



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

### Audit, Compliance, and Enterprise Risk Management Committee Meeting

Tuesday, September 22, 2020, 8:00–9:30 a.m.

Public YouTube Live Stream:

<https://www.youtube.com/channel/UCy57M1Csx7mhzi4FOFRKGEw>

### Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order 8:00 a.m.  
Theresa Drew, Chair
2. Approval of the February 11, 2020, Meeting Minutes 8:01 a.m.  
Theresa Drew, Chair  
Document: February 11, 2020, Meeting Minutes—Open Session and Closed Session
3. Internal Audit Update 8:02 a.m.  
Jennifer Walker, Chief Audit Officer  
Documents: FY 2020 Audit Plan Update  
FY 2021 Audit Plan Update  
Summary of Internal Audit Reports Issued (This Period)  
Internal Audit Findings Exception Report  
Internal Audit Charter Update
4. Compliance Update 8:22 a.m.  
Susan Burgess, Chief Compliance Officer
5. Overview of New Title IX Regulations 8:32 a.m.  
Michelle Reinken, Title IX Coordinator  
Sarah Edwards, Associate General Counsel  
Document: The New Title IX Regulations
6. Motion to Convene in Closed Session 8:52 a.m.  
Theresa Drew, Chair

- a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
- b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and
- c. to consider the qualifications, competence, performance, or condition of appointment of an employee pursuant to N.C.G.S. §143-318.11(a)(6).

**Closed Session**

- 7. Title IX Update 8:53 a.m.  
Michelle Reinken, Title IX Coordinator  
Document: Title IX Compliance Program “Snapshot”
- 8. Report on Litigation and Risk Management Issues 9:08 a.m.  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel
- 9. Motion to Resume in Open Session 9:18 a.m.  
Theresa Drew, Chair

**Open Session**

- 10. Other Business 9:20 a.m.  
Theresa Drew, Chair
- 11. Adjourn 9:30 a.m.  
Theresa Drew, Chair

Members

Theresa Drew, Chair  
 Susan DeVore, Vice Chair  
 Dennis Bunker III  
 Christine Katziff  
 David Mildenberg  
 Michael Wilson, *ex officio*



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

### Executive Committee Meeting

Tuesday, September 22, 2020, 9:30–10:30 a.m.

Public YouTube Live Stream:

<https://www.youtube.com/channel/UCy57M1Csx7mhZI4FOFRKGEw>

### Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Michael L. Wilson, Chair
2. Approval of the February 11, 2020, Meeting Minutes  
Michael L. Wilson, Chair  
Documents: February 11, 2020, Meeting Minutes - Open Session  
February 11, 2020, Meeting Minutes - Closed Session
3. Self-Audit of BOT Minutes  
Kim Bradley, Chief of Staff
4. Trustee Appointment Process  
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
5. Motion to Convene in Closed Session  
Michael L. Wilson, Chair
  - a. *prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes pursuant to N.C.G.S. §143-318.11 (a)(1);*
  - b. *Pursuant to N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*

- c. *establish, or to instruct the public body's staff concerning the amount of compensation and other material terms of an employment contract or proposed employment contract pursuant to N.C.G.S. §143-318.11 (a)(5);*

### **Closed Session**

6. Update on Naming Opportunity  
Niles Sorensen, Vice Chancellor for University Advancement
7. Quarterly Report of Faculty Actions and Salary Adjustments  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Documents: Quarterly Report of Faculty Actions  
Quarterly Report of Faculty Salary Adjustments
8. Quarterly Report of EHRA Non-Faculty Actions and Salary Adjustments  
Beth Hardin, -Vice Chancellor for Business Affairs  
Documents: Quarterly Report of EHRA Non-Faculty Actions  
Quarterly Report of EHRA Non-Faculty Salary Adjustments
9. Motion to Resume in Open Session  
Michael L. Wilson, Chair

### **Open Session**

10. Other Business  
Michael L. Wilson, Chair
11. Adjourn  
Michael L. Wilson, Chair

### Executive Committee Members

Michael L. Wilson, Chair  
Dennis N. Bunker III  
Susan D. DeVore  
Theresa J. Drew  
Fred W. Klein Jr.  
Mary Ann Rouse  
Tahlieah K. Sampson  
Teross W. Young Jr.



# UNC CHARLOTTE

The University of North Carolina at Charlotte  
Board of Trustees

## Academic and Student Affairs Committee Meeting

Tuesday, September 22, 2020, 10:30-11:30 a.m.

Public YouTube Live Stream:

<https://youtube.com/channel/UCDMLQksMteCDS57w7NnZi2w>

### Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Dennis N. Bunker III, Chair
2. Approval of the February 11, 2020, Meeting Minutes  
Dennis N. Bunker III, Chair  
Document: February 11, 2020, Open Session Meeting Minutes
3. Education: Niner Choice  
Kevin W. Bailey, Vice Chancellor for Student Affairs  
Kristy DeSantis, Director of Administration and Assessment, Housing and Residence Life  
Natasha Harris, Assistant Director for Off-Campus Student Services  
Casey Tullos, Interim Associate Vice Chancellor for Student Affairs  
Document: Niner Choice
4. Title IX Appeals Training  
Michelle Reinken, Title IX Coordinator  
Sarah Edwards, Associate General Counsel  
James Lorello, Associate Director of Student Conduct and Academic Integrity  
Document: An Appellate Officer Primer  
UNC Policy Manual – Chapter 700 Admission, Matriculation, and Other Student Matters
5. Other Business  
Dennis N. Bunker III, Chair
6. Adjourn  
Dennis N. Bunker III, Chair

Members

Dennis N. Bunker III, Chair

Theresa J. Drew

Christine P. Katziff

David W. Mildenberg

Michael L. Wilson, *ex officio*



**The University of North Carolina at Charlotte  
Board of Trustees**

**Athletics Committee Meeting**

Tuesday, September 22, 2020, 10:30-11:30 a.m.

Public YouTube Live Stream:

<https://www.youtube.com/channel/UCNDBWwmyPGhSAZFczluCFNO/>

**Agenda**

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
J. Brett Keeter, Acting Chair
2. Approval of the February 11, 2020 Meeting Minutes  
J. Brett Keeter, Acting Chair  
Document: February 11, 2020 Meeting Minutes
3. Approval of Corrected October 2, 2018 Meeting Minutes  
J. Brett Keeter, Acting Chair  
Document: October 2, 2018 Meeting Minutes - redline  
October 2, 2018 Meeting Minutes - corrected
4. Brand Launch  
Chris Fuller, Deputy Athletic Director, External Affairs  
Document: Athletics Brand Launch
5. Budget  
Darin Spease, Deputy Athletic Director/CFO  
Document: Athletics Budget
6. Return to Campus  
Chris Thomasson, Senior Associate Athletic Director  
Documents: Report of Charlotte Athletics COVID-19 Return to Campus Committee Standards  
for Charlotte Student-Athlete Health Safety  
Department of Athletics Travel Guidelines  
COVID Non-Participation Decision Policy and Procedure

7. Committee for Change  
Ragean Hill, Executive Associate Athletic Director/SWA  
Document: United in Gold
8. Athletic Department Update  
Mike Hill, Director of Athletics
9. Other Business  
J. Brett Keeter, Acting Chair
10. Adjourn  
J. Brett Keeter, Acting Chair

Members

Teross W. Young Jr., Chair  
J. Brett Keeter, Vice Chair  
Sharon Decker  
Tahlieah Sampson  
Mike Wilson, *ex officio*





# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

### Facilities and Physical Properties Committee Meeting

Tuesday, September 22, 2020, 10:30 a.m.–11:30 a.m.

Public YouTube Live Stream:

<https://www.youtube.com/channel/UCy57M1Csx7mhzi4FOFRKGEw>

### Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

- |   |            |
|---|------------|
| 1. Call to Order<br>Fred W. Klein, Chair  | 10:30 a.m. |
| 2. Approval of Meeting Minutes<br>Fred W. Klein, Chair<br>Document: April 2, 2020 Meeting Minutes   | 10:31 a.m. |
| 3. Request for Ratification of a Capital Project Designer Selection<br>Beth Hardin, Vice Chancellor for Business Affairs<br>Jon Varnell, Associate Vice Chancellor for Facilities Management<br>Document: Union Deck Elevator Replacement                   | 10:35 a.m. |
| 4. Capital Improvement Projects Update<br>Beth Hardin, Vice Chancellor for Business Affairs<br>Jon Varnell, Associate Vice Chancellor for Facilities Management<br>Document: Capital Improvement Projects Update<br>UNC Charlotte Capital Projects (slides) | 10:40 a.m. |
| 5. Other Business<br>Beth Hardin, Vice Chancellor for Business Affairs<br>Jon Varnell, Associate Vice Chancellor for Facilities Management<br>Document: Phase XVI Project Summary   | 11:15 a.m. |
| 6. Adjourn<br>Fred Klein, Chair   | 11:30 a.m. |

### Members

Fred W. Klein, Chair  
Mary Ann Rouse, Vice Chair  
Susan D. DeVore

Carlos E. Sanchez  
Michael L. Wilson, *ex officio*



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

Tuesday, September 22, 2020, 12:00 – 3:30 p.m.

Public YouTube Live Stream:

<https://www.youtube.com/channel/UCy57MICsx7mhZl4FOFRKGEw>

### Schedule

8:00–9:30 a.m.	Audit, Compliance, and Enterprise Risk Management (ACERM) Committee Meeting Zoom Video Conference
9:30–10:30 a.m.	Executive Committee Meeting Zoom Video Conference
10:30–11:30 a.m.	Academic and Student Affairs (ASA) Committee Meeting Zoom Video Conference with Breakout Room
10:30–11:30 a.m.	Athletics Committee Meeting Zoom Video Conference with Breakout Room
10:30–11:30 a.m.	Facilities and Physical Properties Committee (FPPC) Meeting Zoom Video Conference with Breakout Room
11:30 a.m.–12:00 p.m.	Lunch Break
12:00–3:30 p.m.	Board Will Convene in the Committee of the Whole Zoom Video Conference

### Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of Trustees of their duty to avoid conflicts of interest and appearances of conflicts of interest. If you are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, please notify me immediately.*

1. Call to Order  
Michael L. Wilson, Chair
2. Introductions and Announcements  
Michael L. Wilson, Chair

3. Swearing-In of Student Body President  
Michael L. Wilson, Chair  
Tahlieah K. Sampson, Student Body President and Trustee  
Anna Clark, Assistant to the Chief of Staff, Notary Public, Office of the Chancellor
4. Approval of April 2, 2020 and July 8, 2020 Meeting Minutes  
Michael L. Wilson, Chair  
Documents: April 2, 2020 Meeting Minutes—Open Session  
April 2, 2020 Meeting Minutes—Closed Session  
July 8, 2020 Meeting Minutes—Open Session  
July 8, 2020 Meeting Minutes—Closed Session
5. Remarks by the Board of Governors Liaison  
Mark Holton, Board of Governors Member
6. Remarks by the Chancellor  
Sharon L. Gaber, Chancellor
7. Status of Campus Operations  
Sharon L. Gaber, Chancellor
8. Budget Update  
Ken Smith, University Budget Director  
Document: Budget Update: Impact of COVID-19
9. Hotel and Conference Center Update  
Niles Sorensen, Vice Chancellor for University Advancement  
Document: Status of the UNC Charlotte Marriott Hotel and Conference Center
10. Exponential Campaign Update  
Gene Johnson, Exponential Campaign, Chair  
Document: Exponential: The Campaign for UNC Charlotte
11. Legislative Update  
Betty M. Doster, Special Assistant to the Chancellor for Constituent Relations  
Document: Legislative Report
12. Report of the University Faculty Council  
Joel D. Avrin, Faculty President  
Document: Faculty Council Report
13. Report of the University Staff Council  
Celeste M. Corpening, Staff Council Chair  
Document: Staff Council Report

14. Report of the Student Government Association  
Tahlieah K. Sampson, Student Body President and Trustee  
Document: Student Government Association Updates
15. Report of the Executive Committee  
Michael L. Wilson, Chair
16. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Theresa J. Drew, Chair
17. Report of the Academic and Student Affairs Committee  
Dennis N. Bunker III, Chair
18. Report of the Athletics Committee  
J. Brett Keeter, Acting Chair
19. Report of the Facilities and Physical Properties Committee  
Fred W. Klein, Chair
20. Other Business  
Michael L. Wilson, Chair
21. Motion to Convene in Closed Session  
Michael L. Wilson, Chair
  - a. Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;
  - b. to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);
  - c. pursuant to N.C.G.S. §143-318.11 (a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee;

#### **Closed Session**

22. Report of the Executive Committee  
Michael L. Wilson, Chair
23. Report of the Audit, Compliance, and Enterprise Risk Management Committee  
Theresa J. Drew, Chair
24. Motion to Resume in Open Session  
Michael L. Wilson, Chair

## Open Session

25. Adjourn

Michael L. Wilson, Chair

### Members of the Board of Trustees

Michael L. Wilson

Dennis N. Bunker III

Sharon Allred Decker

Susan D. DeVore

Theresa J. Drew

Christine P. Katziff

J. Brett Keeter

Fred W. Klein Jr.

David W. Mildenberg

Mary Ann Rouse

Tahliah K. Sampson

Carlos E. Sanchez

Teross W. Young Jr.