



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**  
Thursday, October 6, 2016, 8:00 a.m.  
Bioinformatics, Room 301

### **Open and Closed Session Minutes**

#### Present

Catherine P. Bessant, Chair (via conference call)  
Mary Ann Rouse  
Mike L. Wilson  
Joe L. Price, *ex officio*

#### Absent

Laura A. Schulte

#### Staff /Guests in Attendance

Philip L. Dubois, Chancellor  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board  
Rose Brown, Internal Audit Intern  
Mike Carlin, Vice Chancellor for Information Technology and Chief Information Officer  
J. Scott Derrick, Executive Director, Popp Martin Student Union & Cone University Center  
Beth Hardin, Vice Chancellor for Business Affairs  
Diana Hill, Staff Auditor  
Jim Hoppa, Associate Vice Chancellor, Student Union, Activities & Recreation  
Jesh Humphrey, Vice Chancellor and General Counsel  
Julie Earls, Staff Auditor  
Raehanna Evans, Internal Audit Intern  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Jennifer Newell, Title IX Coordinator  
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor's Cabinet  
Susan Burgess, Director of Compliance  
Raheel Qureshi, Interim Director of Internal Audit

Call to Order. Ms. Bessant called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Ms. Bessant requested action on the April 28, 2016, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Action. On a motion by Mr. Wilson, seconded by Ms. Rouse, the Committee approved the April 28, 2016, Audit, Compliance, and Enterprise Risk Management Committee minutes.

Internal Audit Update. Mr. Qureshi introduced the Internal Audit staff. Mr. Qureshi updated the Committee on the Annual Audit Plan for Fiscal Year 2017 and presented a summary of Internal Audit Reports issued since the last Audit, Compliance, and Enterprise Risk Management Committee meeting. Mr. Qureshi also reviewed active findings from previous audits. Chancellor Dubois asked whether Internal Audit has recommended that the Niner Tech store be made an auxiliary unit, and Mr. Qureshi stated the recommendations associated with the first two audit findings will be implemented first. Mr. Hoppa stated that relatively low pay rates and lower Apple product commissions have made hiring experienced staff difficult. Mr. Hoppa also stated that his team is reviewing the sustainability of the store and will make a recommendation within one year. Mr. Qureshi discussed proposed changes to the Internal Audit Charter and requested formal approval of the revised Charter. Ms. Bessant requested a motion to approve the Internal Audit Charter.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Committee approved the Internal Audit Charter.

Mr. Qureshi updated the Committee on the status of tasks required by the Board of Governors and reviewed the Internal Audit balanced scorecard.

Mr. Qureshi noted that an informative article titled "Internal Auditors Eyeing Operational Risks" was available in the Committee's boardbook.

Resolution of Direct Deposit Security Incident. Dr. Carlin updated the Committee on a recent phishing incident. Dr. Carlin reviewed the details of the phishing incident and the University's immediate and continuing response. Mr. Price asked whether a newly implemented dual authentication system is secure, and Dr. Carlin stated that the system is very secure. Dr. Carlin stated that IT has remediated issues involved in the phishing incident to the best of their ability and are now focusing on additional communication and training to help faculty, staff, and students not fall prey to such attempts in the future. Mr. Price stated that another organization with which he is associated makes source information visible in its emails and suggested that including such information might be useful. Dr. Carlin noted that University employees are prime targets for phishing incidents and that the University will continue its efforts to increase security, particularly in department handling sensitive data and for employees' personal data.

Motion to Convene in Closed Session. Ms. Bessant called for a motion to convene in closed session.

Action. On a motion by Mr. Wilson, seconded by Mr. Price, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee.*

Ms. Bessant excused all attendees excepted the Committee members, Chancellor Dubois, Ms. Bradley, Mr. Humphrey, Ms. Lorden, Ms. Newell, and Ms. Ward.

### **Closed Session**

*Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.*

Motion to Resume in Open Session. Ms. Bessant requested a motion to resume in open session.

Action. On a motion by Ms. Rouse, seconded by Mr. Wilson, the meeting resumed in open session.

### **Open Session**

Motion to Adjourn. Ms. Bessant requested a motion to adjourn.

Action. On a motion by Ms. Rouse, seconded by Mr. Wilson, the meeting was adjourned.

*Submitted:* Kim S. Bradley 11/30/16  
Kim S. Bradley Date  
Assistant Secretary  
UNC Charlotte Board of Trustees

*Approved:* Catherine P. Bessant 11/30/16  
Catherine P. Bessant Date  
Chair  
Audit, Compliance, and Enterprise  
Risk Management Committee



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

**Executive Committee Meeting**  
Bioinformatics, Room 305  
Thursday, October 6, 2016, 9:30 a.m.

### **Open and Closed Session Minutes**

#### Present

Joe L. Price, Chair  
Catherine P. Bessant (via conference call)  
Theresa J. Drew  
Dhiaa Jamil  
Mike L. Wilson

#### Absent

Laura A. Schulte

#### Staff/Guests in Attendance

Philip L. Dubois, Chancellor  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board  
Jesh Humphrey, Vice Chancellor and General Counsel  
Beth Hardin, Vice Chancellor for Business Affairs  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Jennifer Ward, Liaison to the Board of Trustees and the Cabinet

Call to Order. Mr. Price called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Price requested action on the April 28, 2016, and September 7, 2016, Executive Committee meeting minutes. Mr. Wilson requested one minor change in the April 28 minutes.

Action. On a motion by Mr. Jamil, seconded by Mr. Wilson, the Committee approved the April 28, 2016, and September 7, 2016, Executive Committee minutes.

Delegation of Authority for EHRA Salary Adjustments. Chancellor Dubois stated that the Board of Governors delegated to the University of North Carolina President and to the boards of trustees certain salary actions for employees exempt from the State Human Resources Act (EHRA) and that the Board of Governors also increased the threshold for the salary increases that the President may approve. The Chancellor also stated that the Board of Governors authorized the President to further delegate that authority to the boards of trustees in order to allow salary actions to be made in a timely manner. The Chancellor stated that this authority includes salary adjustment within the following limits:

- A temporary salary stipend or supplement with a specified end date that does not exceed 25 percent and \$25,000 of cumulative salary adjustments fiscal year to date based on the employee's June 30 salary, up to 12 months in duration.
- A temporary salary stipend or supplement without a specified end date that does not exceed 20 percent and \$15,000 of cumulative salary adjustments fiscal year to date based on the employee's June 30 salary.
- A permanent base salary adjustment that does not exceed 20 percent and \$15,000 of cumulative salary adjustments fiscal year to date based on the employee's June 30 salary.

The Chancellor stated that the President further authorized the boards of trustees to delegate this expanded authority to the Chancellor, and he requested that the Committee delegate that authority to him, and, under certain conditions, to the University's chief human resources officer.

Action. On a motion by Mr. Wilson, seconded by Ms. Drew, the Committee delegated the expanded salary authority to the Chancellor as requested and approved the resolution titled Delegation of Expanded Authority to the Chancellor for Certain Salary Actions for Employees Exempt from the State Human Resources Act.

Motion to Convene in Closed Session. Mr. Price requested a motion to convene in closed session.

Action. On a motion by Mr. Wilson, seconded by Mr. Jamil, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6);*
- b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3); and*
- c. *to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143-318.11(a)(2).*

## **Closed Session**

*Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.*

Motion to Resume in Open Session. Mr. Price requested a motion to resume in open session.

Action. On a motion by Mr. Jamil, seconded by Mr. Wilson, the meeting resumed in open session.

## **Open Session**

Approval of Naming of Admissions and Visitors Center. Mr. Price requested a motion to approve the naming as discussed in closed session.

Action. On a motion Mr. Wilson, seconded by Mr. Jamil, the Committee approved the naming of the Admissions and Visitors Center as proposed.

Other Business. Mr. Price asked the Chancellor to update the Committee on recent events in the community and on campus. The Chancellor briefly reviewed the University's response to unrest in the community, related student issues, and campus protests that had occurred. The Chancellor discussed potential effects on admissions. Ms. Drew asked how the campus communicates with students in the event of an emergency, and the Chancellor discussed the LiveSafe app. Ms. Hardin stated that Business Affairs has hired a communications consultant that is evaluating how to improve campus communications, including emergency response.

Motion to Adjourn. Mr. Price requested a motion to adjourn.

Action. On a motion by Mr. Wilson, seconded by Mr. Jamil, the Committee voted to adjourn.

Adjourn. There being no further business before the Committee, Mr. Price adjourned the meeting.

Submitted: Kim S. Bradley 11/30/16  
Kim S. Bradley Date  
Assistant Secretary  
UNC Charlotte Board of Trustees

Approved: Joe L. Price 30-Nov-16  
Joe L. Price Date  
Chair  
UNC Charlotte Board of Trustees





# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

**Academic and Student Affairs Committee Meeting**  
Thursday, October 6, 2016, 10:30 a.m.–11:30 a.m.  
Bioinformatics 301

### Minutes

#### Present

Michael L. Wilson, Acting Chair  
Mary Ann Rouse  
Joe L. Price, *ex officio*

#### Absent

David L. Hauser  
Laura A. Schulte, Chair

#### Staff and Students in Attendance

Jonathan Adams, Associate Dean of Students  
Kim Bradley, Chief of Staff  
Christine Reed Davis, Dean of Students  
Philip L. Dubois, Chancellor  
Sarah Edwards, Associate General Counsel  
Jesh Humphrey, Vice Chancellor and General Counsel  
Art Jackson, Vice Chancellor for Student Affairs  
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs  
Jennifer Newell, Title IX Coordinator  
Jay Raja, Senior Associate Provost  
David Spano, Associate Vice Chancellor for Health Programs and Services  
Dennis Wiese, Senior Associate Dean of Students  
Robert Wilhelm, Vice Chancellor for Research and Economic Development  
Leslie R. Zenk, Assistant Provost

Call to Order. Mr. Wilson called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Mr. Wilson requested action on the April 28, 2016, and July 20, 2016, Academic and Student Affairs Committee meeting minutes.

Action. On a motion by Ms. Rouse, seconded by Mr. Wilson, the Committee approved the April 28, 2016, and July 20, 2016, meeting minutes.

Title IX Appeals Training. Ms. Edwards stated that as the appellate body for student appeals of Title IX cases (including sexual misconduct, dating violence, and stalking) the Academic and Student Affairs Committee receives annual training on the University's student conduct process, the unique dynamics of sexual and interpersonal misconduct cases, and the parameters of the Committee's review when a case is brought to it. Ms. Edwards presented training to supplement the Committee members' completion of the online *Think About It* module that is distributed to incoming students. Ms. Edwards reviewed common victim responses and the ways in which trauma can play out in an investigation or hearing, related University codes and policies, and the appeals process.

Campus Behavioral Intervention Team. Ms. Davis and Dr. Spano provided information on the Campus Behavioral Intervention Team (CBIT), a part of the University's NinerCare network approach to bringing information together in order to identify students who have demonstrated behaviors of concern. Ms. Davis stated that ad hoc meetings of stakeholders who were concerned about student behavior and campus safety were held in years past, and that CBIT was formed in response to recommendations from the 2007 report of the UNC President's Campus Safety Task Force, which was convened by President Erskine Bowles after the shootings at Virginia Tech. Ms. Davis noted that the CBIT membership and procedures are included in the University's policy on Student Involuntary Protective Withdrawal (UP 408 <http://legal.uncc.edu/policies/up-408>).

Ms. Davis noted that CBIT's primary goals are to develop an objective, coordinated action plan to collect information, to assist the student with behavioral intervention and support mechanisms, and to protect the University community. Ms. Davis stated that CBIT membership consists of representatives from the Dean of Students' Office, the Office of Disability Services, the Counseling Center, Housing and Residence Life, the Graduate School, Legal Affairs, and Police and Public Safety. Ms. Davis also stated that the team meets weekly to discuss behaviors that are reported through the NinerCare network and that rise to the level of full-team consultation and coordination of intervention efforts and an assessment of whether a student's behavior could pose a direct threat to campus. Ms. Davis and Dr. Spano discussed the University's policy on involuntary protective withdrawal (IWP) and the steps involved in the IWP process.

Adjourn. There being no further business before the Committee, Mr. Wilson adjourned the meeting.

*Submitted:* Joan F. Lorden 9/30/2016  
Joan F. Lorden Date  
Provost and Vice Chancellor for Academic Affairs

*Approved:* Laura A. Schulte 10/30/16  
Laura A. Schulte Date  
Chair  
Academic and Student Affairs Committee



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

**Athletics Committee Meeting**  
Thursday, April 28, 2016, 10:30 a.m.  
Student Union, Room 264

### Meeting Minutes

#### Present

Dhiaa Jamil, Chair  
Mitchell J. Daratony  
Karen A. Popp, *ex officio*

#### Absent

Catherine P. Bessant  
David L. Hauser

#### Staff in Attendance

David Broome, Vice Chancellor and General Counsel  
Susan Burgess, Director of Compliance  
Scott Byrd, Assistant Athletic Director for Compliance and Championships  
Justin Campbell, Compliance Intern  
Chris Everett, Student Athlete Advisory Committee Advisor  
Lisa Hibbs, Director Athletic Academic Center  
Mike Hummer, Executive Director Athletic Foundation  
Jeffery Leak, Chair, Chancellor's Advisory Council on Intercollegiate Athletics  
Rachel Ramey, Assistant Director and Life Skills Coordinator, Athletic Academic Center  
Allison Roach, Executive Assistant  
Judy Rose, Director of Athletics  
Darin Spease, Senior Associate Athletic Director  
Chris Thomasson, Senior Associate Athletic Director  
Kim Whitestone, Senior Associate Athletic Director

Call to Order. Mr. Jamil called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Jamil requested action on the minutes of the February 19, 2016, meeting of the Athletics Committee.

Action. On a motion by Ms. Popp, seconded by Mr. Daratony, the Committee approved the February 19, 2016, Athletics Committee meeting minutes.

Review of Athletic Academic Policies and Procedures. Dr. Leak presented the findings of the review of the Summary of UNC Chapel Hill Policy Changes in Academics and Athletics. Dr. Leak noted several differences between UNC Charlotte athletic academic policies and those of UNC Chapel Hill. Dr. Leak noted that UNC Charlotte student-athletes are assigned primary advisors determined by their declared majors and athletic academic advisors as secondary advisors. Dr. Leak also noted that UNC Charlotte has student-athletes in every college. Dr. Leak stated that, even with the highest percentage of student-athletes, the College of Liberal Arts and Sciences (CLAS) still has below 50 percent of all student-athletes in CLAS majors. Dr. Leak stated that UNC Charlotte's football team has two advisors, and that UNC Charlotte appears to stress self-accountability in student-athletes. Dr. Leak noted that UNC Charlotte does not have sport-specific tutors, as does UNC Chapel Hill (for example, a football student-athlete at Chapel Hill works with one tutor for all coursework). Dr. Leak stated that UNC Charlotte tutors are students or graduate students recommended by faculty to tutor in subject-specific areas. Dr. Leak also noted that the Chancellor appoints the Faculty Athletic Representative and members of the Chancellor's Advisory Council on Intercollegiate Athletics.

Student-Athlete Advisory Committee Update. Ms. Everett presented a summary of the work of the Student Athlete Advisory Committee in 2015-2016. Ms. Everett noted that the committee had created a Student-Athlete Leadership Board and sponsored the Let's SAAC Hunger Annual Canned Food Drive; a Second Annual Student Athlete Field Day; a One Shirt, One Body T-Shirt Drive; and the 2016 Normies: Student-Athlete Awards Show.

Career Fair Update. Ms. Ramey reported on the first Student Athlete Career Fair, and stated that fifty-seven athletes and seventeen employers attended. Ms. Ramey stated that feedback on the event was extremely positive and that the career fair will be an annual event moving forward.

Athletics Budget Annual Report. Mr. Spease presented the 2016-2017 Athletic Department Budget and the 2015-2016 Conference USA Comparisons for Operational and Recruiting Budgets. Mr. Jamil requested a ranking of finishes based on budgets within the conference.

NCAA Compliance Report. Mr. Byrd presented the Institutional Control Oversight Violation Report for 2015-2016 and the Conference USA Rules Compliance Evaluation.

Gender Equity and Title IX Report. Ms. Whitestone reported that the Gender Equity and Title IX Committee has expanded in membership and focus. Ms. Whitestone stated that the committee has developed three subcommittees: Interpersonal Violence Prevention, Gender Equity Planning/Program Area Evaluations, and Student Athlete Feedback. Ms. Whitestone also reported that women's golf is on track to begin competition in 2016-2017 and that Athletics is working to confirm the addition of swimming to meet Title IX program expansion requirements.

Team Rules and Accountability. Updated information will be presented at the October 2016 Committee meeting.

Athletics Department Update. Ms. Rose presented a spring sports wrap up and an NCAA update.

Athletics Committee Annual Report Schedule. No changes were made to the Committee calendar.

Adjournment. There being no further business, Mr. Jamil adjourned the meeting.

Submitted:   
\_\_\_\_\_  
Judy Rose  
Director of Athletics

Approved:   
\_\_\_\_\_  
Susan D. DeVore  
Athletics Committee



**The University of North Carolina at Charlotte  
Board of Trustees**

**Facilities and Physical Properties Committee Meeting**  
Thursday, October 6, 2016, 10:30 a.m.  
Bioinformatics, Room 301

**Open Session Minutes**

Present

Theresa J. Drew, Chair  
Sharon Allred Decker  
Fred W. Klein  
Dhiaa Jamil

Staff/Guests in Attendance

Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
Kathryn Horne, Director of Space Management  
Jane Johansen, Director of Treasury Services  
Chris Bates, Assistant Vice Chancellor for Administration, Business Affairs  
Nelda Tatum, Executive Assistant, Business Affairs

Call to Order. Ms. Drew called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of the Minutes. Ms. Drew requested action on the April 28, 2016, Facilities and Physical Properties Committee meeting minutes.

Action. On a motion by Mr. Klein, seconded by Ms. Decker, the Committee unanimously approved the April 28, 2016, meeting minutes.

Requests for Capital Project Authorization.

Campus Storm Water Improvements. Mr. Jones stated that the Toby Creek Basin has fallen into disrepair and that the University and Mecklenburg County representatives have discussed restoring the creek to its natural state. Mr. Jones stated that the University will fund one-third of the project, a section between the track and field and the baseball stadium,

and the County will complete the other areas of this project. Mr. Jones stated that the project will generate stream restoration credits for UNC Charlotte, which will put the University in compliance with the City of Charlotte Storm Water Ordinance for the foreseeable future.

Action. On a motion by Mr. Jamil, seconded by Mr. Klein, the Committee recommended that the Board of Trustees authorize the University to undertake a capital improvement project, Campus Storm Water Improvements Phase 2—Tobey Creek Restoration, with a budget of \$850,000; authorize University staff to negotiate an agreement(s) with the County and City to formalize the project parameters, storm water credits, and funding; and authorize University staff to grant an easement to the County at no cost.

Reclaimed Water Infrastructure. Mr. Jones stated that the University has developed a partnership with Charlotte Water to bring reclaimed water to campus from the Mallard Creek wastewater plant. Mr. Jones stated that this source will replace the potable water currently used in the chillers and boilers on campus and to irrigate the playing fields and landscape areas. Mr. Jones stated that the reclaimed water will be purchased at a significantly reduced rate.

Mr. Jones stated that the total cost for the University's on-campus improvements will be \$2.1 million, which will be recovered by water rate savings over the life of the 30-year agreement with Charlotte Water. Mr. Jones stated that the total savings of \$9.5 million are expected to result from the use of reclaimed water.

Action. On a motion by Mr. Jamil, seconded by Ms. Decker, the Committee recommended that the Board of Trustees authorize the University to undertake a capital improvement project, Reclaimed Water, with a budget of \$2,100,000, and authorize University staff to negotiate an agreement with Charlotte Water formalizing the project parameters.

#### Requests for Increase in Capital Project Authorization.

Irwin Belk Track Resurfacing. Mr. Jones noted that the Belk track, which was built in 1996 and resurfaced in 2008, has degraded during years of use and again needs to be resurfaced. Mr. Jones stated that several resurfacing options were offered and that the preferred option included the replacement of drains and perimeter. Mr. Jones stated that an increase of \$200,000 in the initial authorization was requested and approved by the General Administration.

Mr. Jones stated that during the resurfacing an unforeseen site condition was discovered. Mr. Jones explained that the asphalt subsurface was insufficient to support resurfacing to NCAA standards, and that the designer has recommended full-depth asphalt reclamation as the most cost-efficient method of repair.



Mr. Jones stated that the additional funds for this work will come from the Athletic Foundation.

Action. On a motion by Ms. Decker, seconded by Mr. Jamil, the Committee recommended that the Board of Trustees approve an increase in the Irwin Belk Resurfacing Project from \$1,400,000 to \$1,850,000, to include the General Administration and Office of State Budget and Management increase of \$200,000 and an additional increase of \$250,000 from Athletic Foundation funds.

Student Counseling Center. Mr. Jones stated that an increase of \$400,000 above the original \$4,000,000 authorization for the Student Counseling Center is requested to cover the overage and any additional unforeseen conditions that may affect the project.

Mr. Jones stated that the Center, which will be located next to the existing Student Health Center, will provide clinical and wellness services to students.

Action. On a motion by Mr. Jamil, seconded by Ms. Decker, the Committee recommended that the Board of Trustees approve an increase in the authorization for the Student Counseling Center project from \$4,000,000 to \$4,400,000.

#### Request for Approval of Capital Project Designer Selection.

Science Building. Ms. Hardin and Ms. Horne discussed the qualifications of Payette of Boston, noting that the firm has a deep understanding of science education. Ms. Hardin stated that UNC Charlotte's requested authorization for the project was reduced to \$126 million and that the building will be 25 percent smaller than originally envisioned. At Mr. Klein's request, Ms. Hardin discussed the planning and process for Science Building project. Mr. Jones stated that the design of the project will be completed in 24 months and that construction will take 24 months.

Mr. Jones stated that on May 24, 2016, University staff reviewed 18 submittals and chose three to present and interview on June 30, 2016. Mr. Jones stated that the selection committee recommends approval of the following:

Selected: Clark Nexsen/Payette of Boston  
1<sup>st</sup> Alternate: Little Diversified Architectural Consulting/HOK of Atlanta  
2<sup>nd</sup> Alternate: LS3P Associates Ltd./Jacobs Consultancy of Tarrytown, NY

Action. On a motion by Mr. Klein, seconded by Mr. Jamil, the Committee recommended that the Board of Trustees approve the selected designer, Clark Nexsen, and first and second alternates Little Diversified Architectural Consulting and LS3P Associates, Ltd., for the Science Building project.

Facilities Operation and Parking Services Complex. Mr. Jones stated that the Facilities Operations and Parking Services buildings are being relocated to accommodate the construction of the new Science Building project. Mr. Jones stated that on June 23, 2016,

University staff received twelve submittals and chose three to present and interview on July 27, 2016. Mr. Jones stated that the selection committee recommends the following:

Selected: LS3P Associates, Ltd.  
1<sup>st</sup> Alternate: C Design  
2<sup>nd</sup> Alternate: LaBella Associates, PC

Action: On a motion by Mr. Jamil, seconded by Mr. Klein, the Committee recommended that the Board of Trustees approve the selected designer, LS3P Associates, Ltd., and first and second alternates C Design and LaBella Associates, PC, for the Facilities Operations and Parking Services Complex project.

Request for Approval of Capital Project Construction Manager at Risk—Facilities Operations and Parking Services Complex. Mr. Jones stated that the Facilities Operations and Parking Services buildings are being relocated to accommodate the construction of the new Science Building project. Mr. Jones stated that on August 2, 2016, the selection committee received eleven proposals for the project and chose three to present and interview on August 30, 2016. Mr. Jones stated that the selection committee recommends approval of the following:

Selected: New Atlantic  
1<sup>st</sup> Alternate: BE & K  
2<sup>nd</sup> Alternate: JE Dunn

Action. On a motion by Mr. Klein, seconded by Mr. Jamil the Committee recommended that the Board of Trustees approve the selected construction manager at risk, New Atlantic, and the first and second alternates BE & K and JE Dunn for the Facilities Operations and Parking Services Complex project.

Request for Authorization to Acquire by Lease. Mr. Jones stated that additional parking is needed for the contractors who will be working on construction projects on campus during the five-year capital construction plan. Mr. Jones stated that Facilities Management will require contractors to park their employee vehicles off-site.

Mr. Jones stated that to accommodate the contractors, the University will lease two surface parking lots: one from Regal Cinemas, Inc., and one from 200 Pavilion LLC. Mr. Jones stated that the annual costs will be \$36,000 and \$10,000 per year, respectively, and that the total cost for the five-year period will be \$230,000.

Action. On a motion by Mr. Jamil, seconded by Mr. Klein, the Committee recommended that the Board of Trustees approve the request to lease space for off-campus parking with a total five-year cost of \$230,000.

Request for Ratification of Capital Project Designer Selection.

Request for Approval of Capital Project Construction Manager at Risk. Mr. Jones stated that expansion of the west side of the Student Union Parking Deck is needed to

accommodate the loss of spaces in Parking Lot 19, the site of the new Health and Wellness Center (HAWC).

Mr. Jones stated that on June 6, 2016, University staff received six submittals and chose three teams to present and interview on July 28, 2016. Mr. Jones stated that the selection committee recommends the following:

Selected: SKA Consulting Engineers, Inc.  
1<sup>st</sup> Alternate: Walker Parking Consultants  
2<sup>nd</sup> Alternate: Neighboring Concepts, PLLC

Action. On a motion by Mr. Jamil, seconded by Mr. Klein, the Committee ratified the selected designer, SKA Consulting Engineers, Inc., and first and second alternates Walker Parking Consultants and Neighboring Concepts, PLLC, for the Student Union Deck Expansion project.

Sycamore Hall Renovation. Mr. Jones stated that former residence Sycamore Hall will be repurposed as an administrative office building. Mr. Jones stated that the renovated building will house various campus units, primarily those in the Urban Institute.

Mr. Jones stated that on March 31, 2016, University staff received thirteen proposals for the project and chose three to present and interview on May 6, 2016. Mr. Jones stated that the selection committee recommends ratification of the following:

Selected: Morris-Berg Architects  
1<sup>st</sup> Alternate: LS3P Associates Ltd.  
2<sup>nd</sup> Alternate: Bilboa Architecture, PLLC

Action. On a motion by Mr. Jamil, seconded by Mr. Klein, the Committee ratified the selected designer, Morris-Berg Architects, and first and second alternates LS3P Associate Ltd. and Bilboa Architecture, PLLC, for the Sycamore Hall Renovation project.

Belk Plaza Revitalization. Mr. Jones stated that the Belk Plaza Revitalization will create a dynamic outside environment with a water feature, improvements to campus pedestrian and bike circulation, seating walls, and other aesthetic improvements.

Mr. Jones stated that on July 12, 2016, University staff received three submittals for the Belk Plaza project and the selection committee and chose three to present and interview. on August 3, 2016. Mr. Jones stated that the selection committee recommends ratification of the following:

Selected: McAdams  
1<sup>st</sup> Alternate: LandDesign, Inc.  
2<sup>nd</sup> Alternate: Design Workshop

Action: On a motion by Mr. Jamil, seconded by Mr. Klein, the Committee ratified the selected designer, McAdams, and first and second alternates LandDesign, Inc., and Design Workshop for the Belk Plaza Revitalization project.

Charlotte Research Institute (CRI) Entrance Improvements. Mr. Jones stated that the CRI Entrance Improvements project will add sign columns, site grading, and landscaping along the CRI entrance on N. Tryon Street (US 29). Mr. Jones stated that this project will be coordinated with the CATS Blue Line Extension (BLE) construction along N. Tryon.

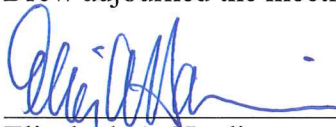
Mr. Jones stated that on July 7, 2016, University staff received four submittals and chose three to present and interview on August 1, 2016. Mr. Jones stated that the selection committee recommends the following:


Selected: ColeJenest & Stone  
1<sup>st</sup> Alternate: Watson Tate Savory Architects  
2<sup>nd</sup> Alternate: McAdams

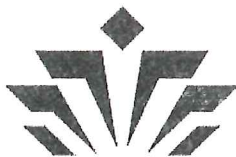
Action. On a motion by Mr. Jamil, seconded by Mr. Klein, the Committee ratified the selected designer, ColeJenest & Stone, and the first and second alternates Watson Tate Savory Architects and McAdams for the CRI Entrance Improvements project.

Capital Improvement Projects Update. Mr. Jones stated the Oak Hall renovation project was not completed on time and that the State Construction Office accepted the building on August 24, 2015. Mr. Jones noted that liquidated damages have been assessed against the contractor to cover the University's cost to temporarily house students in another building. Mr. Jones stated that the contractor has filed a claim against the University for additional costs and the return of the liquidated damages and that a meeting with the North Carolina Attorney General's office will be held in October 2016 to discuss the mediation process.

Adjournment. With no further business, Ms. Drew adjourned the meeting.

Submitted:  12/07/2016  
Elizabeth A. Hardin Date  
Vice Chancellor for Business Affairs

Approved:  11/30/16  
Theresa J. Drew Date  
Chair, Facilities and Physical Properties Committee



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

Thursday, October 6, 2016, 12:00 p.m.  
Bioinformatics, Room 217

### Open and Closed Session Minutes

Presiding: Joe L. Price, Chair  
Assistant Secretary: Kim Bradley, Chief of Staff

#### Present

Fahn K. Darkor  
Sharon Allred Decker  
Theresa J. Drew  
Dhiala Jamil  
Fred W. Klein  
Karen A. Popp  
Mary Ann Rouse  
Michael L. Wilson

#### Absent

Catherine P. Bessant  
Susan D. DeVore  
David L. Hauser  
Laura A. Schulte

#### Staff/Guests in Attendance

Philip L. Dubois, Chancellor  
Jeff Baker, Chief of Police  
John Bland, Director of Public Relations  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board  
Philip Byers, Board of Governors Liaison to UNC Charlotte  
Mike Carlin, Vice Chancellor for Information Technology and Chief Information Officer  
Celeste Corpeneing, Staff Council Vice Chair  
Christine Reed Davis, Dean of Students  
Betty Doster, Special Assistant for Constituent Relations  
Shari Dunn, Senior Executive Assistant to the Chancellor  
Sarah Edwards, Associate General Counsel  
Beth Fischer, Executive Director of University Development  
Joel Gallegos, Assistant Provost for International Programs

Beth Hardin, Vice Chancellor for Business Affairs  
Jesh Humphrey, Vice Chancellor and General Counsel  
Phil Jones, Associate Vice Chancellor for Facilities  
Jennifer Newell, Title IX Coordinator  
Art Jackson, Vice Chancellor for Student Affairs  
Jane Johansen, Director of Treasury Services  
Penny Stevens, Staff Council Chair  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Paul Nowell, Media Relations Manager  
Jay Raja, Senior Associate Provost  
Judy Rose, Director of Intercollegiate Athletics  
Brenda Shue, Executive Assistant to the Chancellor  
Niles Sorensen, Vice Chancellor for University Advancement  
Penny Stevens, Staff Council Chair  
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor's Cabinet  
Stephen Ward, Executive Director, University Communications  
Cassandra Wright, Executive Assistant to the Chief of Staff  
Bob Wilhelm, Vice Chancellor for Research and Economic Development  
Leslie Zenk, Assistant Provost  
Raheel Qureshi, Interim Director of Internal Audit

Call to Order. Mr. Price called the meeting to order and reminded members of the Board of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board at any time during this meeting. No conflicts of interest were reported.

Introductions and Announcements. Mr. Price reviewed upcoming events:

- The dedication of the Cato College of Education on November 11.
- The next Board of Trustees meeting on November 30.
- The Ladies' Holiday Luncheon on December 6.

Mr. Price noted that a complete list of upcoming events was distributed to the Trustees.

Election of Assistant Secretary to the Board of Trustees. Mr. Price stated that the Board of Trustees bylaws permit the Board to elect an assistant secretary from the Chancellor's staff, and that the assistant secretary's signature on Board of Trustees documents carries the same force as that of the Board Secretary. Mr. Price requested a motion to elect Chief of Staff Kim Bradley as assistant secretary to the Board of Trustees.

Action. On a motion by Mr. Wilson, seconded by Ms. Rouse, the Trustees elected Ms. Bradley as assistant secretary.

Approval of Minutes. Mr. Price requested action on the minutes of the April 28, July 1, and August 25, 2016, meetings of the Board of Trustees.

Action. On a motion by Ms. Popp, seconded by Ms. Drew, the Trustees approved the April 28, July 1, and August 25, 2016, Board of Trustees meeting minutes.

Remarks by Board of Governors Member. Mr. Philip Byers, a member of the Board of Governors and UNC Charlotte's liaison to the Board of Governors, expressed his support for the Chancellor's work and for UNC Charlotte. Mr. Byers stated that the Board of Governors' new strategic plan, which will be made public soon, is guided by five principles: affordability, accessibility, student success, economic impact, and excellent and diverse institutions. Mr. Byers also noted that several trustees' terms will expire soon and that he will support their reappointment.

Remarks by the Chancellor. Chancellor Dubois stated that the academic year started well, with a record enrollment of 28,721 and residence halls at capacity. The Chancellor noted that several thousand students and several hundred faculty participated in this year's New Student Convocation. The Chancellor also noted that a number of successful Founders' Week celebrations had included the dedication of the Popp Martin Student Union and the announcement of the University's \$200 million "Exponential" capital campaign.

The Chancellor stated that in the aftermath of a recent shooting in University City three peaceful protest-related gatherings and a march were held on campus. The Chancellor stated that he has asked Student Government Association President Fahn Darkor to bring some student leadership together for further conversations about diversity, future protest activity, and the University's posture on free speech and student discipline.

The Chancellor reported that the recent Charlotte Chamber Retreat included conversations about how Charlotte should move forward as a community, and he noted that Professor Susan McCarter of the University's Social Work program was an excellent participant in one of the panel discussions. The Chancellor stated that he and Joan Lorden plan to bring a number of faculty members together to discuss the University resources that can play a role in ongoing discussions about Charlotte's future, which he stated are likely to be led by the Opportunity Task Force with support from the Foundation for the Carolinas and UNC Charlotte.

The Chancellor stated that freshman applications for fall 2017 are down by 25 percent compared to fall 2016 and down 15 percent compared to fall 2015. The Chancellor noted that the decline in applications preceded the recent violence in Charlotte and appears to be related to some issues with new security measures in the University's application portal. The Chancellor stated that Admissions and Institutional Technology staff are working to fix the problem and that the University will continue to monitor trends through October. The Chancellor stated that the number of graduate-level applications is strong.

The Chancellor stated that a number of campus construction projects are moving forward and that Levine Hall will be completed in November and students will move into the residence in January.

Mr. Price stated that the Chancellor and University staff responded well to the recent challenging circumstances in the Charlotte community. Mr. Byers complimented the work of Police Chief Jeff Baker.

Office of International Programs Overview. Mr. Gallegos, director of the Office of International Programs, stated that the University created a consolidated international programs office more than 40 years ago and that the program has become increasingly robust and has become a model for international programs at other universities. Mr. Gallegos stated that his program is charged with infusing international perspective into education and that the programming it creates and supports helps students develop a skill set that will enable them to work cooperatively in an increasingly global workplace. Mr. Gallegos provided a broad overview of the University's international programs. Mr. Gallegos presented an overview of the University's international programs, including a video, including information on the International Students and Scholars Office, the Office of Education Abroad, and the Office of Global Education and Engagement.

Ms. Decker asked whether obtaining student visas is a challenge for incoming international students, and Mr. Gallegos stated that the student visa application process has improved. Mr. Price asked whether study abroad is a first international experience for many participants, and Mr. Gallegos stated that a large percentage of study abroad participants have never traveled out of the country. Mr. Gallegos noted that in 2015 almost 36 percent of incoming freshmen indicated interest in study abroad programs.

Chancellor Dubois reminded the trustees that the University's Green and Gold Travelers Society is hosting a trip to Israel and Jordan in May 2017.

Report of the Executive Committee. Mr. Price reported that the Executive Committee had approved a resolution delegating to the Chancellor authority for certain salary actions for employees Exempt from the State Human Resources Act (EHRA). Mr. Price stated that the UNC Board of Governors recently approved policy changes that increased the President's delegated authority in conducting and overseeing human resources matters for the University. Mr. Price explained that, to enhance efficiency and the timeliness of conducting human resources business, the Board of Governors also provided the President with the option to further delegate authority to the constituent institutions with respect to salary adjustments for employees Exempt from the State Human Resources Act (EHRA).

Mr. Price stated that President Spellings increased the baseline delegation of authority to the constituent institutions for most EHRA salary actions up to 20 percent and \$15,000. Mr. Price noted that, pursuant to UNC General Administration policy, EHRA salary delegations are granted to the Boards of Trustees, which in turn have the authority to further delegate most of these matters directly to the Chancellors.

Mr. Price stated that the resolution approved by the Executive Committee delegates to the Chancellor the authority to make such EHRA salary adjustments consistent with the new baseline delegation of authority.



Mr. Price stated that the delegation is intended to allow UNC Charlotte's EHRA salary adjustments to occur more efficiently. It authorizes the Chancellor to approve most salary adjustments for EHRA employees up to 20 percent and \$15,000 and to approve temporary salary stipends or supplements within certain limits.

Mr. Price stated that the resolution also allows the Chancellor to delegate to the University's chief human resources officer the authority to approve individual salary adjustments of up to \$15,000 under certain conditions.

Mr. Price stated that the President's delegation to the Board, and the Executive Committee's delegation to the Chancellor and his designee, specifically excludes salary adjustments for Tier I Senior Academic and Administrative Officers. Salary adjustments for those employees will still require pre-approval of the Board of Trustees and the UNC President.

Report of the Academic and Student Affairs Committee. Mr. Wilson reported on the meeting of the Academic and Student Affairs Committee in the absence of the committee chair, Laura Schulte. Mr. Wilson stated that Sarah Edwards, associate general counsel, presented required Title IX appeals training. Mr. Wilson also stated that Dr. Dave Spano, director of the University's counseling center, and Dean of Students Christine Reed Davis presented an overview of the work of the Campus Behavioral Intervention Team (CBIT). Mr. Wilson stated that the CBIT brings together information from concerned faculty, staff, and students, to identify students who have exhibited behaviors that may be a cause for concern.

Mr. Wilson also commended Mr. Darkor for his ongoing support of the campus community throughout recent difficult events in Charlotte.

Report of the Athletics Committee. Ms. Popp reported on the meeting of the Athletics Committee in the absence of the committee chair, Susan DeVore. Ms. Popp reported that Lisa Hibbs, director of the Athletic Academic Center, reviewed data on student-athletes' academic majors, course selections, and admissions. Ms. Popp stated that Scott Byrd, association athletic director for compliance, presented the annual academic progress report and deputy athletic director Darin Spease presented a report reviewing the eight indicators reported via the National Collegiate Athletic Association's financial dashboard tool. Ms. Popp also stated that Ms. Rose reported on a new "sports expectation scorecard" that was developed with the input of each head coach. Ms. Popp stated that the scorecard, which includes data on wins/losses, post-season appearances, student-athlete grade point averages, and other factors, will be used to evaluate all of the University's sports teams each year.

Report of the Facilities and Physical Properties Committee. Ms. Drew noted that the University has 23 facilities projects currently in design.

Ms. Drew presented a motion from the Committee to authorize the University to undertake a capital improvement project, Campus Storm Water Improvements Phase 2—Toby Creek Restoration, with a budget of \$850,000; authorize University staff to negotiate an agreement(s) with the County and City to formalize the project parameters, storm water credits, and funding; and authorize University staff to grant an easement to the County at no cost.

Action. The Board unanimously approved the capital improvement project, Campus Storm Water Improvements Phase 2—Toby Creek Restoration, with a budget of \$850,000.

Ms. Drew presented a motion from the Committee to authorize the University to undertake a capital improvement project, Reclaimed Water, with a budget of \$2,100,000, and to authorize University staff to negotiate an agreement with Charlotte Water formalizing the project parameters.

Action. The Board unanimously approved the capital improvement project, Reclaimed Water, with a budget of \$2,100,000, and authorized University staff to negotiate an agreement with Charlotte Water formalizing the project parameters.

Ms. Drew presented a motion from the Committee to approve an increase in the Irwin Belk Track Resurfacing Project from \$1,400,000 to \$1,850,000, including the GA and OSBM increase of \$200,000 and an additional approval from Athletic Foundation funds increase of \$250,000.

Action. The Board unanimously approved an increase in the Irwin Belk Track Resurfacing Project from \$1,400,000 to \$1,850,000, including the GA and OSBM increase of \$200,000 and an additional approval from Athletic Foundation funds increase of \$250,000.

Ms. Drew presented a motion from the Committee to approve an increase in the authorization for the Student Counseling Center project from \$4,000,000 to \$4,400,000.

Action. The Board unanimously approved an increase in the authorization for the Student Counseling Center project from \$4,000,000 to \$4,400,000.

Ms. Drew presented a motion from the Committee to approve the selected designer, LS3P Associates, Ltd., and first and second alternates C Design and LaBella Associates, PC, for the Facilities Operations and Parking Services Complex project.

Action. The Board unanimously approved the selected designer, LS3P Associates, Ltd., and first and second alternates C Design and LaBella Associates, PC, for the Facilities Operations and Parking Services Complex project.

Ms. Drew presented a motion from the Committee to approve the selected designer, Clark Nexsen and first and second alternates Little Diversified Architectural Consulting and LS3P Associates, Ltd., for the Science Building project. Ms. Hardin noted that the three selected finalists are design firms with considerable experience with science education projects. Ms. Rouse asked when the project will be completed, and Mr. Jones stated that design and construction will require two years

each. Ms. Doster noted that the selected designer, Clark Nexsen, has a significant workforce in North Carolina.

Action. The Board unanimously approved the selected designer, Clark Nexsen, and first and second alternates Little Diversified Architectural Consulting and LS3P Associates, Ltd., for the Science Building project.

Ms. Drew presented a motion from the Committee to approve the chosen construction manager at risk, New Atlantic, and first and second alternates BE & K Building Group and JE Dunn Construction for the Facilities Operations and Parking Services Complex project.

Action. The Board unanimously approved the chosen construction manager at risk, New Atlantic, and first and second alternates BE & K Building Group and JE Dunn Construction for the Facilities Operations and Parking Services Complex project.

Ms. Drew presented a motion from the Committee to approve two leases for contractor off-campus parking at a total five-year cost of \$230,000.

Action. The Board unanimously approved the leases for contractor off-campus parking at a total five-year cost of \$230,000.

Ms. Drew reviewed a number of additional authorizations ratified by the Facilities and Physical Properties Committee.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Mr. Price, reporting in Ms. Bessant's absence, stated that the Committee had received an update on the Annual Audit Plan for FY 2017, audits conducted, and status of active findings since the last committee meeting. Mr. Price stated that the Internal Audit Department 2016-2021 strategic plan was presented. Mr. Price noted that the Committee approve changes to the Internal Audit Charter.

Clery Act Requirements and Campus Safety (Education) and Clery Report. Ms. Edwards presented an overview of the requirements of the Clery Act, including disclosure, timely warnings, education programs, and grievance procedures. The University's 2015 Clery report was provided to the Trustees in the meeting boardbook.

Report of the Student Government Association (SGA). Mr. Darkor stated that student Senate training was recently completed with 20 participants and that the Senate is nearly fully seated. Mr. Darkor stated that the annual campus safety tour is scheduled for November 10 and invited anyone interested to participate in the tour. Mr. Darkor stated that the SGA is looking forward to Homecoming and to a tailgate event at Birmingham Southern University. Mr. Darkor noted that he plans to attend UNC President Spellings' inauguration on October 13.

Report of the University Faculty Council. Dr. Walker, chair of the Faculty Council, was unable to attend the meeting. The Faculty Council report was included in the boardbook.

Report of the University Staff Council. Ms. Stevens reported that the Fall Festival will be held October 11 and will benefit Rowan Helping Ministries. Ms. Stevens stated that many staff members have expressed concerns about the Kronos timekeeping system and that the Council had met with Human Resources representative Kieffer Gaddis to discuss those concerns. Ms. Stevens stated that Krissy Kaylor and Joy Finney from Human Resources had also attended attend a Staff Council meeting to discuss employee benefits and the upcoming open enrollment period. Ms. Stevens stated that Chancellor Dubois and Ms. Bradley will attend the November Staff Council meeting.

Ms. Stevens also reported that she and Staff Council Vice Chair Celeste Corpening were able to strengthen ties to other UNC institution staff members at a recent UNC Staff Assembly meeting at UNC Wilmington.

Motion to Convene in Closed Session. Mr. Price called for a motion for the Board to convene in closed session.

Action. On a motion by Ms. Rouse, seconded by Ms. Wilson, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel;*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee; and*
- d. *N.C.G.S. §143.318.11(a)(2), to prevent the premature disclosure of an award.*

### **Closed Session**

*Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.*

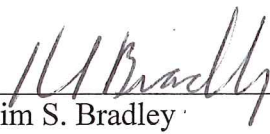
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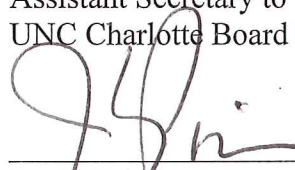
Motion to Resume in Open Session. Mr. Price called for a motion for the Board to resume in open session.

Action. On a motion by Ms. Drew, seconded by Mr. Wilson, the Board resumed in open session.

**Open Session**

Adjournment. There being no additional items, Mr. Price adjourned the meeting.

Submitted:  11/30/16  
Kim S. Bradley Date  
Assistant Secretary to the Board  
UNC Charlotte Board of Trustees

Approved:  30-NOV-16  
Joe L. Price Date  
Chair  
UNC Charlotte Board of Trustees