



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Tuesday, October 2, 2019, 8:00–9:30 a.m.
Popp Martin Student Union, Room, Multipurpose Room I

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.
Laura A. Schulte, Chair

2. Approval of Meeting Minutes 8:01 a.m.
Laura A. Schulte, Chair
Documents: April 18, 2018, Meeting Minutes—Open and Closed Sessions

3. Internal Audit Update 8:01 a.m.
Jennifer Walker, Chief Audit Officer
Documents: FY 2018 and 2019 Audit Plan Update
Summary of Internal Audit Reports Issued
Internal Audit Findings Exception Report
Internal Audit Charter Update
Review Internal Audit Quality Assessment Results
Internal Audit Budget Update

4. Environmental Health and Safety Office Remediation Update 8:21 a.m.
Beth Hardin, Vice Chancellor for Business Affairs

5. Division of Institutional Integrity Update 8:36 a.m.
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Documents: Organization Chart and Chief Risk Officer Position Description

6. Motion to Convene in Closed Session 8:41 a.m.
Laura A. Schulte, Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).*

Closed Session

7. Internal Audit Personnel Update 8:42 a.m.
Report on Litigation and Risk Management Issues
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
8. Title IX Update 9:02 a.m.
Michelle Reinken, Title IX Coordinator
Document: Title IX Compliance Program Snapshot
9. Motion to Resume in Open Session 9:22 a.m.
Laura A. Schulte, Chair

Open Session

10. Other Business 9:22 a.m.
Laura A. Schulte, Chair
11. Adjourn 9:30 a.m.
Laura A. Schulte, Chair

Members

Laura A. Schulte, Chair
Mary Ann Rouse
Michael L. Wilson
Teross W. Young Jr.
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Tuesday, October 2, 2018, 9:30–10:30 a.m.
Popp Martin Student Union, Third Floor, Room F

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Joe L. Price, Chair
2. Approval of Meeting Minutes
Joe L. Price, Chair
Documents: April 18, 2018, Open and Closed Session Meeting Minutes
August 23, 2018, Open and Closed Session Meeting Minutes
3. Motion to Convene in Closed Session
Joe L. Price, Chair
 - a. *To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6); and*
 - b. *to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).*

Closed Session

4. Faculty Actions and Salary Adjustments
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Quarterly Report of Faculty Actions
Quarterly Report of Salary Adjustments
5. Status of Academic Affairs Searches
Joan Lorden, Provost and Vice Chancellor for Academic Affairs

6. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments
Beth Hardin, Vice Chancellor for Business Affairs
Documents: Quarterly Report of EHRA Non-Faculty Actions
Quarterly Report of EHRA Non-Faculty Salary Adjustments
7. Tier 1 SAAO Salary Adjustment
Philip L. Dubois, Chancellor
Document: Pre-Approval for Tier I Senior Administrative Officer
8. Honorary Degree Nominations
Philip L. Dubois, Chancellor
Documents: Honorary Degree Pool
Honorary Degrees Conferred
Policy, Criteria, and Procedures for the Awarding of Honorary Degrees
9. Distinguished Service Award Nominations
Philip L. Dubois, Chancellor
Documents: Recipients of the Distinguished Service Award
Policy, Criteria, and Procedure for the Selection of the Distinguished Service Award
10. Title IX Update
Philip L. Dubois, Chancellor
11. Motion to Resume in Open Session
Joe L. Price, Chair

Open Session

12. Approval of Salary Adjustment
13. Other Business
Joe L. Price, Chair
14. Adjourn
Joe L. Price, Chair

Members

Joe L. Price, Chair
Susan D. DeVore
Theresa J. Drew
Dhiaa Jamil

Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting

Tuesday, October 2, 2018, 10:30 a.m.–11:30 a.m.
Popp Martin Student Union, Third Floor, Room F

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Michael L. Wilson, Chair
2. Approval of Meeting Minutes
Michael L. Wilson, Chair
Document: April 18, 2018, Open Session Minutes
3. Research Spotlight: North Carolina Consortium for Self-Aware Machining and Metrology
Presenter: Rick Tankersley, Interim Vice Chancellor for Research and Economic Development
Attending: Tony Schmitz, Professor of Mechanical Engineering and Engineering Science and Assistant Director, EPIC
Document: UNC ROI—North Carolina Consortium for Self-Aware Machining and Metrology
4. IT Restructuring Update
Mike Carlin, Vice Chancellor for Institutional Technology
5. Diversity Discussion
Kevin Bailey, Vice Chancellor for Student Affairs
6. Title IX Appeals Training
Presenter: Christine Reed Davis, Dean of Students and Acting Director of Student Conduct
Sarah Edwards, Associate General Counsel
Michelle Reinken, Title IX Coordinator
Documents: An Appellate Officer Primer: Student Conduct and Title IX
Policy on Minimum Substantive and Procedural Standards for Student
Disciplinary Proceedings (UNC Policy Manual 700.4.1)
7. Other Business
Michael L. Wilson, Chair

8. Adjourn
Michael L. Wilson, Chair

Members

Michael L. Wilson, Chair
Sharon A. Decker
J. Brett Keeter
Mary Ann Rouse
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting

Tuesday, October 2, 2018, 10:30–11:30 a.m.
Popp Martin Student Union, Third Floor, Room C

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan D. DeVore, Chair
2. Approval of Meeting Minutes
Susan D. DeVore, Chair
Document: April 18, 2018, Meeting Minutes
3. Introduction of New Employees
Mike Hill, Director of Athletics
4. Review of Course Clustering, Majors and Minors, Student-Athlete Admissions, and Academic Highlights
Lisa Hibbs, Director of Athletic Academic Center
Documents: 2016-2017 Course Clustering
Review of Majors and Minors
Five-Year Overview: Student Athlete Admissions
2017-2018 Athletic Academic Center Highlights
5. Academic Progress Rate Report
Scott Byrd, Associate Athletic Director for Compliance and Championships
Document: NCAA Division I 2016–2017 Academic Progress Rate Institutional Report
6. Athletics Financial Indicators Report
Darin Spease, Deputy Athletic Director
Document: Student Fee Revenue as a Share of Total Operating Revenue for Athletics

7. Athletics Department Update
Mike Hill, Director of Athletics
8. Other Business
Susan D. DeVore, Chair
9. Adjourn
Susan D. DeVore, Chair

Members

Susan D. DeVore, Chair
Niayai L. Lavien
Laura A. Schulte
Teross W. Young, Jr.
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting
Tuesday, October 2, 2018, 10:30 a.m.–11:30 a.m.
Popp Martin Student Union, Third Floor, Multipurpose Room F

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:30 a.m.
Theresa J. Drew, Chair

2. Approval of Meeting Minutes 10:31 a.m.
Theresa J. Drew, Chair
Document: April 18, 2018, Open Session Minutes

3. Request for Ratification of Capital Project Designer Selection 10:35 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Kathryn Horne, Interim Associate Vice Chancellor for Facilities Management
Document: Intercollegiate Swim Upfit

4. Capital Improvement Projects Update 10:45 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Kathryn Horne, Interim Associate Vice Chancellor Facilities Management
Document: Capital Improvement Projects

5. Other Business 11:15 a.m.
Theresa J. Drew, Chair

6. Adjourn 11:30 a.m.
Theresa J. Drew, Chair

Members

Theresa J. Drew, Chair
Dennis N. Bunker III
Dhiaa Jamil

Fred W. Klein Jr.
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Tuesday, October 2, 2018
Popp Martin Student Union

Schedule

- 8:00–9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting
Popp Martin Student Union, Third Floor, Room I
- 9:30–10:30 a.m. Executive Committee Meeting
Popp Martin Student Union, Third Floor, Room I
- 10:30–11:30 a.m. Academic and Student Affairs Committee Meeting
Popp Martin Student Union, Third Floor, Room I
- 10:30–11:30 a.m. Athletics Committee Meeting
Popp Martin Student Union, Third Floor, Room C
- 10:30–11:30 a.m. Facilities and Physical Properties Committee Meeting
Popp Martin Student Union, Third Floor, Room F
- 11:30 a.m.–
12:00 p.m. Lunch
Popp Martin Student Union, Third Floor, Room H
- 12:00–3:00 p.m. Board Will Convene in the Committee of the Whole
Popp Martin Student Union, Third Floor, Room ABDE

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

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| 1. Call to Order
Joe L. Price, Chair | 12:00 p.m. |
| 2. Introductions and Announcements
Joe L. Price, Chair | 12:01 p.m. |

3. Remarks from Board of Governors Liaison 12:05 p.m.
Philip Byers, Member of the Board of Governors
4. Approval of Meeting Minutes 12:10 p.m.
Joe L. Price, Chair
Documents: April 18, 2018, Open and Closed Session Meeting Minutes
July 2, 2018, Open and Closed Session Meeting Minutes
August 14, 2018, Open and Closed Session Meeting Minutes
August 24, 2018, Open and Closed Session Meeting Minutes
5. Remarks by the Chancellor 12:11 p.m.
Philip L. Dubois, Chancellor
6. Growing to 35,000: Graduate Education 12:26 p.m.
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: UNC Charlotte @ 35,000
7. Title IX in Athletics 12:41 p.m.
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Mike Hill, Director of Athletics
Document: Title IX
8. Campaign Update 12:56 p.m.
Niles Sorensen, Vice Chancellor for University Advancement
Document: Capital Campaign Update
9. Hotel and Conference Center Update 1:01 p.m.
Niles Sorensen, Vice Chancellor for University Advancement
10. Clery and Crime Statistics 1:11 p.m.
Jeff Baker, Chief of Police
Document: 2017 State and Clery Crime Statistics
11. Report of the Student Government Association 1:21 p.m.
Niayai L. Lavien, Student Body President and Trustee
Document: Student Government Association Report
12. Report of the University Faculty Council 1:26 p.m.
Richard Leeman, Faculty President
Document: Faculty Council Report
13. Report of the University Staff Council 1:31 p.m.
Celeste Corpening, Vice Chair
Document: Staff Council Report

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| 14. Report of the Academic and Student Affairs Committee
Michael L. Wilson, Chair | 1:36 p.m. |
| 15. Report of the Athletics Committee
Susan D. DeVore, Chair | 1:46 p.m. |
| 16. Report of the Facilities and Physical Properties Committee
Theresa J. Drew, Chair
Documents: Intercollegiate Swim Upfit | 1:56 p.m. |
| 17. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Laura A. Schulte, Chair | 2:06 p.m. |
| 18. Other Business
Joe L. Price, Chair | 2:16 p.m. |
| 19. Motion to Convene in Closed Session
Joe L. Price, Chair | 2:17 p.m. |
| <i>a.</i> Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; | |
| <i>b.</i> to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3). | |

Closed Session

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| 20. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Laura A. Schulte, Chair | 2:17 p.m. |
| 21. Report of the Executive Committee
Joe L. Price, Chair | 2:27 p.m. |
| 22. Title IX Update
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel | 2:37 p.m. |
| 23. Motion to Resume in Open Session
Joe L. Price, Chair | 2:50 p.m. |

Open Session

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| 24. Adjourn
Joe L. Price, Chair | 3:00 p.m. |
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