



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Tuesday, November 28, 2017, 8:00–9:30 a.m.
Harris Alumni Center, 2nd Floor Boardroom

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.
Laura A. Schulte, Chair

2. Approval of the October 11, 2017, Meeting Minutes 8:01 a.m.
Laura A. Schulte, Chair
Documents: October 11, 2017, Open Session Meeting Minutes
October 11, 2017, Closed Session Meeting Minutes

3. EHRA and SHRA Employee Classifications (Education) 8:02 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Gary Stinnett, Associate Vice Chancellor for Human Relations
Document: SHRA and EHRA: Employees Subject to and Employees Exempt from
the State Human Resources Act

4. Internal Audit Update 8:15 a.m.
Jennifer Walker, Chief Audit Officer
Documents: FY 2018 Audit Plan Update
Summary of Internal Audit Reports Issued Since October 2017
Active Findings Report

5. Environmental Health and Safety Office Remediation Update 8:25 a.m.
Jennifer Walker, Chief Audit Officer
Darius Griffin, Director of Environmental Health and Safety
Phil Jones, Associate Vice Chancellor for Facilities

6. Compliance Update 8:35 a.m.
 Susan Burgess, Chief Compliance Officer
 Documents: Statement of Economic Interest Biennial Evaluation – Calendar Year 2016 –
 Chancellor and Vice Chancellors
 University Ethics and Compliance Programs and Activities
7. Changes to Title IX (Education) 8:45 a.m.
 Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
 Sarah Edwards, Associate General Counsel
 Document: Recent Changes to Title IX Guidance
8. Motion to Convene in Closed Session 9:05 a.m.
 Laura A. Schulte, Chair
- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).*

Closed Session

9. Office of State Auditor Report on IT General Controls 9:05 a.m.
 Jennifer Walker, Director of Internal Audit
10. Report on Litigation and Risk Management Issues 9:10 a.m.
 Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
11. Title IX Update 9:20 a.m.
 Alex Tompkins, Title IX Case Manager
 Document: Title IX Compliance Program Snapshot
12. Motion to Resume in Open Session 9:25 a.m.
 Laura A. Schulte, Chair

Open Session

13. Other Business 9:25 a.m.
 Laura A. Schulte, Chair
14. Adjourn 9:30 a.m.
 Laura A. Schulte, Chair

Members

Laura A. Schulte, Chair Michael L. Wilson Joe L. Price, *ex officio*
 Mary Ann Rouse Teross W. Young Jr.



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Tuesday, November 28, 2017, 9:30–10:30 a.m.
Harris Alumni Center, 2nd Floor Boardroom

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 9:30 a.m.
Joe L. Price, Chair

2. Approval of the October 11, 2017, Meeting Minutes 9:31 a.m.
Joe L. Price, Chair
Documents: October 11, 2017, Open Session Meeting Minutes
October 11, 2017, Closed Session Meeting Minutes

3. Department of Defense Resolution 9:32 a.m.
Philip L. Dubois, Chancellor
Document: Resolution for Exclusion of Certain Directors or Officers
of the University of North Carolina at Charlotte

4. IT Centralization—Scope and Plan 9:35 a.m.
Mike Carlin, Vice Chancellor for Information Technology, Chief Information Officer
Document: A Blended IT Organization: Scope and Plan

5. Motion to Convene in Closed Session 9:45 a.m.
Joe L. Price, Chair
 - a. *To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6); and*
 - b. *to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).*

Closed Session

6. Faculty Actions and Salary Adjustments 9:45 a.m.
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Documents: Quarterly Report of Faculty Actions
Quarterly Report of Faculty Salary Adjustments
7. EHRA Non-Faculty Actions and Salary Adjustments 9:50 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Documents: Quarterly Report of EHRA Non-Faculty Actions
Quarterly Report of EHRA Non-Faculty Salary Adjustments
8. Distinguished Service Award Selection 9:55 a.m.
Philip L. Dubois, Chancellor
Documents: Policy, Criteria, and Procedure for the Selection
of the Distinguished Service Award
Recipients of the Distinguished Service Award
9. Honorary Degree Nominations 10:08 a.m.
Philip L. Dubois, Chancellor
Documents: November 17, 2017, Letter to Chancellor Dubois
Nomination 1
Nomination 2
Nomination 3
University Policy 209, Honorary Degrees
Honorary Degrees Conferred
Honorary Degree Pool
10. Pending Personnel Issues 10:15 a.m.
Philip L. Dubois, Chancellor
11. Motion to Resume in Open Session 10:25 a.m.
Joe L. Price, Chair

Open Session

12. Other Business 10:25 a.m.
Joe L. Price, Chair
13. Adjourn 10:30 a.m.
Joe L. Price, Chair

Members

Joe L. Price, Chair
Susan D. DeVore
Theresa J. Drew
Dhiaa Jamil

Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting

Tuesday, November 28, 2017, 10:30–11:30 a.m.

Harris Alumni Center, 1st Floor Lounge

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:30 a.m.
Michael L. Wilson, Chair

2. Approval of the October 11, 2017, Meeting Minutes 10:31 a.m.
Michael L. Wilson, Chair
Documents: October 11, 2017, Meeting Minutes

3. Research Spotlight: 10:32 a.m.
Bob Wilhelm, Vice Chancellor for Research and Economic Development
Michael Dulin, Professor of Public Health and Director of the Academy of
Population Health Innovation
Heather Smith, Professor of Geography; Geography Ph.D. Coordinator;
Director, Urban Studies Minor; and Faculty Research Associate,
UNC Charlotte Urban Institute
Document: MAPPR and Her Children...A Story of Strategic Partnership,
Innovation, and Community Engagement

4. IT Centralization—Scope and Plan 10:50 a.m.
Mike Carlin, Vice Chancellor for Information Technology and
Chief Information Officer
Document: A Blended IT Organization: Scope and Plan

5. Demonstration Activity Resource Team (DART) (Education) 11:05 a.m.
Kevin Bailey, Vice Chancellor for Student Affairs
Jonathan Adams, Associate Dean and Director of Student Conduct
Document: Demonstration Activity Resource Team (DART)

6. Other Business 11:25 a.m.
Michael L. Wilson, Chair

7. Adjourn
Michael L. Wilson, Chair

10:30 a.m.

Members

Michael L. Wilson, Chair
Sharon A. Decker
J. Brett Keeter
Mary Ann Rouse
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting

Tuesday, November 28, 2017, 10:30 a.m.–11:30 a.m.
Harris Alumni Center, Tate Hall

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Susan D. DeVore, Chair
2. Approval of the October 11, 2017, Meeting Minutes
Susan D. DeVore, Chair
Document: October 11, 2017, Meeting Minutes
3. Sport Update
Cara Consuegra, Head Women's Basketball Coach
4. Athletic Foundation Update
Bob Brannan, President Charlotte 49ers Athletic Foundation
5. Student Athlete Update
Meredith Harris, Student-Athlete
6. Institutional Effectiveness Report
Kim Whitestone, Executive Associate Athletic Director
Document: Strategic Plan Scorecard
7. Athletics Department Update
Judy Rose, Director of Athletics
8. Athletics Committee Annual Report Schedule
Susan D. DeVore, Chair
Document: Athletics Committee Calendar

9. Motion to Convene in Closed Session
Laura A. Schulte, Chair

Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee.

Closed Session

10. Pending Personnel Issues
Philip L. Dubois, Chancellor
11. Motion to Resume in Open Session
Laura A. Schulte, Chair

Open Session

12. Other Business
Susan D. DeVore, Chair
13. Adjourn
Susan D. DeVore, Chair

Members

Susan D. DeVore, Chair
Tracey B. Allsbrook
Laura A. Schulte
Teross W. Young, Jr.
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting
Tuesday, November 28, 2017, 10:30 a.m.–11:30 a.m.
Harris Alumni Center, 2nd Floor Boardroom

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:30 a.m.
Theresa J. Drew, Chair

2. Approval of Meeting Minutes 10:31 a.m.
Theresa J. Drew, Chair
Documents: October 11, 2017, Open Session Meeting Minutes
October 11, 2017, Closed Session Meeting Minutes

3. Planned Bond Refunding 10:32 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Document: Summary of UNC Charlotte's Planned 2017 Bond Refunding

4. Request for Authorization of Capital Project 10:40 a.m.
Beth Hardin, Vice Chancellor for Facilities Management
Phil Jones, Associate Vice Chancellor for Facilities Management
Documents: Student Government Office Renovation
Campus Infrastructure Renewal Phase 2
Residence Hall Phase XVI

5. Requests for Increase in Authorization 10:50 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor for Facilities Management
Documents: Admissions and Visitors Center
Baseball Indoor Training Facility
Softball Indoor Training Facility

6. Motion to Convene in Closed Session 11:00 a.m.
Theresa Drew, Chair

Pursuant to N.C.G.S. §143-318.11(a)(5), to establish, or to instruct the public body's staff or negotiating agents concerning the position to be taken by or on behalf of the public body in negotiating (i) the price and other material terms of a contract or proposed contract for the acquisition of real property by purchase, option, exchange, or lease; or (ii) the amount of compensation and other material terms of an employment contract or proposed employment contract.

Closed Session

7. Update on Potential Real Estate Opportunity 11:01 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor Facilities Management
Richard LaLiberte, Real Estate Manager
8. Motion to Resume in Open Session 11:20 a.m.
Theresa Drew, Chair

Open Session

9. Capital Improvement Projects Update 11:20 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Phil Jones, Associate Vice Chancellor Facilities Management
Document: Capital Improvement Projects
10. Other Business 11:28 a.m.
Theresa J. Drew, Chair
11. Adjourn
Theresa J. Drew, Chair

Members

Theresa J. Drew, Chair
Dennis N. Bunker III
Dhiaa Jamil
Fred W. Klein Jr.
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Tuesday, November 28, 2017
Harris Alumni Center

Schedule

- 8:00–9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting
Harris Alumni Center, 2nd Floor Boardroom
- 9:30–10:30 a.m. Executive Committee Meeting
Harris Alumni Center, 2nd Floor Boardroom
- 10:30–11:30 a.m. Academic and Student Affairs Committee Meeting
Harris Alumni Center, 1st Floor Lounge
- 10:30–11:30 a.m. Athletics Committee Meeting
Harris Alumni Center, Tate Hall
- 10:30–11:30 a.m. Facilities and Physical Properties Committee Meeting
Harris Alumni Center, 2nd Floor Boardroom
- 11:30 a.m.–
12:00 p.m. Lunch
Harris Alumni Center, Dining Room
- 12:00–3:30 p.m. Board Will Convene in the Committee of the Whole
Harris Alumni Center, Tate Hall

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order
Joe L. Price, Chair

2. Introductions and Announcements
Joe L. Price, Chair
3. Approval of September 20, 2017, and October 11, 2017, Meeting Minutes
Joe L. Price, Chair
Documents: September 20, 2017, Open Session Meeting Minutes
October 11, 2017, Open Session Meeting Minutes
October 11, 2017, Closed Session Meeting Minutes
4. Remarks by the Chancellor
Philip L. Dubois, Chancellor
5. 2018-2019 Fee Adjustments
Philip L. Dubois, Chancellor
Documents: FY2018-2019 Student Fee Request Summary—No Rate Change Requested
FY2018-2019 Student Fee Request Summary—Requested Rate Increases
FY2018-2019 Student Fee Increase Summary
6. 2018-2019 Graduate Program Fee Adjustments
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
Document: 2018-2019 Graduate Program Fee Adjustments
7. Hazing Prevention (Education)
Michelle Guobadia, Associate Dean and Director of Fraternity and Sorority Life
Scott Byrd, Associate Athletic Director for Compliance and Student Athlete Development
Document: Anti-Hazing Efforts at UNC Charlotte
8. Niner Central (Education)
Tina McEntire, Associate Provost for Enrollment Management
Document: Niner Central Student Services
9. Report of the University Faculty Council
Richard Leeman, Faculty President
Document: Faculty Council Report
10. Report of the University Staff Council
Penny Stevens, Staff Council Chair
11. Report of the Executive Committee
Joe L. Price, Chair
12. Report of the Academic and Student Affairs Committee
Michael L. Wilson, Chair
13. Report of the Athletics Committee
Laura A. Schulte, Acting Chair

14. Report of the Facilities and Physical Properties Committee

Theresa J. Drew, Chair

Documents: Student Government Office Renovation
Campus Infrastructure Renewal Phase 2
Admissions and Visitors Center
Residence Hall Phase XVI
Baseball Indoor Training Facility
Softball Indoor Training Facility

15. Report of the Audit, Compliance, and Enterprise Risk Management Committee

Laura A. Schulte, Chair

16. Report of the Student Government Association

Tracey B. Allsbrook, Student Body President and Trustee

17. Other Business

Joe L. Price, Chair

18. Motion to Convene in Closed Session

Joe L. Price, Chair

- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3).*

Closed Session

19. Report of the Audit, Compliance, and Enterprise Risk Management Committee

Laura A. Schulte, Chair

20. Report of the Executive Committee

Joe L. Price, Chair

21. Distinguished Service Award Recipient Selection

Philip L. Dubois, Chancellor

Documents: Recipients of the Distinguished Service Award
Policy, Criteria, and Procedure for the Selection
of the Distinguished Service Award

22. Honorary Degree Recipient Selection
Philip L. Dubois, Chancellor
Documents: Nominee Background
Honorary Degree Pool
Honorary Degrees Conferred
University Policy 209, Honorary Degrees
23. Report of the Facilities and Physical Properties Committee
Theresa J. Drew, Chair
24. Motion to Resume in Open Session
Joe L. Price, Chair

Open Session

25. Adjourn
Joe L. Price, Chair