



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**

Wednesday, October 11, 2017, 8:00–9:30 a.m.  
Popp Martin Student Union, 3<sup>rd</sup> Floor, Room 340I

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Laura A. Schulte, Chair
2. Approval of the April 20, 2017, Meeting Minutes  
Laura A. Schulte, Chair  
Documents: April 20, 2017, Open Session Meeting Minutes  
April 20, 2017, Closed Session Meeting Minutes
3. Internal Audit Update  
Jennifer Walker, Chief Audit Officer  
Documents: FY 2018 Audit Plan Update  
Summary of Internal Audit Reports Issued Since April 2017  
Active Findings Report  
Revised Internal Audit Charter (redline)  
Revised Internal Audit Charter (clean)  
Organization Chart  
IIA Publication: Tone at the Top
4. Compliance Update  
Susan Burgess, Director of Compliance  
Documents: 2017 Annual Security and Fire Safety Report  
University Ethics and Compliance Programs and Activities  
New and Revised University Policies  
Department of Defense Voluntary Education Institutional Compliance Program

5. Motion to Convene in Closed Session

Laura A. Schulte, Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).*

**Closed Session**

6. Report on Litigation and Risk Management Issues

Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

7. Title IX Update

Jennifer Newell, Title IX Coordinator

Document: Title IX Compliance Program “Snapshot”

8. Motion to Resume in Open Session

Laura A. Schulte, Chair

**Open Session**

9. Other Business

Laura A. Schulte, Chair

10. Adjourn

Laura A. Schulte, Chair

Members

Laura A. Schulte, Chair

Mary Ann Rouse

Teross W. Young

Michael L. Wilson

Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**

Wednesday, October 11, 2017, 9:30–10:30 a.m.  
Popp Martin Student Union, 3<sup>rd</sup> Floor, Room 340I

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 9:30 a.m.  
Joe L. Price, Chair
  
2. Approval of the April 20, 2017, and September 20, 2017, Meeting Minutes 9:31 a.m.  
Joe L. Price, Chair  
Documents: April 20, 2017, Open Session Meeting Minutes  
April 20, 2017, Closed Session Meeting Minutes  
May 12, 2017, Open Session Meeting Minutes  
May 12, 2017, Closed Session Meeting Minutes
  
3. 2016 Employee Relations Report 9:33 a.m.  
Presenter: Chancellor Dubois  
Attending: Jeanne Madorin and Gary Stinnett  
Documents: 2016 Annual Employee Relations Report  
Appendix I—Supplemental Data: 2016 SHRA Disciplinary Actions by  
Department  
Appendix II—Exit Interviews Annual Report
  
4. Procedure for Resolving Faculty Grievances 9:43 a.m.  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Documents: Procedures for Resolving Faculty Grievances (Arising under Section 607(3) of  
The Code of the University of North Carolina) (*redline*)  
Procedures for Resolving Faculty Grievances (Arising under Section 607(3) of  
The Code of the University of North Carolina) (*clean*)  
Resolution of the Board of Trustees of the University of North Carolina at  
Charlotte: Approval of Revision to Procedures for Resolving Faculty  
Grievances (Arising under Section 607(3) of The Code of the University of  
North Carolina)

5. Bank of America Endowed Chair in Computing and Informatics 9:48 a.m.  
 Presenter: Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
 Document: September 11, 2017, Letter from Dr. Lorden to Chancellor Dubois
6. Motion to Convene in Closed Session 9:52 a.m.  
 Joe L. Price, Chair
  - a. *To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6); and*
  - b. *to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).*

### Closed Session

7. Quarterly Report of Faculty Actions 9:52 a.m.  
 Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
 Document: Quarterly Report of Faculty Actions
8. Quarterly Report of EHRA Non-Faculty Actions 9:54 a.m.  
 Beth Hardin, Vice Chancellor for Business Affairs  
 Document: Quarterly Report of EHRA Non-Faculty Actions
9. Amendment to Athletics Employment Agreement 9:56 a.m.  
 Judy Rose, Athletics Director  
 Document: Second Amendment to Employment Agreement
10. Athletics Employment Agreement 10:00 a.m.  
 Judy Rose, Athletics Director  
 Document: Employment Agreement: Head Women's Soccer Coach
11. Petition Regarding Political Activity 10:05 a.m.  
 Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
 Documents: August 2, 2017, Email re: Faculty Member Candidacy for Office  
 UNC General Administration Petition Regarding Political Activity  
 Guidelines Concerning Use of University of North Carolina Resources for  
 Political Campaign Activities  
 Candidacy for Elective Office; Officeholding (Elective and Appointive Public  
 Office), UNC Policy Manual 300.5.2
12. Honorary Degree Nominations 10:15 a.m.  
 Philip L. Dubois, Chancellor  
 Documents: Policy, Criteria, and Procedures for the Awarding of Honorary Degrees  
 Honorary Degrees Conferred  
 Honorary Degree Pool

13. Distinguished Service Award Nominations 10:20 a.m.  
Philip L. Dubois, Chancellor  
Documents: Policy, Criteria, and Procedure for the Selection  
of the Distinguished Service Award  
Recipients of the Distinguished Service Award

14. Motion to Resume in Open Session 10:25 a.m.  
Joe L. Price, Chair

### **Open Session**

15. Approval of Amendment to Athletics Employment Agreement 10:25 a.m.  
Joe L. Price, Chair

16. Approval of Athletics Employment Agreement 10:27 a.m.  
Joe L. Price, Chair

17. Approval of Petition Regarding Political Activity 10:28 a.m.  
Joe L. Price, Chair

18. Other Business 10:29 a.m.  
Joe L. Price, Chair

19. Adjourn 10:30 a.m.  
Joe L. Price, Chair

### Members

Joe L. Price, Chair  
Susan D. DeVore  
Theresa J. Drew  
Dhiaa Jamil  
Mary Ann Rouse  
Laura A. Schulte  
Michael L. Wilson



**The University of North Carolina at Charlotte  
Board of Trustees**

**Academic and Student Affairs Committee Meeting**  
Wednesday, October 11, 2017, 10:30 a.m.–11:30 a.m.  
Popp Martin Student Union, 3<sup>rd</sup> Floor, Room 340I

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Michael L. Wilson, Chair
2. Approval of the April 20, 2017, Meeting Minutes  
Michael L. Wilson, Chair  
Document: April 20, 2017, Meeting Minutes
3. Research Spotlight: Four Decades of Research in American Racial Thought  
Presenter: Bob Wilhelm, Vice Chancellor for Research and Economic Development  
Attending: John David Smith, Charles H. Stone Distinguished Professor of American History
4. Title IX Appeals Training  
Presenter: Jonathan Adams, Associate Dean and Director of Student Conduct  
Sarah Edwards, Associate General Counsel  
Jennifer Newell, Title IX Coordinator  
Documents: An Appellate Officer Primer: Student Conduct and Title IX  
Policy on Minimum Substantive and Procedural Standards for Student  
Disciplinary Proceedings (UNC Policy Manual 700.4.1)
5. Other Business  
Michael L. Wilson, Chair
6. Adjourn  
Michael L. Wilson, Chair

Members

Michael L. Wilson, Chair	Mary Ann Rouse
Sharon A. Decker	Joe L. Price, <i>ex officio</i>
J. Brett Keeter	



**The University of North Carolina at Charlotte  
Board of Trustees**

**Athletics Committee Meeting**

Wednesday, October 11, 2017, 10:30 a.m.–11:30 a.m.  
Popp Martin Student Union, 3<sup>rd</sup> Floor, Room 340H

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Laura A. Schulte, Acting Chair
2. Approval of the April 20, 2017, Meeting Minutes  
Laura A. Schulte, Acting Chair  
Document: April 20, 2017, Meeting Minutes
3. Review of Concentration of Classes, Selection of Academic Majors, and Five-Year Overview of Student Athlete Admissions  
Lisa Hibbs, Director of Athletic Academic Center  
Documents: Spring 2017 Majors by College  
Spring 2017 Minors  
Top 10 Majors for Student-Athletes, Spring 2017  
Spring 2017 Majors by Percentage  
Five-Year Overview: Student Athlete Admissions
4. Academic Progress Rate Report  
Scott Byrd, Associate Athletic Director for Compliance and Championships  
Document: NCAA Division I 2015–2016 Academic Progress Rate [Institutional Report](#)
5. Athletics Financial Indicators Report  
Darin Spease, Deputy Athletic Director  
Document: Student Fee Revenue as a Share of Total Operating Revenue for Athletics
6. Hazing Education Update  
Scott Byrd, Associate Athletic Director for Compliance and Championships

7. Sports Expectations Scorecard  
Judy Rose, Director of Athletics  
Document: Sports Expectations Scorecard, Fall 2017
8. Aegis Sports Testing Services Report Card  
Scott Byrd, Associate Athletic Director for Compliance and Championships  
Document: Aegis Sports Testing Services Substance Abuse Program Report Card
9. Athletics Department Update  
Judy Rose, Director of Athletics
10. Athletics Committee Annual Report Schedule  
Susan D. DeVore, Chair  
Document: Athletics Committee Calendar
11. Other Business  
Laura A. Schulte, Acting Chair
12. Adjourn  
Laura A. Schulte, Acting Chair

Members

Susan D. DeVore, Chair  
Tracey B. Allsbrook  
Laura A. Schulte  
Teross W. Young, Jr.  
Joe L. Price, *ex officio*





**The University of North Carolina at Charlotte  
Board of Trustees**

**Facilities and Physical Properties Committee Meeting**  
Wednesday, October 11, 2017, 10:30 a.m.–11:30 a.m.  
Popp Martin Student Union, 3<sup>rd</sup> Floor, Room 340A

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:30 a.m.  
Theresa J. Drew, Chair
2. Approval of Meeting Minutes 10:31 a.m.  
Theresa J. Drew, Chair  
Document: April 20, 2017, Meeting Minutes
3. Update on Previously Approved Health and Wellness Center and Scott Hall Debt Issuance 10:32 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Anne Brown, Associate Vice Chancellor for Finance  
Greg Verett, Interim Director of Treasury Services  
Document: Summary of Debt Issuance
4. Request for Capital Project Authorization 10:40 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
Document: Energy Projects—Phase I
5. Request for Approval of Capital Project Designer Selection 10:45 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
Document: Moore Hall Renovation
6. Requests for Ratification of Capital Project Designer Selection 10:55 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
Documents: Career Center Renovation  
Reclaimed Water Project

7. Request for Authorization to Acquire by Lease 11:00 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
Documents: Warehouse Storage Lease: Surplus Storage  
Kannapolis Core Lab: Research Office Expansion

8. Motion to Convene in Closed Session 11:05 a.m.  
Theresa J. Drew, Chair

*Pursuant to N.C.G.S. §143-318.11(a)(5), to establish, or to instruct the public body's staff or negotiating agents concerning the position to be taken by or on behalf of the public body in negotiating (i) the price and other material terms of a contract or proposed contract for the acquisition of real property by purchase, option, exchange, or lease; or (ii) the amount of compensation and other material terms of an employment contract or proposed employment contract.*

### **Closed Session**

9. Real Estate Opportunity 11:05 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
Richard LaLiberté, Real Estate Manager  
Document: Real Estate Opportunity

10. Motion to Resume in Open Session 11:25 a.m.  
Theresa J. Drew, Chair

### **Open Session**

11. Capital Improvement Projects Update 11:25 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
Document: Capital Improvement Projects

12. Other Business 11:28 a.m.  
Theresa J. Drew, Chair

13. Adjourn 11:30 a.m.  
Theresa J. Drew, Chair

### Members

Theresa J. Drew, Chair  
Dennis N. Bunker III  
Dhiaa Jamil  
Fred W. Klein Jr.  
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

Wednesday, October 11, 2017  
Popp Martin Student Union

**Schedule**

- 8:00–9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting  
Popp Martin Student Union, 3<sup>rd</sup> Floor, Room 340I
- 9:30–10:30 a.m. Executive Committee Meeting  
Popp Martin Student Union, 3<sup>rd</sup> Floor, Room 340I
- 10:30–11:30 a.m. Academic and Student Affairs Committee Meeting  
Popp Martin Student Union, 3<sup>rd</sup> Floor, Room 340I
- 10:30–11:30 a.m. Athletics Committee Meeting  
Popp Martin Student Union, 3<sup>rd</sup> Floor, Room 340H
- 10:30–11:30 a.m. Facilities and Physical Properties Committee Meeting  
Popp Martin Student Union, 3<sup>rd</sup> Floor, Room 340A
- 11:30 a.m.–  
12:00 p.m. Lunch  
Popp Martin Student Union, 3<sup>rd</sup> Floor, Room 340C
- 12:00–3:30 p.m. Board Will Convene in the Committee of the Whole  
Popp Martin Student Union, 3<sup>rd</sup> Floor, Room 340A

**Board of Trustees Agenda**

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Joe L. Price, Chair

2. Introductions and Announcements  
Joe L. Price, Chair
3. Introduction of New Board of Governors Liaison  
Phil Byers, Member of the Board of Governors
4. Remarks from Board of Governors Liaison  
Rob Bryan, Member of the Board of Governors
5. Approval of the April 20, 2017, and July 26, 2017, Meeting Minutes  
Joe L. Price, Chair  
Documents: April 20, 2017, Open Session Meeting Minutes  
April 20, 2017, Closed Session Meeting Minutes  
July 26, 2017, Open Session Meeting Minutes  
July 26, 2017, Closed Session Meeting Minutes
6. Remarks by the Chancellor  
Philip L. Dubois, Chancellor
7. Campaign Update  
Niles Sorensen, Vice Chancellor for University Advancement  
Beth Fischer, Executive Director of University Development
8. Hotel and Conference Center Update  
Niles Sorensen, Vice Chancellor for University Advancement
9. Alcohol Education, Treatment, and Enforcement Programs  
Kevin Bailey, Vice Chancellor for Student Affairs  
Jeff Baker, Chief of Police  
Christine Reed Davis, Dean of Students  
David Spano, Associate Vice Chancellor for Health Programs and Counseling Center Director  
Document: Alcohol Education, Treatment, and Enforcement
10. Free Speech  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Sam Sears, Deputy General Counsel  
Document: Overview of Free Speech at UNC Charlotte
11. Report of the Executive Committee  
Joe L. Price, Chair

12. Procedure for Resolving Faculty Grievances  
 Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
 Documents: Procedures for Resolving Faculty Grievances (*redline*)  
 Procedures for Resolving Faculty Grievances (*clean*)  
 Resolution of the Board of Trustees of the University of North Carolina at Charlotte:  
 Approval of Revision to Procedures for Resolving Faculty Grievances (Arising  
 under Section 607(3) of The Code of the University of North Carolina)
  
13. Report of the Academic and Student Affairs Committee  
 Michael L. Wilson, Chair
  
14. Report of the Athletics Committee  
 Laura A. Schulte, Acting Chair
  
15. Report of the Facilities and Physical Properties Committee  
 Theresa J. Drew, Chair  
 Documents: Energy Projects—Phase I  
 Moore Hall Renovation  
 Warehouse Storage Lease: Surplus Storage  
 Kannapolis Core Lab: Research Office Expansion
  
16. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
 Laura A. Schulte, Chair
  
17. Report of the Student Government Association  
 Tracey B. Allsbrook, Student Body President and Trustee
  
18. Report of the University Faculty Council  
 Richard Leeman, Faculty President  
 Document: Faculty Council Report
  
19. Report of the University Staff Council  
 Celeste Corpening, Vice Chair  
 Document: Staff Council Report
  
20. Other Business  
 Joe L. Price, Chair
  
21. Motion to Convene in Closed Session  
 Joe L. Price, Chair
  - a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
  - b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);*

### **Closed Session**

22. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Laura A. Schulte, Chair
23. Report of the Executive Committee  
Joe L. Price, Chair
24. Report of the Facilities and Physical Properties Committee  
Theresa J. Drew, Chair
25. Motion to Resume in Open Session  
Joe L. Price, Chair

### **Open Session**

26. Adjourn  
Joe L. Price, Chair