price, the Committee approved the April 18, 2018, meeting minutes.

Research Spotlight. Mr. Tankersley introduced Dr. Schmitz, professor of Mechanical Engineering and Engineering Science and assistant director of the Energy Production and Infrastructure Center. Dr. Schmitz described a new collaborative project on self-aware manufacturing and metrology. Dr. Schmitz stated that the project team includes three North Carolina universities and 27 industrial and government partners and that the project will apply artificial intelligence (AI) to high precision part manufacture and measurement.

Diversity Discussion. Mr. Bailey discussed diversity programming for faculty, staff, and students facilitated by the Office of Identity, Equity and Engagement. Mr. Bailey stated that the programming is open to all faculty, staff, and students and is intended to facilitate an understanding of how racism is perpetuated individually, culturally, and systematically and to empower participants to become stronger allies.

IT Restructuring Update. Dr. Carlin presented an update on restructuring within Information Technology. Dr. Carlin stated that, last November, UNC Charlotte began a process to conduct a comprehensive assessment of IT staffing resources across campus. Dr. Carlin stated that the consultant, BerryDunn, engaged hundreds of people on campus, spoke with all IT leaders and IT staff, conducted research with nine other institutions, and collected over 3,000 survey responses from students, faculty, and staff. Dr. Carlin stated that BerryDunn had analyzed the feedback and developed recommendations for the future of UNC Charlotte's IT organization, reflecting the need to shift towards a more cohesive model of IT management. Dr. Carlin stated that recommendations were presented to campus leadership in June and that the report was made available to campus in August. Dr. Carlin stated that the second phase of BerryDunn's work entails a more detailed analysis of each unit and how the future organizational design will apply to individual IT positions. Dr. Carlin stated that the reorganization will involve all IT staff and campus leaders and is expected to be completed by summer 2019.

Title IX Appeals Training. Mr. Wilson noted that, as the appellate body for student appeals of Title IX cases (including sexual misconduct, dating violence, and stalking), the Academic and Student Affairs Committee receives annual training on the University's student conduct process, the unique dynamics of sexual and interpersonal misconduct cases, and the parameters of the committee's review when a case is brought to it. Ms. Edwards, Ms. Reinken, and Ms. Davis provided an in-person presentation on Title IX appellate officer responsibilities.

Adjourn. There being no further business before the committee, Mr. Wilson adjourned the meeting.

Submitted: Joan F. Lorden 11/27/2018
Joan F. Lorden Date
Provost and Vice Chancellor

Approved: M. Wilson 11.27.18
Michael Wilson Date
Chair
Academic and Student Affairs Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting
Tuesday, October 2, 2018
Popp Martin Student Union, Multipurpose Room F

Open Session Minutes

Present

Theresa Drew, Chair
Dennis Bunker
Dhiaa Jamil
Fred W. Klein Jr.

Staff/Guests in Attendance

Elizabeth Hardin, Vice Chancellor for Business Affairs
Chris Bates, Assistant Vice Chancellor for Administration
Bart Bruchok, Director of Capital Projects
Shari Dunn, Senior Executive Assistant to the Chancellor
Kathryn Horne, Interim Associate Vice Chancellor for Facilities Management
Nelda Tatum, Board and Committee Specialist

Call to Order. Ms. Drew called the meeting to order and reminded the Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interests were reported.

Approval of the Minutes. Ms. Drew requested action on the April 18, 2018, minutes of the Facilities and Physical Properties Committee. Mr. Bunker asked about the funding for the Bioinformatics building renovation project approved in the April meeting. Ms. Hardin stated that the University would use a combination of funds for this project, possibly including carryforward funds, donor funding, or an internal loan.

Action. On a motion by Mr. Jamil, seconded by Mr. Bunker, the Committee unanimously approved the minutes for the April 18, 2018, meeting.

Ms. Hardin stated that Phil Jones, associate vice chancellor for facilities management, and Hank James, associate vice chancellor for safety and security, have retired after many years serving the

University. Ms. Hardin introduced Kathryn Horne, the interim associate vice chancellor for facilities management, and Bart Bruchok, director of capital projects and a candidate for the associate vice chancellor for facilities management position.

Request for Authorization of Capital Project Designer Selection—Intercollegiate Swim Upfit.

Ms. Horne stated that Belk Gym, built in 1970, was renovated in the summer of 2014. Ms. Horne stated that the renovation of the existing group fitness space in the Belk Gym will support the requirements for a UNC Charlotte NCAA intercollegiate women's swim team and will allow the University to move toward Title IX compliance with the addition of a women's swim team. Ms. Hardin stated that State funds (general funds) cannot be used for intercollegiate athletics and that the Intercollegiate Swim Upfit will be funded by the Athletic Foundation. Ms. Horne stated that C Design, the firm selected for the upfit, was also the designer for the 2014 Belk Gym renovations. Ms. Horne stated that the requested project authorization is \$3,500,000.

On July 12, 2018, Facilities Management and Athletics received six submittals and selected three design teams to present their qualifications and respond to staff questions. The teams presented to the selection committee on July 26, 2018. The Committee recommended the following selection and alternate rankings.

Selected: C Design
1st Alternate: Neighboring Concepts, PLLC
2nd Alternate: Biloba Architecture, PLLC

Action. On a motion by Mr. Jamil, seconded by Mr. Bunker, the Committee unanimously ratified the selected designer, C Design, and first and second alternates Neighboring Concepts, PLLC, and Biloba Architecture, PLLC, for the Intercollegiate Swim Upfit project.

Capital Project Update. The Committee discussed four capital projects: the Science Building, the Admissions and Visitors Center, University Recreation Center, and the Residence Hall Phase XVI—Moore/Sanford Hall projects.

Science Building. Ms. Horne reported that chemistry teaching labs will relocate from Burson to the new Science Building, which will also house teaching labs for lower-division biology and physics classes. Ms. Hardin stated that the original construction estimate for the Science Building was \$126 million, and that the UNC Board of Governors approved \$90 million and the University added \$11 million. Burson, the present science building, cannot accommodate the large student population proposed for the University (35,000 students).

Ms. Horne noted that the Board of Trustees authorized the Burson renovation in 2014 as part of Campus Infrastructure Development (CID) Phase II. Ms. Horne stated that the Burson renovation will be the focus of the University's next proposed state funding request, at \$24 to \$30 million. Ms. Horne noted that renovating will cost less than new construction. Ms. Horne stated that a space utilization study recently conducted included analysis of current space, recommendations for space needed to support future growth, and the identification of opportunities for reallocating and

repurposing existing space to better meet the University's needs. Ms. Horne stated that labs in the different sciences are not interchangeable, which limits flexibility in those spaces.


Admissions and Visitors Center. The center is a two-story building housing an undergraduate enrollment and visitor's center, a 150-seat auditorium, and meeting rooms. Projected completion is spring 2019. Ms. Drew noted that the facility is the first impression visitors will have of the University and will help UNC Charlotte be more competitive with other schools.

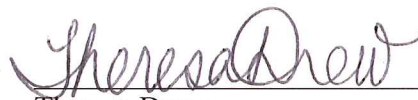
Residence Hall XVI (Moore/Sanford). Ms. Horne stated that Moore Hall will be demolished and that Facilities staff are investigating the possible repurposing of Sanford Hall for instructional and administrative use. The new structure will have 692 beds in traditional rooms. Ms. Horne stated that basic traditional residence hall, offering a lower price point, is needed on campus. Ms. Hardin noted that there is a reasonable chance both residence hall names will carry forward as the buildings are placed/repurposed.

University Recreation Center. Ms. Horne highlighted the information shared in the handout and noted that estimated project completion is late summer 2019.

Other Business. Ms. Hardin provided an update on recent discussions with the University's credit rating agencies, Moody's Investors Service and Standard & Poor's. Ms. Hardin stated that she, Niles Sorensen, and Greg Verret, along with the University's financial advisor, Hilltop Securities, met with representatives from the rating agencies in New York City on September 26 and 27 to provide an update on the University and to discuss the Foundation's planned hotel/conference center project. Key points of the discussion included the University's strong enrollment trends and operating revenue base, as well as the hotel/conference center project's plan of finance, capital and ownership structure. Ms. Hardin noted that the discussions were positive and that the rating agencies did not anticipate any adverse impacts to the University's credit rating as a result of the hotel-conference center project. Both agencies appreciated the University's transparency in sharing information about the project.

With no further business, the meeting was adjourned.

Submitted: 
Elizabeth A. Hardin Date
Vice Chancellor for Business Affairs

Approved:  11/27/18
Theresa Drew Date
Chair, Facilities and Physical Properties Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Tuesday, October 2, 2018, 8:00–9:30 a.m.
Popp Martin Student Union, Multipurpose Room I

Open Session

Present

Laura A. Schulte, Chair
Michael L. Wilson
Joe L. Price, *ex officio*

Absent

Teross W. Young Jr.

Staff/Guests in Attendance

Philip L. Dubois, Chancellor
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees
Beth Hardin, Vice Chancellor for Business Affairs
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Jennifer Walker, Chief Audit Officer
Michelle Reinken, Title IX Coordinator
Molly Murphy, Internal Audit Manager
Kris Caudle, Legal Fellow for Office of Legal Affairs
Karen Morgan, ACE Fellow
Amanda Simpson, Paralegal

Call to Order. Ms. Schulte called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. Schulte requested action on the April 18, 2018, Audit, Compliance, and Enterprise Risk Management Committee, open and closed session meeting minutes.

Action. On a motion by Mr. Wilson, seconded by Mr. Price, the Committee approved the April 18, 2018, open and closed session Audit, Compliance, and Enterprise Risk Management Committee minutes.

Internal Audit Update. Ms. Walker informed the Committee that the FY 2018 Audit Plan is complete with the exception of a review canceled due to departmental reorganization and two projects that were scheduled to roll over into FY 2019. Ms. Walker also updated the Committee on the status of FY 2019 audits. Ms. Walker summarized the Internal Audit Reports issued since the prior Committee meeting and provided a summary of the External Quality Assessment report, which found that UNC Charlotte's Internal Audit program "Generally Conforms" to the International Standards for the Professional Practice of Internal Auditing. Ms. Walker stated that the "Generally Conforms" rating is the highest level of rating provided in the Standards for Quality Assessment and noted that the reviewer specifically stated that Internal Audit received this conclusion in every aspect of the review, which he lauded as a "rare" achievement. Ms. Walker reviewed the Internal Audit Charter with the Committee. Ms. Walker provided an update on the Internal Audit budget, which included a request for additional line items, including computer software, depending on the availability of funds.

Mr. Price called for a motion to approve the plan as presented with contingencies for the availability of funds.

Action. On a motion by Mr. Price, seconded by Mr. Wilson, the Committee approved the Internal Audit budget update for Fiscal Year 2019.

Environmental Health and Safety Office (EHSO) Remediation Update. Ms. Hardin provided an update on the status of remediation efforts related to the Internal Audit Exceptions Report for the EHSO audit. Ms. Hardin stated that one outstanding finding related to training remains and that the team is seeing positive results from training efforts, inspections, and drills. The Committee agreed that the EHSO audit does not need to be on the Committee agenda again.

Division of Institutional Integrity Update. Mr. Humphrey introduced to the Committee recent new hires to the Division. Mr. Humphrey informed the Committee that the position description for the Chief Risk Officer has been drafted and will be posted in the coming weeks, and he stated that the University will be seeking candidates who have familiarity with the Enterprise Risk Management framework utilized by the UNC System.

Motion to Convene in Closed Session. Mr. Price called for a motion to convene in closed session.

Action. On a motion by Mr. Price, seconded by Mr. Wilson, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidential arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, prospective public officer or employee.*

Ms. Schulte excused all attendees except the Committee members, Chancellor Dubois, Ms. Bradley, Ms. Simpson, Ms. Hardin, Mr. Humphrey, Ms. Lorden, Ms. Reinken, and Ms. Walker.

Closed Session

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

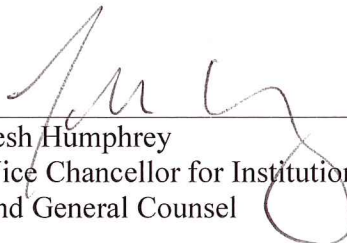
Open Session

Mr. Price requested a motion to approve a salary adjustment for the Chief Audit Officer to \$131,008 (\$1,008 increase).

Action. On a motion by Mr. Price, seconded by Mr. Wilson, the Committee approved the Chief Audit Officer's salary adjustment.

Motion to Adjourn. Ms. Schulte requested a motion to adjourn.

Action. On a motion by Mr. Wilson, seconded by Mr. Price, the meeting was adjourned.

Submitted:  11/27/18
Jesh Humphrey Date
Vice Chancellor for Institutional Integrity
and General Counsel

Approved:  11/27/18
Laura A. Schulte Date
Chair
Audit, Compliance, and Enterprise Risk
Management Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting

Tuesday, October 2, 2018, 10:30–11:30 a.m.
Popp Martin Student Union, Third Floor, Room C

Minutes

Present

Susan D. DeVore
Niayai Lavien
Laura A. Schulte

Absent

Teross W. Young Jr.

Staff in Attendance

Scott Byrd, Assistant Athletic Director for Compliance and Championships
Anna Clark, Executive Assistant to the Chief of Staff
Anthony Davison, Head Women's Tennis Coach
Philip L. Dubois, Chancellor
Chris Fuller, Deputy Athletic Director for External Relations
Lisa Hibbs, Athletic Academic Center Director
Mike Hill, Director of Athletics
Allison Roach, Executive Assistant
Ron Sanchez, Head Men's Basketball Coach
Darin Spease, Deputy Athletic Director

Call to Order. Ms. DeVore called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Ms. DeVore requested action on the minutes of the April 18, 2018, Athletics Committee meeting.

Action. On a motion by Ms. Schulte, seconded by Ms. Lavien, the Committee approved the April 18, 2018, meeting minutes.

Introduction of New Employees. Mr. Hill introduced new Athletics staff members Ron Sanchez, Anthony Davison, and Chris Fuller.

Coach Sanchez his plans for Men’s Basketball, including improving accountability on and off the court.

Coach Davison touched on his vision and future for Women’s Tennis.

Mr. Fuller spoke about his excitement for his involvement UNC Charlotte Athletics.

Academic Center Report. Ms. Hibbs stated that the System Office has tabled the clustering report for now, and she reviewed the reports on Student Athlete Admissions and Majors and Minors and discussed 2017-2018 Athletic Academic Center Highlights.

APR Report. Mr. Byrd reported on the 2016-2017 APR Report and explained how UNC Charlotte is scored.

Athletics Financial Indicators Report. Mr. Spease reviewed the report and discussed Athletics’ reduced reliance on student fees.

Athletics Department Update. Mr. Hill reviewed the hiring of Ron Sanchez, Anthony Davison, and Chris Fuller. Mr. Hill reported that Athletics would be undergoing a facilities master plan study and possibly a brand assessment. Mr. Hill recapped the fall 2018 welcome for student-athletes and his vision for UNC Charlotte Athletics, stating that student-athletes are his top priority, and noting the importance of fan engagement, building programs “the right way,” competing for championships, and maintaining a gold standard.

Adjournment. There being no further business, Ms. Devore adjourned the meeting.



Submitted:

Mike Hill
Director of Athletics

9/25/2020

Date



Approved:

J. Brett Keeter
Acting Chair
Academic and Student Affairs Committee

9/23/2020

Date