



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**  
Wednesday, November 30, 2016, 8:00–9:30 a.m.  
Bioinformatics 305

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.  
Catherine P. Bessant, Chair
  
2. Approval of the October 6, 2016, Meeting Minutes 8:00 a.m.  
Catherine P. Bessant, Chair  
*Document:* October 6, 2016, Meeting Minutes
  
3. Research Compliance (Education) 8:02 a.m.  
Bob Wilhelm, Vice Chancellor for Research and Economic Development  
*Documents:* Research Compliance  
Office of Research Compliance and Conflict of Interest
  
4. Internal Audit Update 8:25 a.m.  
Raheel Qureshi, Acting Director of Internal Audit  
*Documents:* Annual Audit Plan FY2017 Update  
Active Findings Report  
Quality Assurance and Improvement Program

5. Motion to Convene in Closed Session 8:40 a.m.  
Catherine P. Bessant, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and
- b. N.C.G.S. §143-318.11 (a)(3), to consult with and receive advice from counsel; and
- c. N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee.

### **Closed Session**

6. Report on Litigation and Risk Management Issues 8:40 a.m.  
Jesh Humphrey, Vice Chancellor and General Counsel
7. Compliance Update 8:50 a.m.  
Susan Burgess, Director of Compliance  
*Document:* University Ethics and Compliance Programs and Activities,  
May 2016-November 2016
8. Title IX Report 9:00 a.m.  
Susan Burgess, Director of Compliance
9. Report on Status of Search for Director of Internal Audit 9:10 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs
10. Motion to Resume in Open Session 9:15 a.m.  
Catherine P. Bessant, Chair

### **Open Session**

11. Other Business 9:15 a.m.  
Catherine P. Bessant, Chair
12. Adjourn 9:30 a.m.  
Catherine P. Bessant, Chair

### Members

Catherine P. Bessant, Chair  
Mary Ann Rouse  
Laura A. Schulte  
Michael L. Wilson  
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**

Wednesday, November 30, 2016, 9:30–10:30 a.m.  
Bioinformatics 305

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 9:30 a.m.  
Joe L. Price, Chair
  
2. Approval of the October 6, 2016, Meeting Minutes 9:30 a.m.  
Joe L. Price, Chair  
*Document:* October 6, 2016, Meeting Minutes
  
3. Hotel and Conference Center Update 9:32 a.m.  
Niles Sorensen, Vice Chancellor for Advancement
  
4. Department of Defense Resolution 9:42 a.m.  
Philip L. Dubois, Chancellor  
*Document:* Resolution for Exclusion of Certain Directors or Officers  
of the University of North Carolina at Charlotte
  
5. Motion to Convene in Closed Session 9:50 a.m.  
Joe L. Price, Chair
  - a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
  - b. N.C.G.S. §143.318.11(a)(2), to prevent the premature disclosure of an award.

## Closed Session

6. Revision of Athletics Contract 9:50 a.m.  
Judy Rose, Director of Athletics  
*Documents:* Second Amendment to Employment Agreement  
Resolution: Approval of Amendment of Head Football Coach  
Employment Agreement
  
7. Distinguished Service Award Selection 9:55 a.m.  
Philip L. Dubois, Chancellor  
*Documents:* Distinguished Service Award Recommendation  
Policy, Criteria, and Procedure for the Selection  
of the Distinguished Service Award  
Recipients of the Distinguished Service Award
  
8. Honorary Degree Nominations 10:05 a.m.  
Philip L. Dubois, Chancellor  
*Documents:* October 27, 2016, Letter to Faculty Honorary Degree Advisory Committee  
November 18, 2016, Letter to Chancellor Dubois  
Policy, Criteria, and Procedures for the Awarding of Honorary Degrees  
Honorary Degrees Conferred  
Honorary Degree Pool
  
9. Trustee Nomination Process 10:15 a.m.  
Betty Doster, Special Assistant for Constituent Relations
  
10. Motion to Resume in Open Session 10:25 a.m.  
Joe L. Price, Chair

## Open Session

11. Action on Athletics Contract 10:25 a.m.  
Joe L. Price, Chair
  
12. Other Business 10:27 a.m.  
Joe L. Price, Chair
  
13. Adjourn 10:30 a.m.  
Joe L. Price, Chair

### Members

Joe L. Price, Chair  
Catherine P. Bessant  
Susan D. DeVore  
Theresa J. Drew  
Dhiaa Jamil  
Laura A. Schulte  
Michael L. Wilson



**The University of North Carolina at Charlotte  
Board of Trustees**

**Academic and Student Affairs Committee Meeting**  
Wednesday, November 30, 2016, 10:30 a.m.–11:30 a.m.  
Bioinformatics 217

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Laura A. Schulte, Chair
2. Approval of the October 6, 2016, and November 17, 2016, Meeting Minutes  
Laura A. Schulte, Chair  
*Documents:* October 6, 2016, Meeting Minutes  
November 17, 2016, Meeting Minutes
3. Academic Integrity System and Processes  
Christine Reed Davis, Dean of Students  
Bruce Long, Chair, Academic Integrity Board  
*Document:* Code of Student Academic Integrity
4. Research Spotlight: Charlotte Banks—Preserving Your Future  
Bob Wilhelm, Vice Chancellor for Research and Economic Development  
Gloria Elliott, Professor of Mechanical Engineering and Engineering Science and Director,  
BioStability Lab  
*Document:* Charlotte Banks—Preserving Your Future
5. Other Business  
Laura A. Schulte, Chair
6. Adjourn  
Laura A. Schulte, Chair

Members

Laura A. Schulte, Chair	Michael L. Wilson
David L. Hauser	Joe L. Price, <i>ex officio</i>
Mary Ann Rouse	



**The University of North Carolina at Charlotte  
Board of Trustees**

**Athletics Committee Meeting**

Wednesday, November 30, 2016, 10:30 a.m.–11:30 a.m.  
Bioinformatics 218

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Approval of the October 6, 2016, Meeting Minutes  
Susan D. DeVore, Chair  
*Documents:* April 28, 2016, Athletics Committee Meeting Minutes  
October 6, 2016, Athletics Committee Meeting Minutes
2. Men's Tennis Update  
Judy Rose, Director of Athletics  
Jeremy Feldman, Head Men's Tennis Coach
3. Institutional Effectiveness Report  
Kim Whitestone, Senior Associate Athletics Director  
*Document:* Strategic Plan Scorecard 2015-2016: Athletics
4. Athletics Mission Statement  
Judy Rose, Director of Athletics  
*Document:* Department of Athletics Mission Statement
5. Athletics Committee Annual Report Schedule  
Susan D. DeVore, Chair  
*Document:* Athletics Committee Calendar
6. Other Business  
Susan D. DeVore, Chair

Members

Susan D. DeVore, Chair  
Catherine P. Bessant  
Fahn K. Darkor

Karen A. Popp  
Joe L. Price, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Facilities and Physical Properties Committee Meeting**  
Wednesday, November 30, 2016, 10:30 a.m.–11:30 a.m.  
Bioinformatics 305

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Approval of Meeting Minutes 10:30-10:32 a.m.  
Theresa Drew, Chair  
*Document:* October 6, 2016, Meeting Minutes
  
2. Request for Approval of Increase in Capital Project Authorization 10:32-10:40 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Document:* Student Union Deck Expansion
  
3. Request for Ratification of Capital Project Designer Selection 10:40-10:45a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Document:* West Substation
  
4. Request for Authorization to Acquire by Lease 10:45-11:05 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Phil Jones, Associate Vice Chancellor for Facilities Management  
*Document:* Warehouse Storage Lease

5. Capital Projects Overview (Education)

11:05-11:25 a.m.

Beth Hardin, Vice Chancellor for Business Affairs

Phil Jones, Associate Vice Chancellor for Facilities Management

Dorothy Vick, HUB Coordinator

*Documents:* Introduction and Agenda

Projects in Construction

Projects in Design

Historically Underutilized Business (HUB) Construction Report

Designer and Contractor Utilization Report

Designer Usage 2013-2016

Contractor Usage 2013-2016

*Capital Improvement Projects Update – November 2016 provided for  
Committee reference*

Members

Theresa Drew, Chair

Sharon Decker

Fred Klein

Dhiaa Jamil

Joe Price, *ex officio*





**The University of North Carolina at Charlotte  
Board of Trustees**

Wednesday, November 30, 2016  
Bioinformatics Building

**Schedule**

- 8:00-9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting  
Bioinformatics, Room 305
- 9:30-10:30 a.m. Executive Committee Meeting  
Bioinformatics, Room 305
- 10:30-11:30 a.m. Academic and Student Affairs Committee Meeting  
Bioinformatics, Room 217
- 10:30-11:30 a.m. Athletics Committee Meeting  
Bioinformatics, Room 218
- 10:30-11:30 a.m. Facilities and Physical Properties Committee Meeting  
Bioinformatics, Room 305
- 12:00-3:30 p.m. Board of Trustees  
Bioinformatics, Room 217

**Board of Trustees Agenda**

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Joe L. Price, Chair
2. Introductions and Announcements  
Joe L. Price, Chair

3. Approval of the October 6, 2016, Meeting Minutes  
Joe L. Price, Chair  
*Document:* October 6, 2016, Board of Trustees Meeting Minutes
4. Remarks by the Chancellor  
Philip L. Dubois, Chancellor
5. Status of Medical Education Discussion  
Philip L. Dubois, Chancellor  
*Documents:* Scope of Work for Consultant for Charlotte Medical Education Task Force  
Medical Education: The Path Ahead for Charlotte  
Initial Goals for Medical Education Task Force: Gap Analysis
6. Department of Defense Resolution  
Philip L. Dubois, Chancellor  
*Document:* Resolution for Exclusion of Certain Directors or Officers
7. Legislative Update  
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
8. The University Budget and the Institutional Plan (Education)  
Philip L. Dubois, Chancellor  
*Document:* Linking Budget Allocations to University Priorities
9. Tuition and Fees Adjustments  
Philip L. Dubois, Chancellor  
*Document:* 2017-2018 Undergraduate Tuition & Fees
10. School-Based Tuition Adjustments  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
*Document:* Summary of FY18 School-Based Tuition Increment Requests
11. Report of the Executive Committee  
Joe L. Price, Chair
12. Report of the Academic and Student Affairs Committee  
Laura A. Schulte, Chair
13. Report of the Athletics Committee  
Susan D. DeVore, Chair

14. Report of the Facilities and Physical Properties Committee  
Theresa J. Drew, Chair  
*Documents:* Request for Increase in Capital Project Authorization—Student Union Deck Expansion  
Request for Ratification of Capital Project Designer Selection—West Substation
15. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Catherine P. Bessant, Chair
16. Report of the Student Government Association  
Fahn K. Darkor, Student Body President and Trustee
17. Report of the University Faculty Council  
Lisa Walker, Faculty President  
*Document:* Faculty Council Report
18. Report of the University Staff Council  
Penny Stevens, Chair  
*Document:* Staff Council Report
19. Other Business  
Joe L. Price, Chair
20. Motion to Convene in Closed Session  
Joe L. Price, Chair
  - a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
  - b. *to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee;*
  - c. *to N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
  - d. *to N.C.G.S. §143.318.11(a)(2), to prevent the premature disclosure of an award.*

### **Closed Session**

21. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Catherine P. Bessant, Chair

22. Report of the Executive Committee

Joe L. Price, Chair

23. Distinguished Service Award Recipient Selection

Philip L. Dubois, Chancellor

*Documents:* Distinguished Service Award Recommendation  
Policy, Criteria, and Procedure for the Selection  
of the Distinguished Service Award  
Recipients of the Distinguished Service Award

24. Honorary Degree Recipient Selection

Philip L. Dubois, Chancellor

*Documents:* October 27, 2016, Letter to Faculty Honorary Degree Advisory Committee  
November 18, 2016, Letter to Chancellor Dubois  
Policy, Criteria, and Procedures for the Awarding of Honorary Degrees  
Honorary Degrees Conferred  
Honorary Degree Pool

25. Motion to Resume in Open Session

Joe L. Price, Chair

**Open Session**

26. Adjourn

Joe L. Price, Chair