



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting
Wednesday, December 11, 2019, 8:00–9:30 a.m.
Popp Martin Student Union, 3rd Floor, Room I

Open Session

Present:

Theresa Drew, Chair
Susan Devore, Vice Chair (*via telephone*)
Dennis Bunker III
Christine Katziff
Mike Wilson, *ex officio*

Staff/Guests in Attendance:

Philip L. Dubois, Chancellor
Kim Bradley, Chief of Staff
Susan Burgess, Chief Compliance Officer
T’Ajai Carrington, Legal Affairs Higher Education Legal Fellow
Tina Dadio, University Public Records Officer/Paralegal
Steven Dunham, Chief Risk Officer
Shari Dunn, Senior Executive Assistant to the Chancellor
Beth Hardin, Vice Chancellor for Business Affairs
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Michelle Reinken, Title IX Coordinator
Amanda Simpson, Paralegal
Jennifer Walker, Chief Audit Officer

Call to Order. Ms. Drew called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. Drew requested action on the October 2, 2019, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Action. On a motion by Mr. Wilson, seconded by Ms. Katziff, the Committee approved the October 2, 2019, Audit, Compliance, and Enterprise Risk Management Committee minutes.

Internal Audit Update. Ms. Walker updated the Committee on the FY 2020 Audit Plan as of November 19, 2019. Ms. Walker summarized the Internal Audit reports that were issued since the last Committee meeting and reviewed the Internal Audit Findings Exception Report. Ms. Walker also reviewed the Internal Audit Charter with no major revisions except updating the Committee Chair's name.

Compliance Update.

Ms. Burgess presented the regular update of University Ethics and Compliance Activities from October 2019 through December 2019 to the Committee. Ms. Burgess noted that she provides an "*Ethics in the Workplace*" two-hour course currently offered four times per year through Human Resources – Learning and Organizational Development. Ms. Burgess reported attendance has been very good and the number of offerings will be increased to six or eight times per year beginning January 2020.

Ms. Burgess indicated that the Conflicts of Interest disclosure period ended October 28, 2019, and for the first time had a completion rate of 99.7%. Ms. Burgess reported no significant conflicts and will be following up with the few individuals who failed to disclose, most of whom are part-time employees. Ms. Katziff asked if there are disciplinary procedures to be followed for the individuals who fail to submit a timely disclosure. Dr. Lorden responded in the affirmative, but indicated that she had confidence the few outstanding disclosures would be resolved. Ms. Burgess added that the non-responders are employees in the same unit. Their Dean was asked to follow-up directly with them. He will update the institutional Conflict of Interest Manager and the Vice Chancellor for Research and Economic Development once resolved.

Ms. Burgess informed the Committee that the U.S. Department of Education issued a Final Program Review Determination (FPRD) letter, dated November 20, 2019, concerning the findings of a recent Program Review in which it was determined that UNC Charlotte improperly disbursed financial aid in the 2011-2012 award year to students enrolled in three Master's degree programs offered at the Center City Building before SACSCOC approved it as an instructional site on May 5, 2012. The University was assessed a lower than anticipated repayment liability of \$75,000. Ms. Burgess stated that the determination will be reviewed to decide if the amount of the liability may be reduced on appeal. She will update the Committee on this matter in the future as necessary.

Ms. Burgess reminded the Committee of the new UNC Board of Governors mandatory requirement that all Trustees complete an online training on federal sexual harassment laws and their connection to University governance and nondiscrimination policies. The deadline to complete the training is January 31, 2020.

Division of Institutional Integrity (DII) Update. Mr. Humphrey presented the current DII Organization Chart. Mr. Humphrey reported that Title IX recently hired a case manager and that Internal Audit will be hiring a senior auditor. Ms. Drew inquired about salary and work experience. Mr. Humphrey indicated the salary for a senior auditor is around \$80,000 with a minimum of 5-15 years of experience.

Enterprise Risk Management (ERM) Update. Mr. Dunham presented the Committee with an overview of his enterprise risk management approach, progress to date, and next steps. Mr. Dunham reported that he has developed a pilot team to identify, assess, and prioritize the risks and challenges

associated within the University, and to also obtain multiple data points from leaders with campus-wide oversight. Mr. Dunham will identify and submit a list of the top five enterprise risks to the UNC System Office by year end, which he will also present to this Committee during the April meeting. Chancellor Dubois indicated and Mr. Dunham agreed that security is one of the top five risks.

State Auditor Report. Ms. Hardin reported that the State Auditor Report was clean with no findings.

Closed Session

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

Open Session


Motion to Adjourn. Ms. Drew requested a motion to adjourn.

Action. On a motion by Ms. Katziff and seconded by Mr. Bunker, the meeting was adjourned.

Submitted:

 2/11/2020
Date
Jesh Humphrey
Vice Chancellor for Institutional Integrity and
General Counsel

Approved:

 2/11/20
Date
Susan DeVore
Acting Chair
Audit, Compliance, and Enterprise Risk
Management Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting

Wednesday, December 11, 2019, 9:30–10:30 a.m.
Popp Martin Student Union, Third Floor, Room I

Open Session Minutes

Present

Michael L. Wilson, Chair
Dennis N. Bunker III
Chandler Crean
Susan DeVore (*via telephone*)
Theresa J. Drew
Fred W. Klein
Mary Ann Rouse
Teross W. Young Jr.

Staff/Guests in Attendance

Philip L. Dubois, Chancellor
Kim S. Bradley, Chief-of-Staff
Sue Burgess, Chief Compliance Officer
T’Ajai Carrington, Higher Education Legal Fellow
Beth Hardin, Vice Chancellor for Business Affairs
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Melva Jenkins, Administrative Liaison for University Governance
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
David Mildenberg, Board of Trustees
Niles Sorensen, Vice Chancellor for Advancement
Jennifer Walker, Chief Audit Officer
Leslie Zenk, Assistant Provost

Call to Order. Mr. Wilson called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Wilson requested action on the October 2, 2019 Executive Committee open and closed session meeting minutes.

Action. On a motion by Mr. Bunker, seconded by Mr. Young, the Committee unanimously approved the October 2, 2019 meeting minutes.

Department of Defense Resolution. Chancellor Dubois reviewed the Department of Defense Resolution excluding Trustees and certain senior University staff from security clearance requirements for classified research.

Mr. Wilson called for a motion to approve the Resolution for Exclusion of Certain Directors or Officers of the University of North Carolina at Charlotte.

Action. On a motion by Mr. Bunker, seconded by Mr. Klein, the Committee unanimously approved the Resolution for Exclusion of Certain Directors or Officers of the University of North Carolina at Charlotte.

Plan to Establish Distinguished Professorship. Provost Lorden presented the recommended plan to establish a Distinguished Professorship in Security Analytics. She advised the Committee that the professorship is made possible with a gift of \$666,000 from Bank of America in support of the College of Computing and Informatics. Upon approval of the plan, the University will request the \$334,000 in State matching funds to establish a \$1 million endowment. Discussion ensued regarding the availability of state funding.

Mr. Wilson requested a motion to approve a plan to establish the Bank of America Distinguished Professorship in Security Analytics.

Action. On a motion by Mr. Bunker, seconded by Ms. Drew, the Committee unanimously approved the recommendation to approve a plan to establish the Bank of America Distinguished Professorship in Security Analytics.

Bissell House Repairs. Chancellor Dubois presented a report of recommended repairs to Bissell House prior to the arrival of the next Chancellor. He reported that the work should begin this spring and be completed by mid-May. No action required.

Board Self-Assessment. Mr. Wilson advised the Committee that we are due to complete a board self-assessment in 2020. Mr. Wilson asked if the Executive Committee would prefer to proceed now with the self-assessment or wait until the next Chancellor is onboard and settled. Mr. Wilson explained that if the Board decides to wait, it will have to request a waiver from the System Office since the timeline is mandated by policy. Discussion ensued and the Committee decided to wait until the next Chancellor is on board and settled. Mr. Wilson directed Ms. Bradley to contact the System Office about seeking an 18-month waiver.

Motion to Convene in Closed Session. Mr. Wilson requested a motion to convene in closed session.

Action. On a motion by Mr. Bunker, seconded by Ms. Rouse, the Committee convened in closed session.

- a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
- b. Pursuant to N.C.G.S. §143.318.11(a)(2), to prevent the premature disclosure of an award.

Closed Session

Closed session minutes are filed in the office of the assistance secretary to the Board of Trustees.

Open Session

Action on Faculty Tenure Action. Mr. Wilson requested a motion to approve the faculty tenure action as presented in closed session.

Action. On a motion by Ms. Drew, seconded by Mr. Bunker, the Committee voted to approve the faculty tenure action as presented.

Adjourn. There being no further business before the Committee, Mr. Wilson adjourned the meeting.

Submitted:

 02/11/2020

Kim S. Bradley Date
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved:

 02.11.20

Michael L. Wilson Date
Chair
UNC Charlotte Board of Trustees



UNC CHARLOTTE

The University of North Carolina at Charlotte Board of Trustees

Academic and Student Affairs Committee Meeting
Wednesday, December 11, 2019
Popp Martin Student Union, Multipurpose Room I

Minutes

Present

Dennis N. Bunker III, Chair
Theresa Drew
Christine P. Katziff
David Mildenberg

Staff in Attendance

Kevin Bailey, Vice Chancellor for Student Affairs
Doug Hague, Interim Executive Director, Data Science Initiative
Margaret Kocherga, Ph.D. Student, Nanoscale Science
Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs
John Smail, Associate Provost for Undergraduate Education
Rick Tankersley, Vice Chancellor for Research and Economic Development
Leslie Zenk, Assistant Provost

Call to Order. Mr. Bunker called the meeting to order and reminded members of the Board of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board at any time during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Bunker requested action on the minutes of the October 2, 2019 Academic and Student Affairs Committee meeting.

Action. On a motion by Ms. Drew, seconded by Ms. Katziff, the Committee unanimously approved the October 2, 2019 meeting minutes.

APLU Degree Completion Award. Provost Lorden and Dr. Smail announced that UNC Charlotte received the 2019 Degree Completion Award, awarded in November by the Association of Public & Land-Grant Universities.

Dr. Smail presented an overview on the 49er Graduation Initiative. In 2011, UNC Charlotte launched a three-pronged approach to improving student success through its 49er Graduation Initiative. The effort engages students as active agents in their own success, proactively advises

at-risk students, and advances policies that optimize students' path to graduation. Through the University's Prospect for Success curriculum, more than 90 percent of incoming FTIC students take a first-semester academic engagement class centered on building their commitment to success, developing critical thinking skills, and enhancing their cultural awareness. UNC Charlotte also systematically uses technology to identify emerging indicators of academic risk and then proactively connect students with advisors to help them get back on the path to timely graduation. Finally, the University has created a graduation metrics platform to help departments and colleges identify common curricular barriers to completion and address them on an ongoing basis. This process led to changes to prerequisite sequences that created unnecessary hurdles, changes to semester schedules to offer critical progression courses year-round, changes to course content, and improvements in faculty advising. The wide-ranging approach to student-centered reform has helped the University increase its six-year graduation rate by 10 percent since 2009 and increase its four-year graduation rate by 17 percent over the same period – to 43 percent for the 2015 cohort. Now UNC Charlotte is focused on using a continuous improvement framework to build on these gains.

School of Data Science Proposal. Provost Lorden introduced Doug Hague, Interim Executive Director of the Data Science Initiative and described the request for the establishment of the School of Data Science, endorsed by the Faculty Council on October 24, 2019. The proposed School replaces the former Data Science Initiative which has housed two interdisciplinary master's programs in data science (the M.S. in Data Science and Business Analytics and the M.S. in Health Informatics), supported the Executive Education program, and encouraged collaborative data science research. As interest in data science has grown, the School is proposed as a more formal and robust organization.

Mr. Bunker requested a motion to establish the School of Data Science.

Action. On a motion by Ms. Katziff, seconded by Ms. Drew, the Committee unanimously approved the motion to establish the School of Data Science.

Research Spotlight: Experience of a Nanoscale Science PhD Student, from Bench Top to Commercial Applications. Rick Tankersley, Vice Chancellor for Research and Economic Development, introduced Margaret Kocherga, a Nanoscale Science PhD student who demonstrated an example of close interaction of academic research and commercial applications. Every PhD student in scientific fields is required to perform novel research based on the need to find solutions to existing worldwide problems.

New Business. The Committee discussed topics of future interest and were encouraged to contact Provost Lorden with suggested or desired topics for the Academic and Student Affairs Committee.

Adjourn. There being no further business before the Committee, Mr. Bunker adjourned the meeting.

Submitted: Joan F. Lorden 2/11/2020
Joan F. Lorden Date
Provost and Vice Chancellor

Approved: Dennis N. Bunker, III 2/11/20
Dennis N. Bunker, III Date
Chair
Academic and Student Affairs Committee



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting

Wednesday, December 11, 2019

Popp Martin Student Union

Multipurpose Room 340F

Open Session Minutes

Present

Fred W. Klein (Chair)

Susan DeVore (by phone)

Mary Ann Rouse

Michael Wilson (ex-officio)

Absent

Carlos Sanchez

Staff/Guests in Attendance

Christopher Bates, Assistant Vice Chancellor for Administration

Anne Brown, Associate Vice Chancellor for Finance

Betty Doster, Special Assistant to the Chancellor for Constituent Relations

Beth Hardin, Vice Chancellor for Business Affairs

Kathryn Horne, Director of Facilities Planning, Design, and Construction

Rob Sewell, Director of Business Services, Housing and Residence Life

Nelda Tatum, Board and Committee Specialist

Casey Tullos, Interim Associate Vice Chancellor for Student Affairs

Jon Varnell, Associate Vice Chancellor for Facilities Management

Call to Order. Mr. Klein called the meeting to order and reminded committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interests were reported.

Approval of the Minutes. Mr. Klein requested approval of the October 2, 2019, minutes of the Facilities and Physical Properties Committee (FPPC).

Action: On a motion by Mr. Wilson, seconded by Ms. Rouse, the Committee unanimously

approved the minutes for the October 2, 2019, meeting.

Corrected Request for Authorization of Capital Project. Ms. Hardin stated that at our previous FPCC meeting, on April 12, 2019, the Committee approved the Cameron Second Floor Renovation Advance Planning with a budget of \$195,000. The corrected fund level should be \$1,950,000. This vote action today corrects the authorized fund level from the April 12 meeting from \$195,000 to \$1,950,000.

Action: With a motion by Mr. Wilson seconded by Ms. Rouse, the Committee unanimously authorized the University to undertake Cameron Applied Center Research Center Second Floor Renovation – Advance Planning with a budget of \$1,950,000.

Corrected Request for Authorization of Capital Project. Ms. Hardin explained that the authorization request for the project total of \$20,805,000 must be corrected as well. The advance planning authorization is now \$1,950,000. The authorization increase should therefore be \$19,050,000 to total the \$21,000,000 previously requested at the October 2 meeting.

Action: On a motion by Mr. Wilson, seconded by Ms. Rouse, the Committee unanimously agreed to authorize the University to undertake a capital improvement project – Cameron Applied Research Center Second Floor Renovation with a budget of \$21,000,000.

Request for Approval of Construction Manager at Risk – Cameron Second Floor Renovation. Ms. Hardin stated on October 16, 2019, seven qualifying proposals were received for the construction manager at risk for the Cameron Second Floor renovation project. Facilities Management and Academic Affairs personnel selected three teams to present to the project selection committee.

On December 9, 2019, the selection committee, chaired by Mr. Klein, interviewed these three teams and the Committee recommends approval of the following:

Selected: New Atlantic Contracting
1st Alternate: Balfour Beatty
2nd Alternate: Turner Construction Company

Action: With a motion by Ms. Rouse, seconded by Mr. Wilson, the Committee unanimously approved the selected construction manager at risk, New Atlantic Contracting and first and second alternate, Balfour Beatty and Turner Construction Company respectively, for the Cameron Second Floor Renovation project.

Request for Authorization of Capital Project – Modification of J.W. Clay Boulevard and Robert D. Snyder Road. Ms. Hardin stated this modification is needed to alleviate traffic congestion in the Charlotte Research Institute area of campus. Turn lanes will be realigned from J.W. Clay Boulevard to North Tryon Street and the reconfiguration of the parking lot at the west end of Robert D. Snyder Road. Authorization request is \$1,000,000.

Action: With a motion by Ms. Rouse, seconded by Mr. Wilson, the Committee unanimously agreed to authorize the University to undertake a capital improvement project – Modifications at J.W. Clay Boulevard and Robert D. Snyder Road.

Request for Increase in Capital Project Authorization. – Residence Hall XVI. Ms. Hardin stated at the November 2017 FPPC meeting the Committee approved an authorization of \$58,500,000 for the Residence Hall XVI project.

This project includes the demolition of Moore Hall and the construction of a new resident hall providing 680 student beds. This residence will be a traditional two-student room residence that will provide a low-cost alternative for on-campus housing.

The construction manager at risk received bids for the construction of the new project. The guaranteed maximum price with all soft costs (design, Moore Hall demolition, material testing, commissioning, etc.) exceeded the estimated construction cost and the initial authorization for the project.

An authorization increase of \$6,000,000 is requested. This authorization is to allow award of the construction contract to the low bid general contractor. The volume of construction activity in North Carolina and the resultant volatility of the construction market has resulted in unanticipated increases in construction costs.

Action: With a motion by Ms. Rouse, seconded by Mr. Wilson, the Committee unanimously approved the increase in the authorization for the Residence Hall Phase XVI project from \$58,500,000 to \$64,500,000.

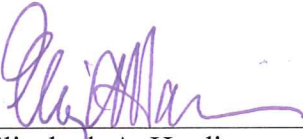
Capital Projects Update. Ms. Hardin shared that the Health and Wellness Center (University Recreation Center) occupancy is scheduled for January 2020.


Science Building – All concerns regarding the PVC piping installed for below grade gravity sewer vs. the use of cast iron are being addressed. All concerns were clarified with the N.C. State Construction Office.

Other Business. Ms. Hardin stated that University representatives met with the rating agencies (Moody's and Standard & Poor's) on October 28-29, 2019, to update them on the University's financial results, enrollment trends, and capital plans. This also included a discussion of the University's forthcoming \$34 million bond issuance planned for January 2020 to provide funding for the construction of the Phase XVI residence hall project, as well as potential refunding opportunities.

In December 2019, both rating agencies reaffirmed the University's credit ratings (Aa3/Stable and A+/Stable), and cited the University's favorable operating results, strong student demand, and capable management team as key factors in their ratings.

With no further business, the meeting was adjourned.

Submitted:  02/11/2020
Elizabeth A. Hardin Date
Vice Chancellor for Business Affairs

Approved:  2/11/20
Fred Klein Date
Chair, Facilities and Physical Properties Committee



UNC CHARLOTTE

The University of North Carolina at Charlotte Board of Trustees

Athletics Committee Meeting
Wednesday, December 11, 2019
Popp Martin Student Union
Multipurpose Room 340C

Open Session Minutes

Present

Teross Young Jr., Chair
Chandler Crean
Sharon Decker
J. Brett Keeter

Staff in Attendance

Susan Burgess, Chief Compliance Officer
T' Ajai Carrington, Legal Affairs
Chris Everett, Director of Student-Athlete Development
Philip Dubois, Chancellor
Shari Dunn, Senior Executive Assistant to the Chancellor
Ragean Hill, Executive Associate Athletic Director
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
Mary Manser, SAAC Representative
Brett Strahm, Athletic Administrative Assistant

Call to Order. Mr. Young called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Young requested action on the October 2, 2019 Athletics Committee meeting minutes.

Action. On a motion by Ms. Decker, seconded by Mr. Crean, the Committee unanimously approved the October 2, 2019 Athletics Committee meeting minutes

Addition of Women's Sport. Ms. Hill presented on the recommendation to add Women's Lacrosse. Ms. Hill explained how the recommendation continues our plan of program expansion. She also

discussed the impact on facilities. Lastly, the recommended conference affiliation is the American Athletic Conference (AAC)—the imminent UConn exit from the conference presents an opportunity for UNC Charlotte’s entry.

Mr. Young requested a motion to endorse the recommendation to add Women’s Lacrosse as a sport.

Action. On a motion by Ms. Decker, seconded by Mr. Crean, the Committee unanimously approved the motion to endorse the recommendation to add Women’s Lacrosse as a sport.

SAAC Update. Ms. Manser shared updates on the organizational pillars: “Engage, Cultivate, Influence, Serve.”

Athletics Department Update. Ms. Hill reported on the results of the Fall sports, as well as provided staffing/head coach updates.

Adjournment. There being no further business, Mr. Young adjourned the meeting.

Submitted:  _____ 2/11/2020
Ragean Hill Date
Executive Associate Athletic Director

Approved:  _____ 2/11/2020
J. Brett Keeter Date
Acting Chair



UNC CHARLOTTE

The University of North Carolina at Charlotte Board of Trustees

Wednesday, December 11, 2019
Popp Martin Student Union, Room ABDE

Open Session Minutes

Present

Michael L. Wilson, Chair
Dennis N. Bunker III
Chandler A. Crean
Sharon Allred Decker
Susan D. DeVore (*via telephone*)
Theresa J. Drew
Christine P. Katziff
J. Brett Keeter
Fred W. Klein Jr.
David W. Mildenberg
Mary Ann Rouse
Carlos E. Sanchez
Teross W. Young Jr.

Staff/Guests in Attendance

Philip L. Dubois, Chancellor
Joel Avrin, Faculty Council President
Kevin Bailey, Vice Chancellor for Student Affairs
Jacob Baum, Student
Jesse Beauman, Assistant Vice Chancellor for Enterprise Infrastructure
Kim Bradley, Chief of Staff
Anne Brown, Associate Vice Chancellor for Finance
Susan Burgess, Chief Compliance Officer
Michael Carlin, Vice Chancellor for Information Technology
T'Ajai Carrington, Higher Education Legal Fellow
Celeste Corpening, Staff Council Chair
Tina Dadio, University Public Records Officer/Legal Specialist
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Steven Dunham, Chief Risk Officer
Shari Dunn, Senior Executive Assistant to the Chancellor
Sarah Edwards, Associate General Counsel
Lauren Gregg, Senior Director of University Events and Programs

Beth Hardin, Vice Chancellor for Business Affairs
Anna Helms, Assistant Director of Constituent Relations
Ragean Hill, Executive Associate Athletics Director
Jesh Humphrey, Vice Chancellor of Institutional Integrity and General Counsel
Melva Jenkins, Administrative Liaison for University Governance
Patrick Kiser, General Public
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Colleen Penhall, Chief Communications Officer
Brenda Shue, Executive Assistant to the Chancellor
Ken Smith, University Budget Director
Niles Sorensen, Vice Chancellor for University Advancement
David Spano, Associate Vice Chancellor for Student Affairs
Jennifer Walker, Chief Audit Officer
Leslie Zenk, Assistant Provost

Call to Order. Mr. Wilson called the meeting to order and reminded members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Introductions and Announcements. Mr. Wilson thanked all of the Trustees for attending and recognized BOG Liaison, David Powers, who joined by phone. Mr. Wilson highlighted some important events:

- Mandatory Harassment Training for all system Trustees must be completed by January 31, 2020
- Naming of the Center City Building for Chancellor Philip L. and First Lady Lisa Lewis Dubois on March 25
- AGB National Conference in Washington, DC on April 5-7
- The Doctoral Hooding Ceremony on December 12 and fall commencement ceremonies on December 13 and December 14
- The UREC Center Dedication on January 8 at 10:30 am
- The next Board meeting will be February 11
- The Barnhardt Seminar on Ethics and Business on February 13 at 5:30 pm at Center City
- Distinguished Service Award Luncheon on March 12

Mr. Wilson shared with the Trustees that the Career Center will begin maintaining a clothing closet for students in need of business attire for job interviews. The clothing closet should be operational in Spring 2020.

Remarks from Board of Governors Liaison. Mr. Powers, joining via telephone, shared that the System Presidential Search is underway. Listening sessions have been held with good feedback received. The next step will be to develop a leadership statement/job description. Mr. Powers also provided updates on the other UNC System chancellor searches.

Mr. Powers then reported that beginning in January, the Board of Governors will be reviewing policy regarding discipline of Board of Governors. The Board of Governors will also review the policy on Trustee appointments along with a test piloted procedure for replacing Board members. The Board of Governors will work on formalizing that procedure, ensuring that campuses remain involved in the Trustee selection process.

Mr. Powers next congratulated UNC Charlotte on its 3800 graduates and for receiving the National Award for Degree Completion. Mr. Powers recognized that UNC Charlotte celebrated its 1000th graduate of the 49er Finish Program.

Mr. Powers also recognized the fact that UNC Charlotte entered into an articulation agreement with Central Piedmont Community College and congratulated us on making it to the Bahamas Bowl.

Mr. Powers concluded his remarks by giving kudos to Chancellor Dubois. He congratulated the Chancellor for being named 2019 Charlottean of the Year Award, as well as the upcoming dedication of the Center City Building. Mr. Powers stated that these are well-deserved honors.

Approval of Minutes. Mr. Wilson requested action on the minutes of the October 2, 2019 Board of Trustees meeting.

Action. On a motion by Mr. Bunker, seconded by Mr. Young, the Board unanimously approved the minutes of the October 2, 2019 meeting.

Remarks by the Chancellor. Chancellor Dubois began by reminding Trustees of his September remarks where he reported that Charlotte Mecklenburg Schools (CMS) was not able to secure the capital funding to relocate the two early colleges in modular facilities to be located at the opposite end of Robert Snyder Road from where the current Engineering Early College is located. Chancellor Dubois reported that CMS has recently indicated that they would like to preserve the two early colleges by downsizing the enrollment in each school, and locating all ninth-grade students in James Martin Middle School in University Research Park. Chancellor Dubois stated that there are remaining transportation issues resulting from the light rail and parent traffic and that the Facilities and Physical Properties Committee is addressing these transportation issues.

Chancellor Dubois then provided an update on the Lab School, reporting that it would be located in the Amay James Pre-K Center. CMS has approved a license agreement and we are in the process of securing a formal lease agreement. The CMS School Board and Board of County Commissioners must approve the lease before we can complete upfits to the building.

Next, Chancellor Dubois provided an update on the external review being conducted by IACLEA and the National Police Foundation. They have been on campus conducting interviews and will hold listening sessions after the new year. He also reported that our communications partner, CRA, has also completed a review of the University's communications in the days that followed April 30.

Chancellor Dubois also reported that the Niner Nation Remembrance Commission that he appointed in the spring has completed its work. The report is forthcoming and Chancellor Dubois assured the Trustees that we will send them a copy, as well as post it to the website, inviting the campus community to review

and provide feedback on the recommendations. He stated that he will make final decisions by the end of January.

Chancellor Dubois next reminded the Board about the April 30 events, including an on-campus event being planned by the students and the Remembrance Concert in partnership with the Charlotte Symphony, Blumenthal Center for the Performing Arts, and our own faculty and students in the music, dance, theatre, and art departments. Tickets are \$25 and will be available for sale in January. The proceeds from the performance will support implementation of the recommendations of the Remembrance Commission.

Next, Chancellor Dubois talked about 49er Next, the new dual admission program with Central Piedmont Community College (CPCC). Provost Lorden and her staff have held discussions about 49er Next with other regional community colleges and they have received a universally positive response. Chancellor Dubois reported that there are 111 students currently enrolled in the program and we expect that number to double next fall. We also expect that Mitchell Community College and Rowan Cabarrus Community College will implement 49er Next in Fall 2020. Discussions are expected with Gaston, Wake Tech, and Catawba Valley. We hope to have all five community colleges signed up by the end of February.

Chancellor Dubois then discussed the APLU's Degree Completion Award that we received for the significant progress we have made in improving four- and six-year graduation rates. Chancellor Dubois attributed our success to Provost Lorden, Dean John Smail, and a team of their colleagues who have been focused on improving student retention and completion. Chancellor Dubois noted that receipt of this award puts UNC Charlotte in the national spotlight.

2020-2021 Tuition and Fee Adjustments. For the benefit of the new Trustees, Chancellor Dubois explained that tuition revenue funds instruction and academic support and general fees revenue supports student activities and the operation of student facilities. Chancellor Dubois further explained the parameters for proposing increases in tuition and fees, including the Board of Governors' guidelines which cap tuition increases at three percent for FY 2020-21 and require our NC resident undergraduate tuition and fees be within the bottom quartile of our BOG peers, which consists of 17 other metropolitan institutions that look like us. He then outlined the proposed increases for fees, including the factors that are influencing the proposed increases. He then outlined the proposed increases in tuition by student category, including comparisons to our UNC and BOG peers.

Discussion ensued with questions from the Board being addressed by Chancellor Dubois and Mr. Crean.

Mr. Wilson requested a motion to approve the proposed increases in tuition and fees for FY 2020-2021.

Action. On a motion by Mr. Bunker, seconded by Ms. Drew, the Board unanimously approved the proposed increases in tuition and fees for FY 2020-2021.

49er Democracy Experience. Ms. Doster explained that the 49er Democracy Experience is intended to leverage the 2020 Republican National Convention to boost our students' engagement in the civic process. Ms. Doster emphasized that the engagement is non-partisan and open to all students. Ms. Doster stressed that this is a unique opportunity for the University with multiple opportunities for partnerships and internships with host committees. C-SPAN will be broadcasting from the 11th floor of the Center City Building which presents an opportunity to position the UNC Charlotte brand. Ms. Doster stressed that we

need to be proactive with the national media so when they are looking for expert faculty input, our faculty will be a source.

The Convention will take place during the first week of class for UNC Charlotte. We will relocate Center City classes or offer them online to address parking and safety concerns.

Legislative Update. Ms. Doster congratulated Mr. Young on his reelection as mayor of Troutman, NC. Ms. Doster then reported that the state legislature is expected to take up the state budget and salary increases for faculty and staff when it returns on January 14, 2020.

Cybersecurity Presentation. Mr. Carlin provided an overview of the components of UNC Charlotte's information security program. Mr. Carlin reviewed the UNC Charlotte IT governance program, risk assessment process, processes for managing security incidents, security education efforts, and security tools.

Discussion ensued about IT staffing and budget, and our cybersecurity insurance policy.

Clery Act Requirements and Campus Safety (Education) and Clery Report. Ms. Edwards provided an historical overview of the Clery Act and its basic requirements, including annual and ongoing disclosures, education programs, and grievance procedures.

Chief Baker next reviewed the 2019 Annual Security Report, providing the board with offense statistics for 2018, 2017, and 2016. One of the slides in his presentation was for Center City and not the main campus, so Ms. Bradley stated that she would send the entire report to all trustees.

Discussion ensued regarding the correlation between crime statistics in the greater Charlotte area and our campus, as well as the impact to UNC Charlotte's brand from media reports about crime in the University City neighborhood.

Report of the University Faculty Council. Mr. Avrin provided a summary of his written report of the Faculty Council. He reported that Faculty Council Secretary Debra Smith had represented UNC Charlotte at the Faculty Senate Chairs' listening session for the desired qualities for the next UNC System President.

He also reported that he had shared relevant figures from the economic Modeling Specialists International study on UNC Charlotte's impact on the regional and state economy at a recent Faculty Assembly meeting. Mr. Avrin also reported that the Faculty Executive Committee discussed ideas for presenting the value and community impact of UNC Charlotte to the general public, including collecting faculty and student success stories representing tangible contributions to the economy and societal well-being.

Mr. Avrin ended by stating that he feels that our campus is providing leadership on many initiatives and he is happy to contribute in a positive way to the University's and system's initiative.

Report of the University Staff Council. Ms. Corpening updated the Board on the Staff Council's activities since the last meeting, including its November Staff Council meeting where Chancellor Dubois updated the Council on a number of issues. Ms. Corpening also reported on the November Staff Assembly meeting that included updates on HR compensation packages and career banding, as well as a discussion on the

presidential search and survey. Lastly, Ms. Corpening reported on the annual Fall Festival where donations to the Niner Nation Unites or Niner Nation Remembrance funds were collected. Students who were directly impacted by April 30th also received baskets of donated items collected by the Staff Council.

Report of the Student Government Association. Mr. Crean reported on leadership changes in UNC student government associations (SGAs). Mr. Crean also provided an update on the annual campus safety tour, in which more than 70 representatives from student organizations participated along with representatives from Police and Public Safety and Facilities Management.

Mr. Crean next discussed the tuition and fees advisory board's final meeting on November 19, which was co-chaired by Dr. Bailey and him. Mr. Crean stated that we are on the only campus that still has a full student advisory board for student tuition and fees.

Mr. Crean also discussed recent SGA legislation that has passed to support issues on campus, including campus meal plans, the Women and Gender Studies major, and the addition of the National Suicide Prevention hotline phone number on the back of student ID cards, which is now mandated by the Board of Governors.

Mr. Crean concluded with a look forward to Spring 2020, with the opening of the new University Recreation Center, the launch of an E-scooter pilot program, and the SGA's annual Niner Palooza – Why Fair/Candidate Debate.

Report of the Executive Committee. Mr. Wilson reported that the Executive Committee approved the annual Department of Defense Resolution and the plan to establish a Bank of America Distinguished Professorship in Security Analysis. He also reported that Chancellor Dubois briefed the committee about the repairs that will be made to Bissell House in preparation for the new Chancellor. Lastly, Mr. Wilson reported that the Committee discussed the Board self-assessment that is due to be completed in 2020 per UNC policy. The Committee discussed whether to proceed with the self-assessment now or wait 12 to 18 months until the new Chancellor is onboard. If the Board decides to wait, we will need to request a waiver from the System Office. Mr. Wilson reported that it is the Committee's recommendation to wait.

Mr. Wilson stated that he would report on the Committee's closed session items in closed session.

Report of the Academic and Student Affairs Committee. Mr. Bunker reported that Dr. Smail presented on the 49er Graduation Initiative, APLU Degree Completion Award. He also reported that Provost Lorden presented a recommendation to establish a School of Data and that the Committee recommends full Board approval.

Mr. Bunker presented a motion from the Committee for approval of the recommendation to establish a School of Data Science to replace the Data Science Initiative.

Action. The Board unanimously approved the Committee's recommendation to establish a School of Data Science to replace the Data Science Initiative.

Report of the Athletics Committee. Mr. Young reported that Executive Associate Athletics Director Ragean Hill briefed the Committee on the recommendation to add lacrosse as the next women's sport,

which the Committee supports. He also reported that Director of Student-Athlete Development, Chris Everett, introduced Student Athlete Mary Manser who provided an overview of the Student-Athlete Advisory Committee (SAAC), which serves as a medium for student-athletes and its sports teams and promotes positive relations for all constituents. Lastly, he reported that Ms. Hill provided the Athletics Department update, including the status of the fall sports and the Athletics Master Plan.

Mr. Young then invited Ms. Hill to present the details of the recommendation to add lacrosse as the next women's sport.

Prior to Ms. Hill's presentation, Chancellor Dubois provided a historical context for the need to add a women's sport and explained the decision to add Women's Lacrosse. He stated that while the decision to add lacrosse is within his authority, he would appreciate the Board's endorsement.

Ms. Hill stated that Athletics also considered field hockey and diving. She then explained the three-part test used to determine whether an institution is meeting its Title IX obligations, reporting that we chose test two to demonstrate our compliance. She then outlined the supporting factors that led to choosing lacrosse, including the large recruiting pool, the fact that a full scholarship is not required which allows for partial scholarships, and the growth of the sport in recent years. Ms. Hill also provided the proposed start-up and operational costs and the proposed conference in which the team will compete (American Athletic Conference).

Discussion ensued regarding the proposed cost of the facilities and whether there are other options for achieving Title IX compliance.

Mr. Young presented a motion from the Athletics Committee to endorse Chancellor Dubois' decision to add women's lacrosse.

Action. The Board approved the Committee's recommendation to endorse Chancellor Dubois' decision to add women's lacrosse; motion passed with a 12-1-0 vote.

Report of the Facilities and Physical Properties Committee. Mr. Klein reported that the Committee approved corrections to two previous authorizations related to the Cameron second floor renovations capital project. The first approval was to correct the amount for advance planning funding from \$195,000 to \$1.95 million. The second approval was to increase the total capital project authorization from \$19.1 million to \$21 million due to additional costs for repair of the building infrastructure that were identified during the advance planning phase. Mr. Klein reported that the Committee also approved the selection of construction manager at risk for the Cameron second floor renovation, in addition to the authorization of a capital project for modifications at JW Clay Boulevard and Robert D. Snyder Road and an increase in authorization for a capital project for Residence Hall Phase XVI.

Mr. Klein presented a motion from the Committee for authorization to approve the corrected authorized funding for the Cameron Second Floor Renovation-Advance Planning with a budget of \$1,950,000.

Action. The Board unanimously approved the corrected authorized funding for the Cameron Second Floor Renovation-Advance Planning.

Mr. Klein presented a motion from the Committee to authorize the University to undertake a capital improvement project – Cameron Applied Research Center Second Floor Renovation with an amended budget of \$21,000,000.

Action. The Board unanimously authorized the capital improvement project – Cameron Applied Research Center Second Floor Renovation with an amended budget of \$21,000,000.

Mr. Klein presented a motion from the Committee to authorize the selected construction manager at risk, New Atlantic Contracting and first alternate, Balfour Beatty and second alternate, Turner Construction Company for the Cameron Second Floor Renovation project.

Action. The Board unanimously authorized the selected construction manager at risk, New Atlantic Contracting and first alternate, Balfour Beatty and second alternate, Turner Construction Company for the Cameron Second Floor Renovation project.

Mr. Klein presented a motion from the Committee to authorize the University to undertake a capital improvement project – Modifications at JW Clay Boulevard and Robert D. Snyder Road with a budget of \$1 million.

Action. The Board unanimously authorized the capital improvement project – Modifications at JW Clay Boulevard and Robert D. Snyder Road with a budget of \$1 million.

Phase XVI Residence Hall. Mr. Klein presented a motion from the Committee to approve an increase in the authorization for the Residence Hall Phase XVI from \$58,500,000 to \$64,500,000.

Action. The Board unanimously approved an increase in the authorization for the Residence Hall Phase XVI from \$58,500,000 to \$64,500,000.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Ms. Drew reported that the Committee received Internal Audit updates from Ms. Walker. Ms. Walker also reviewed the Internal Audit Charter with the Committee. Ms. Drew also reported that Ms. Burgess reminded the Committee about the mandatory Harassment Training for all system trustees. She then reported that Mr. Humphrey gave an overview of the Institutional Integrity Department's organization chart and that Mr. Dunham provided an overview on Enterprise Risk Management. Lastly, she reported that Ms. Hardin gave an update on the state auditor report.

Chancellor Search Process Update. Mr. Wilson reported that October and November were very busy with the search process. The Search Committee has begun receiving resumes. Mr. Wilson stated that the Board will be involved once the Search Committee narrows the pool. The Board will vote on two finalists to forward to the President.

Discussion ensued about the process of how resumes are received and processed. Mr. Wilson explained the process.

Closed Session

Closed session minutes are filed in the office of the Assistant Secretary to the Board of Trustees.

Open Session

Adjournment. There being no other business, Mr. Wilson adjourned the meeting.

Submitted: Kim S. Bradley 2/11/2020
Kim S. Bradley Date
Assistant Secretary to the Board
UNC Charlotte Board of Trustees

Approved: Michael L. Wilson 02.11.20
Michael L. Wilson Date
Chair
UNC Charlotte Board of Trustees