



## UNC CHARLOTTE

### The University of North Carolina at Charlotte Board of Trustees

#### **Audit, Compliance, and Enterprise Risk Management Committee Meeting**

Wednesday, December 11, 2019, 8:00–9:30 a.m.

Popp Martin Student Union, 3<sup>rd</sup> Floor, Room I

#### **Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.  
Theresa Drew, Chair
  
2. Approval of the October 2, 2019, Meeting Minutes 8:01 a.m.  
Theresa Drew, Chair  
Documents: October 2, 2019, Open Session Meeting Minutes  
October 2, 2019, Closed Session Meeting Minutes
  
3. Internal Audit Update 8:02 a.m.  
Jennifer Walker, Chief Audit Officer  
Documents: FY 2020 Internal Audit Plan Update  
Summary of Internal Audit Reports Issued  
Internal Audit Findings Exception Report  
Internal Audit Charter
  
4. Compliance Update 8:22 a.m.  
Susan Burgess, Chief Compliance Officer  
Document: University Ethics and Compliance – Programs and Activities  
(October 2019 - December 2019)
  
5. Enterprise Risk Management (ERM) Update 8:32 a.m.  
Steven Dunham, Chief Risk Officer  
Document: Enterprise Risk Management Update
  
6. Division of Institutional Integrity Update 8:52 a.m.  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Document: Division of Institutional Integrity Organizational Chart

7. State Auditor Report 8:57 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Document: State Auditor Report

8. Motion to Convene in Closed Session 8:59 a.m.  
Theresa Drew, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and
- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3).

**Closed Session**

9. Report on Litigation and Risk Management Issues 9:00 a.m.  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

10. Title IX Update 9:05 a.m.  
Michelle Reinken, Title IX Coordinator

11. State Hotline Investigation 9:20 a.m.  
Jennifer Walker, Chief Audit Officer

12. Motion to Resume in Open Session 9:27 a.m.  
Theresa Drew, Chair

**Open Session**

13. Other Business 9:28 a.m.  
Theresa Drew, Chair

14. Adjourn 9:30 a.m.  
Theresa Drew, Chair

Members

Theresa Drew, Chair  
Susan DeVore, Vice Chair  
Dennis Bunker  
Christine Katziff  
Mike Wilson, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**

Wednesday, December 11, 2019, 9:30–10:30 a.m.  
Popp Martin Student Union, Third Floor, Room I

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 9:30 a.m.  
Michael L. Wilson, Chair
  
2. Approval of the October 2, 2019, Meeting Minutes 9:31 a.m.  
Michael L. Wilson, Chair  
Documents: October 2, 2019, Meeting Minutes—Open Session  
October 2, 2019, Meeting Minutes—Closed Session
  
3. Department of Defense Resolution 9:32 a.m.  
Philip L. Dubois, Chancellor  
Document: Resolution for Exclusion of Certain Directors or Officers  
of the University of North Carolina at Charlotte
  
4. Plan to Establish Distinguished Professorship 9:35 a.m.  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Document: Letter to Chancellor Dubois  
Plan to Establish the Bank of America Distinguished  
Professorship in Security Analytics
  
5. Bissell House Repairs 9:45 a.m.  
Philip L. Dubois, Chancellor  
Document: Bissell House Repairs 2019-2020
  
6. Board Self-Assessment 9:50 a.m.  
Michael L. Wilson, Chair
  
7. Motion to Convene in Closed Session 9:55 a.m.  
Michael L. Wilson, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and
- b. Pursuant to N.C.G.S. §143.318.11(a)(2), to prevent the premature disclosure of an award.

**Closed Session**

- 8. Faculty Tenure Request 9:56 a.m.  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Document: Personnel Action Requiring Approval of the Board of Trustees
- 9. Quarterly Report of Faculty Salary Adjustments 10:01 a.m.  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Document: Quarterly Report of Faculty Salary Adjustments
- 10. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments 10:06 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Documents: Quarterly Report of EHRA Non-Faculty Actions  
Quarterly Report of EHRA Non-Faculty Salary Adjustments
- 11. Update of Distinguished Service Award Selection 10:11 a.m.  
Philip L. Dubois, Chancellor
- 12. Honorary Degree Nomination 10:14 a.m.  
Philip L. Dubois, Chancellor  
Documents: November 4, 2019, Letter to Chancellor Dubois  
Nomination 1  
University Policy 209, Honorary Degrees  
Honorary Degrees Conferred  
Honorary Degree Pool
- 13. Motion to Resume in Open Session 10:19 a.m.  
Michael L. Wilson, Chair

**Open Session**

- 14. Action on Faculty Tenure Request 10:20 a.m.  
Michael L. Wilson, Chair
- 15. Other Business 10:22 a.m.  
Michael L. Wilson, Chair
- 16. Adjourn 10:23 a.m.  
Michael L. Wilson, Chair

Committee Members

Michael L. Wilson, Chair

Dennis Bunker

Chandler Crean

Susan D. DeVore

Theresa J. Drew

Fred W. Klein

Mary Ann Rouse

Teross W. Young



# UNC CHARLOTTE

The University of North Carolina at Charlotte  
Board of Trustees

**Academic and Student Affairs Committee Meeting**  
Wednesday, December 11, 2019  
Popp Martin Student Union, Multipurpose Room I

## Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Approval of the October 2, 2019, Meeting Minutes  
Dennis N. Bunker III, Chair  
Document: October 2, 2019, Open Session Meeting Minutes
2. APLU Degree Completion Award  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
John Smail, Associate Provost for Undergraduate Education and Dean of University College  
Document: APLU Degree Completion Award
3. School of Data Science Proposal  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Document: School of Data Science Memo and Proposal to Chancellor
4. Research Spotlight: Experience of a Nanoscale Science PhD Student, from Bench Top to Commercial Applications  
Rick Tankersley, Vice Chancellor for Research and Economic Development  
Margaret Kocherga, Nanoscale Science PhD Student  
Document: Experience of a Nanoscale Science PhD Student
5. Other Business  
Dennis N. Bunker III, Chair
6. Adjourn  
Dennis N. Bunker III, Chair

Members

Dennis N. Bunker III, Chair

Theresa J. Drew

Christine P. Katziff

David W. Mildenberg

Michael L. Wilson, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Athletics Committee Meeting**  
Wednesday, December 11, 2019, 10:30-11:30 a.m.

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Teross W. Young Jr., Chair
2. Approval of October 2, 2019, Meeting Minutes  
Teross W. Young Jr., Chair  
Document: October 2, 2019, Meeting Minutes
3. Addition of Women's Sport  
Ragean Hill, Executive Associate Athletic Director/SWA  
Document: Sport Recommendation Executive Summary PowerPoint  
Charlotte 49ers Athletics Executive Summary
4. SAAC  
Chris Everett, Director of Student Athlete Development
5. Athletics Department Update  
Ragean Hill, Executive Associate Athletic Director/SWA
6. Other Business  
Teross W. Young Jr., Chair
7. Adjourn  
Teross W. Young Jr., Chair

**Members**

Teross W. Young Jr., Chair  
J. Brett Keeter, Vice Chair  
Chandler Crean  
Sharon Decker  
Mike Wilson, *ex officio*





**The University of North Carolina at Charlotte  
Board of Trustees**

**Facilities and Physical Properties Committee Meeting**  
Wednesday, December 11, 2019, 10:30 a.m.–11:30 a.m.  
Popp Martin Student Union

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 10:30 a.m.  
Fred W. Klein, Chair
2. Approval of October 2, 2019, Meeting Minutes 10:31 a.m.  
Fred W. Klein, Chair  
Document: October 2, 2019, Meeting Minutes
3. Request for Authorizations of Capital Projects 10:35 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Jon Varnell, Associate Vice Chancellor for Facilities Management  
Documents: Request for Authorization of Capital Project  
Modifications to JW Clay Boulevard and Robert Snyder Road
4. Request for Increase Authorization of Capital Projects 10:45 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Jon Varnell, Associate Vice Chancellor for Facilities Management  
Document: Phase XVI Residence Hall
5. Capital Improvement Projects Update 11:00 a.m.  
Beth Hardin, Vice Chancellor for Business Affairs  
Jon Varnell, Associate Vice Chancellor for Facilities Management  
Document: Capital Improvement Projects
6. Other Business 11:25 a.m.  
Fred W. Klein, Chair
7. Adjourn 11:30 a.m.  
Fred W. Klein, Chair

Members

Fred W. Klein, Chair

Mary Ann Rouse, Vice Chair

Susan DeVore

Carlos Sanchez

Michael Wilson, *ex officio*



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

Wednesday, December 11, 2019  
Popp Martin Student Union, Room ABDE

### Schedule

8:00–9:30 a.m.	Audit, Compliance, and Enterprise Risk Management Committee Meeting Popp Martin Student Union, Third Floor, Room I
9:30–10:30 a.m.	Executive Committee Meeting Popp Martin Student Union, Third Floor, Room I
10:30–11:30 a.m.	Academic and Student Affairs Committee Meeting Popp Martin Student Union, Third Floor, Room I
10:30–11:30 a.m.	Athletics Committee Meeting Popp Martin Student Union, Third Floor, Room C
10:30–11:30 a.m.	Facilities and Physical Properties Committee Meeting Popp Martin Student Union, Third Floor, Room F
11:30 a.m.–12:00 p.m.	Lunch Popp Martin Student Union, Third Floor, Room H
12:00–3:00 p.m.	Board Will Convene in the Committee of the Whole Popp Martin Student Union, Third Floor, Room ABDE

### Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 12:00 p.m.  
Michael L. Wilson, Chair
2. Introductions and Announcements 12:01 p.m.  
Michael L. Wilson, Chair

3. Remarks from Board of Governors Liaison 12:05 p.m.  
David Powers, Member of the Board of Governors
4. Approval of October 2, 2019, Meeting Minutes 12:10 p.m.  
Michael L. Wilson, Chair  
Documents: October 2, 2019, Meeting Minutes—Open Session  
October 2, 2019, Meeting Minutes—Closed Session
5. Remarks by the Chancellor 12:12 p.m.  
Philip L. Dubois, Chancellor
6. 2020-2021 Tuition and Fee Adjustments 12:25 p.m.  
Philip L. Dubois, Chancellor  
Documents: FY 2020-2021 Undergraduate Tuition and Fees
7. 49er Democracy Experience 12:35 p.m.  
Betty Doster, Special Assistant for Constituent Relations  
Document: 49er Democracy Experience
8. Legislative Update 12:45 p.m.  
Betty Doster, Special Assistant for Constituent Relations
9. Cybersecurity Presentation 12:50 p.m.  
Michael S. Carlin, Vice Chancellor for Information Technology and Chief Information Officer  
Document: UNC Charlotte Information Security
10. Clery Act Requirements and Campus Safety (Education) and Clery Report 1:05 p.m.  
Sarah Edwards, Associate General Counsel  
Jeff Baker, Chief of Police  
Documents: The Clery Act: Reporting Campus Crimes and Educating the Campus Community  
2019 Annual Security Report
11. Report of the University Faculty Council 1:20 p.m.  
Joel Avrin, Faculty President  
Document: Faculty Council Report
12. Report of the University Staff Council 1:25 p.m.  
Celeste Corpening, Staff Council Chair  
Document: Staff Council Report
13. Report of the Student Government Association 1:30 p.m.  
Chandler A. Crean, Student Body President and Trustee  
Document: Student Government Association Update
14. Report of the Executive Committee 1.35 p.m.  
Michael L. Wilson, Chair

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| 15. Report of the Academic and Student Affairs Committee<br>Dennis N. Bunker, Chair  | 1:42 p.m. |
| 16. Report of the Athletics Committee<br>Teross W. Young, Chair  | 1:49 p.m. |
| 17. Report of the Facilities and Physical Properties Committee<br>Fred W. Klein, Chair<br>Documents: Request for Authorization of Capital Project-Modifications to JW Clay and Snyder Road<br>Request for Increase in Authorization – Residence Hall Phase XVI<br>Capital Improvement Projects Update  | 1:56 p.m. |
| 18. Report of the Audit, Compliance, and Enterprise Risk Management Committee<br>Theresa J. Drew, Chair  | 2:03 p.m. |
| 19. Chancellor Search Process Update<br>Michael L. Wilson, Chair   | 2:10 p.m. |
| 20. Other Business<br>Michael L. Wilson, Chair   | 2:15 p.m. |
| 21. Motion to Convene in Closed Session<br>Michael L. Wilson, Chair  | 2:16 p.m. |
| <ul style="list-style-type: none"> <li>a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;</li> <li>b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);</li> <li>c. pursuant to N.C.G.S §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee; and</li> <li>d. to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).</li> </ul> |           |

**Closed Session**

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| 22. Report of the Executive Committee<br>Michael L. Wilson, Chair                                       | 2:17 p.m. |
| 23. Report of the Audit, Compliance, and Enterprise Risk Management Committee<br>Theresa J. Drew, Chair | 2:22 p.m. |

24. Honorary Degree Recipient Selection 2:27 p.m.  
Philip L. Dubois, Chancellor  
Documents: November 4, 2019, Letter to Chancellor Dubois  
Nomination 1  
University Policy 209, Honorary Degrees  
Honorary Degrees Conferred  
Honorary Degree Pool
25. Conferral of Emeritus Status 2:30 p.m.  
Michael L. Wilson, Chair  
Document: University Policy 102.5 – Emeritus Faculty and Senior Academic and  
Administrative Officers
26. Motion to Resume in Open Session 2:35 p.m.  
Michael L. Wilson, Chair
- Open Session**
27. Adjourn 2:36 p.m.  
Michael L. Wilson, Chair