



**The University of North Carolina at Charlotte  
Board of Trustees**

**Audit, Compliance, and Enterprise Risk Management Committee Meeting**  
Friday, April 12, 2019, 8:00–9:30 a.m.  
Popp Martin Student Union

**Open Session**

Present

Laura Schulte, Chair  
Mary Ann Rouse  
Michael Wilson  
Teross Young  
Joe L. Price, *ex officio*

Staff/Guests in Attendance

Philip L. Dubois, Chancellor  
Kim Bradley, Chief of Staff and Assistant Secretary to the Board of Trustees  
Beth Hardin, Vice Chancellor for Business Affairs  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Jennifer Walker, Chief Audit Officer  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Samantha Sears, Associate Vice Chancellor and Deputy General Counsel  
Susan Burgess, Chief Compliance Officer  
Steven Dunham, Chief Risk Officer  
Michelle Reinken, Title IX Coordinator  
Gary Stinnett, Associate Vice Chancellor for Human Resources  
River Peebles, Equity Specialist  
Karen Morgan, ACE Fellow  
Tina Dadio, University Public Records Officer/Legal Specialist  
Amanda Simpson, Paralegal

Call to Order. Ms. Schulte called the meeting to order and reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes and advised the Committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts were reported.

Approval of Minutes. Ms. Schulte requested action on the February 19, 2019, Audit, Compliance, and Enterprise Risk Management Committee meeting minutes.

Action. On a motion by Mr. Young, seconded by Mr. Price, the Committee approved the February 19, 2019, Audit, Compliance, and Enterprise Risk Management Committee minutes.

Summary of OFCCP Audit. Mr. Stinnett was happy to report that after a two-year audit by the OFCCP (Office of Federal Contract Compliance Programs), the University received a clean audit report with no findings. Mr. Stinnett reported that with an overall unemployment rate at 3.8%, open, fair and effective recruitment is an organizational imperative and UNC Charlotte's good faith efforts are validated by this audit and represent solid management practices. Mr. Stinnett also noted that this clean audit is rare, and thanked his department and Jeff Jensen for their dedicated efforts and long personal hours responding to all requests in a timely manner.

Department of Education Provisional Certification: Dr. Lorden reported that the U.S. Department of Education ("Department") requires universities to recertify their eligibility to participate in federal financial aid programs every five years. The University submitted the required documentation in Fall 2017. The Department notified UNC Charlotte on February 28, 2019 that it was certified eligible on a "provisional" basis for a two (2) year term instead of the usual five (5) year term. The Department did not find any issues with its process for awarding financial aid; however, it determined that the University did not meet an administrative requirement to report certain new graduate certificate programs to the Department before awarding aid to students enrolled in those programs. As a remediation measure, Dr. Lorden indicated that the Office of Accreditation and Assessment will assume responsibility for reporting and obtaining approval for new programs developed after February 28, 2019.

Affiliated Entities IRS Form 990 Update. Mr. Humphrey reported that the IRS Form 990 is a non-action item by this Committee and that this will no longer be a line item on the agenda for this Committee, as the Board separately manages and reviews these forms pursuant to University policy. Mr. Humphrey reported that this Committee's calendar items have all been completed for this fiscal year.

Internal Audit Update. Ms. Walker updated the Committee on the annual audit plan for Fiscal Year 2019, summarized the Internal Audit Reports issued since the last Committee meeting, and reviewed the Internal Audit Findings Exception Report. Ms. Walker presented a proposal for the Internal Audit Plan for Fiscal Year 2020, with no objections, Ms. Schulte requested a motion to approve the plan as presented.

Action: On a motion by Mr. Wilson, seconded by Mr. Price, the Committee approved the Internal Audit Plan for Fiscal Year 2020.

Compliance Update. Ms. Burgess updated the Committee on her Work Plan for Fiscal Year 2019 and reported she will continue to monitor the regulatory environment for emerging compliance risk areas and changes to current regulatory obligations. Ms. Burgess reported that the Enterprise Risk Management recruitment was completed with the hiring of Steven Dunham as the new Chief Risk Officer. Ms. Burgess also presented a proposed Plan of Work for Fiscal Year 2020, and indicated that she will continue to work on metrics to ensure the University is in compliance.

Chief Risk Officer Introduction. Mr. Humphrey introduced Steven Dunham as the new Chief Risk Officer. Mr. Humphrey noted that we are very fortunate having him on board as part of this campus community. Mr. Dunham thanked the Committee for allowing him time to build, design, and implement the new Enterprise Risk Management (ERM) program. Mr. Dunham provided a brief background of his previous experience and presented the Committee with ERM's vision for the

University. Mr. Dunham briefly described the difference between Risk Management and Enterprise Risk Management. Mr. Dunham further explained the mission and roll-out process of the ERM program with a two-track approach: 1) holistic evaluation of all risks and creating an executive committee (or utilizing an existing committee) within a twelve-month period; and 2) a “quick-win” strategy that will tackle one or two specific risks on a short-term basis to demonstrate the value of an ERM approach. Mr. Dunham is looking forward to interacting with the Committee and is very excited to build such a program at the University.

Motion to Convene in Closed Session. Ms. Schulte called for a motion to convene in closed session.

Action. On a motion by Mr. Price, seconded by Mr. Wilson, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidential arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel; and*
- c. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, prospective public officer or employee.*

Ms. Schulte excused all attendees except the Committee members, Chancellor Dubois, Ms. Bradley, Ms. Morgan, Ms. Walker, Ms. Burgess, Ms. Reinken, Mr. Humphrey, Ms. Dadio, and Ms. Simpson.

### **Closed Session**

*Closed session minutes are filed in the office of the  
Assistant Secretary to the Board of Trustees.*

### **Open Session**

Motion to Adjourn. Ms. Schulte requested a motion to adjourn.

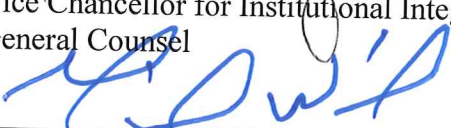
Action. On a motion by Ms. Young and seconded by Mr. Price, the meeting was adjourned.

Submitted:

  
\_\_\_\_\_  
Jesh Humphrey  
Vice Chancellor for Institutional Integrity and  
General Counsel

10/2/19  
\_\_\_\_\_  
Date

Approved:

  
\_\_\_\_\_  
Michael L. Wilson  
Acting Chair  
Audit, Compliance, and Enterprise Risk  
Management Committee

10.02.19  
\_\_\_\_\_  
Date



**The University of North Carolina at Charlotte  
Board of Trustees**

**Executive Committee Meeting**  
Friday, April 12, 2019, 9:30-10:30 a.m.  
Popp Martin Student Union, Third Floor, Multipurpose Room I

**Open Session Minutes**

Present

Joe L. Price, Chair  
Susan D. DeVore  
Theresa J. Drew  
Dhiaa Jamil  
Mary Ann Rouse  
Laura A. Schulte  
Michael L. Wilson

Staff/Guests in Attendance

Philip L. Dubois, Chancellor  
Kim Bradley, Assistant Secretary and Chief of Staff  
Betty Doster, Special Assistant to the Chancellor for Constituent Affairs  
Beth Hardin, Vice Chancellor for Business Affairs  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Karen Morgan, American Council on Education Fellow  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs  
Jennifer Ward, Liaison to the Board of Trustees and Chancellor's Cabinet  
Leslie Zenk, Assistant Provost

**Open Session**

Call to Order. Mr. Price called the meeting to order and reminded the Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the Committee members to notify him immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interest were reported.

Approval of Meeting Minutes. Mr. Price requested action on the Executive Committee's February 19, 2019 open and closed session meeting minutes.

Action. On a motion by Mr. Wilson, seconded by Ms. Drew, the Committee approved the February 19, 2019 Executive Committee minutes.

Orientation for New Members of the Board of Trustees. Ms. Bradley shared a new plan for bringing new trustees up to speed on the University.

Motion to Convene in Closed Session. Mr. Price requested a motion to convene in closed session.

Action. On a motion by Ms. Schulte, seconded by Mr. Wilson, the Committee convened in closed session pursuant to the following General Statutes of the State of North Carolina:

- a. *N.C.G.S. §143-318.11(a)(6), to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee; and*
- b. *N.C.G.S. §143-318.11(a)(2), to prevent the premature closure of an award.*

### **Closed Session**

*Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.*

### **Open Session**

Action on Faculty Tenure Actions. Mr. Price requested a motion to approve the tenure requests as presented in closed session.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Committee voted to approve the tenure requests as presented.

Action on Reappointment to the Board of Trustees of the Endowment Fund. Mr. Price requested a motion to approve the reappointment to the Board of the Trustees of the Endowment Fund as presented in closed session.

Action. On a motion by Mr. Wilson, seconded by Ms. Schulte, the Committee voted to approve the reappointment to the Board of the Trustees of the Endowment Fund as presented in closed session.

Action on Amendment to Athletics Contract. Mr. Price requested a motion to approve the first amendment to the employment agreement as presented in closed session.

Action. On a motion by Mr. Wilson, seconded by Mr. Jamil, the Committee voted to approve the first amendment to the employment agreement as presented in closed session.

Adjourn. There being no further business before the Committee, Mr. Price adjourned the meeting.

*Submitted:* Kim S. Bradley 10/01/19  
Kim S. Bradley Date  
Assistant Secretary to the Board  
UNC Charlotte Board of Trustees

*Approved:* Michael L. Wilson 10.02.19  
Michael L. Wilson Date  
Chair  
UNC Charlotte Board of Trustees



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

### Academic and Student Affairs Committee Meeting

Friday, April 12, 2019

Popp Martin Student Union, Multipurpose Room I

### Minutes

#### Present

Michael L. Wilson, Chair

J. Brett Keeter

Mary Ann Rouse

Joe Price

#### Staff in Attendance

Kevin Bailey, Vice Chancellor for Student Affairs

Jesse Beaman, Assistant Vice Chancellor for Enterprise Infrastructure

Michael Carlin, Vice Chancellor for Information Technology and Chief Information Officer

Anna Clark, Chancellor's Office

Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel

Richard Leeman, Faculty Council President

Joan F. Lorden, Provost and Vice Chancellor for Academic Affairs

Ellen McIntyre, Dean, Cato College of Education

Karen Morgan, American Council on Education Fellow

Teresa Petty, Associate Dean, Cato College of Education

Steven Rogelberg, Chancellor's Professor

Rick Tankersley, Interim Vice Chancellor for Research and Economic Development

Jennifer Walker, Chief Audit Officer

Leslie Zenk, Assistant Provost

Call to Order. Michael Wilson called the meeting to order and reminded members of the Board of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board at any time during the meeting. No conflicts of interest were reported.

Approval of Minutes. Mr. Wilson requested action on the minutes of the February 19, 2019, Academic and Student Affairs Committee meeting.

Action. On a motion by Mr. Keeter, seconded by Mr. Price, the Committee approved the February 19, 2019, meeting minutes.

Annual Update: IT Security. Vice Chancellor Mike Carlin provided UNC Charlotte's Annual State of Information Security Report per Policy 1400.2 (UNC Policy Manual), which requires the designated senior officer with information security responsibility to present a report to the assigned BOT committee, at least annually, on the institution's information security program and controls.

Research Spotlight. Vice Chancellor for Research and Economic Development Dr. Rick Tankersley introduced Chancellor's Professor Steven Rogelberg who discussed his recently published book, "The Surprising Science of Meetings: How You Can Lead Your Team to Peak Performance." A recent estimate suggests that employees endure a staggering 55 million meetings a day in the United States; however, most meetings fail to engage us, or even worse, blatantly disregard our time. Rogelberg's book was recognized by the Washington Post as the #1 leadership book to watch for in 2019. It presents an evidence-based path for organizations and leaders to realize the true potential of meetings and assure ROI on what is a massive investment of time.

College of Education: Teacher Preparation. Dean Ellen McIntyre and Associate Dean Teresa Petty of the Cato College of Education shared efforts in redesigning programs that prepare teachers. Using internal and external data, input from many stakeholders, evidence from research, and several learning tours of other programs, faculty collaborated to create a new model for teacher preparation that includes a deep investment in school partners.

Adjourn. There being no further business before the Committee, Mr. Wilson adjourned the meeting.

*Submitted:* Joan F. Lorden 10/2/2019  
Joan F. Lorden Date  
Provost and Vice Chancellor

*Approved:* M. L. Wilson 10.02.19  
Michael L. Wilson Date  
Chair  
Academic and Student Affairs Committee





**The University of North Carolina at Charlotte  
Board of Trustees**

**Athletics Committee Meeting**  
Wednesday, April 12, 2019, 10:30-11:30 a.m.  
Popp Martin Student Union, Multipurpose Room C

**Minutes**

Present

Susan D. DeVore, Chair  
Niayai Lavien  
Laura Schulte  
Teross W. Young Jr.

Staff in Attendance

Shari Dunn  
Chris Thomasson  
Darin Spease  
AJ Lukjanczuk  
Ed Freeburg  
Mike Hill  
Phil Dubois  
Susan Burgess  
Stephen Dunham  
Betty Doster

Guest

Philip Byers, UNC BOG

Call to Order. Ms. DeVore reminded Committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest and asked that they notify her immediately if they are aware or become aware of a conflict of interest or any item that may create the appearance of a conflict of interest, in accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes).

Approval of Minutes. Ms. DeVore requested action on February 19, 2019 Athletics Committee Meeting minutes.

Action. Ms. Schulte made a motion to approve minutes as prepared, Mr. Young seconded.

Athletics Budget Annual Report. Mr. Spease reviewed the operational and operations/recruiting budgets.

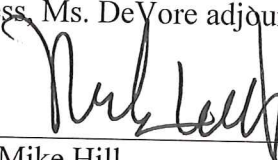
Charlotte Athletics Sports Medicine. Mr. Lukjanczuk reviewed the policy and procedures of the training room with specific emphasis on the Concussion Protocol. Ms. DeVore asked what steps occur if a student athlete has multiple concussions. Mr. Lukjanczuk explained each concussion is a separate issue and are evaluated on a case-by-case basis and must be cleared by the team doctor.

Athletics Department Update. Mr. Hill reviewed the facility master plan and brand assessment study currently underway. Mr. Hill advised of several upcoming events including Young Alumni Signing Day, Spring Football Game, and hosting the Conference USA Track and Field Championship. Mr. Hill also noted the success of both the Women's Golf and Tennis programs. Mr. Hill praised Kim Whitestone for her 30 years of service to Charlotte Athletics and extended best wishes in her new role.

Other Business. Ms. Doster gave details about the legislative bill on fair treatment of student athletes.

Adjourn. There being no further other business, Ms. DeVore adjourned the meeting.

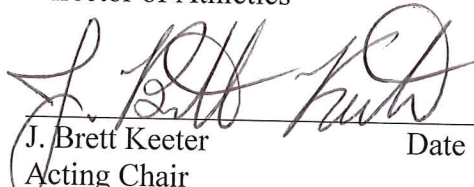
Submitted:

  
\_\_\_\_\_  
Mike Hill  
Director of Athletics

10/2/19

Date

Approved:

  
\_\_\_\_\_  
J. Brett Keeter  
Acting Chair  
Academic and Student Affairs Committee

10/2/19  
Date



# UNC CHARLOTTE

The University of North Carolina at Charlotte  
Board of Trustees

**Facilities and Physical Properties Committee Meeting**  
Friday, April 12, 2019  
Popp Martin Student Union

## **Open Session Minutes**

### Present

Theresa Drew, Chair  
Dennis Bunker  
Dhiaa Jamil  
Fred W. Klein Jr.

### Staff/Guests in Attendance

Beth Hardin, Vice Chancellor for Business Affairs  
Jon Varnell, Associate Vice Chancellor for Facilities Management  
Keith Wassum, Associate Vice Chancellor for Auxiliary Services  
Douglas Lape, Director of Parking and Transportation Services  
Richard LaLiberté, University Real Estate Manager  
Dr. Cory Bouwer, Director of Bioinformatics Services Division and  
Associate Professor of Bioinformatics and Genomics  
Anne Brown, Associate Vice Chancellor for Finance  
Nelda Tatum, Board and Committee Specialist

Call to Order. Ms. Drew called the meeting to order and reminded committee members of their duty to avoid conflicts of interest and appearances of conflicts of interest under the State Government Ethics Act, in accordance with Chapter 138A of the North Carolina General Statutes, and advised the committee members to notify her immediately if anyone should become aware of a conflict of interest or the appearance of a conflict of interest during the meeting. No conflicts of interests were reported.

Approval of the Minutes. Ms. Drew requested approval of the February 19, 2019, minutes of the Facilities and Physical Properties Committee (FPPC).

Action: On a motion by Mr. Jamil, seconded by Mr. Bunker, the Committee unanimously approved the minutes for the February 19, 2019, meeting.

Request for Authorization of Capital Project – Cameron Applied Research Center – Second Floor Renovation Advanced Planning. Ms. Hardin stated the University proposes to engage a consultant for advanced planning to prepare programming, scope, and budget analysis for the Cameron Applied Research Center second floor renovations project. She shared that it is important to have precise interplay among three projects – the Cameron renovation, Burson, and the new Science Building. This renovation project must be completed to be able to move faculty and staff around in the other buildings. At this time, funds for the construction phase of this project are not available. This facility must be renovated to provide space for chemistry research labs that cannot be accommodated in the new Science Building.

Action: On a motion by Mr. Klein, seconded by Mr. Bunker, the committee recommended the board authorize the University to undertake a capital improvement project – Cameron Applied Research Center Second Floor Renovation – Advanced Planning, with a budget of \$195,000.

Request for Approval of Capital Project Designer Selections – Open-end Professional Services Agreements. University staff advertised for professional design teams to submit qualifications for evaluation by staff on March 1, 2019. Facilities management staff reviewed 98 submittals. The firms listed in this meeting's materials were chosen for FY20. Ms. Hardin shared at the last committee meeting how these contractors are utilized with short notice by the University to fulfill design needs on informal projects, small capital projects, and engineering studies of up to \$500,000.

Action: On a motion by Mr. Bunker, seconded by Mr. Klein, the committee approved the selected designers chosen by the facilities management staff for Open-End Professional Services Agreements for FY20.

Request for Authorization to Acquire Real Property by Lease – Research Space, David Murdock Core Laboratory at the North Carolina Research Campus (NCRC). Ms. Hardin introduced Dr. Cory Brouwer, Director of the Bioinformatics Services Division and Associate Professor of Bioinformatics and Genomics. Dr. Brouwer stated that Dr. Ann Loraine, Associate Professor of Bioinformatics and Genomics in the College of Computing and Informatics, has multiple National Institutes of Health and National Science Foundation research grants and is hiring additional researchers and software programmers for her research.

Additional space is required to accommodate two research teams to complete this research. The total cost for 30 months is \$95,688.75. NCRC space is expensive. This space is completely upfitted for the research specifications. Dr. Brouwer shared that researchers use Dr. Loraine's project results all across the world. The research software is flexible. It allows users to see the human genome and is used in plant and human research.

NCRC is focused on health and nutrition research. Other universities in the UNC System also have space at these facilities, including UNC Chapel Hill, Appalachian State, NC A&T, UNC Greensboro, and NC State.

Action: On a motion by Mr. Bunker seconded by Mr. Klein the Committee recommends the Board authorize the University to lease 1,000 square feet of research space in the David H. Murdock Core Laboratory building at North Carolina Research Campus (NCRC) in Kannapolis, North Carolina, at a cost of \$95,688.75 for a thirty (30) month term.

Approval of Parking Systems/Rates Regulations – Approval of Parking Ordinance for 2019-2020. Ms. Hardin noted that NC General Statutes require the Board of Trustees to approve any changes in University parking rates and regulations. This approval provides required levels of awareness and transparency. Ms. Hardin shared that the standard permit rate on campus had been \$450 for four years leading up to FY19. In FY19, the standard permit rate increased three percent to \$465 and would increase three percent to \$480 for FY20. The need for the increase is primarily related to added costs associated with salaries and benefits, and increased maintenance, renovation, and construction costs for parking decks and lots. These expenses are not covered by the state but by parking revenues generated by parking system users (faculty, staff, students, and visitors).

Keith Wassum, Associate Vice Chancellor for Business Services, and Douglas Lape, Director of Parking and Transportation Services, shared that the University has added policy changes related to mobility devices (i.e. e-scooters, skateboards, hover boards, and bicycles). A request to launch a pilot program related to e-scooters on campus will be sent to the vice chancellor's office by the end of May 2019 for review and approval. Safety equipment which should be used when utilizing these devices will be promoted whenever possible.

Action. On a motion by Mr. Klein seconded by Mr. Bunker, the Committee recommended the approval of the proposed changes in the ordinance and rates governing parking, traffic, and the registration of motor vehicles for the University.

Capital Project Update. Jon Varnell, Associate Vice Chancellor for Facilities Management provided the update to the committee.

#### *Undergraduate Admissions Center*

Adverse weather continues to hamper the completion of the Undergraduate Admissions Center.

#### *Moore Hall*

Moore Hall demolition and abatement was scheduled January - April 2019. An additional 12 weeks was added to remove the asbestos found in the roof, ceiling, and exterior. The demolition should begin in April and be completed based on the pending time extension for unforeseen asbestos conditions.

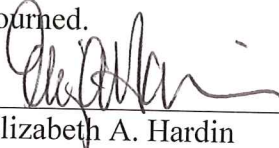
#### *Elm, Maple, Pine Renovation*

The contractor was assessed liquidated damages because of the late completion of the buildings. The contractor rejected the University's offer of a reduced settlement, and has put the University and the State Construction office on notice that they want an informal hearing per their general conditions.

This project is estimated to close out August 2019, if all issues are resolved during the informal hearing.

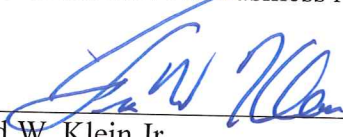
With no further business, the meeting was adjourned.

*Submitted:*

  
Elizabeth A. Hardin  
Vice Chancellor for Business Affairs

10/02/2019  
Date

*Approved:*

  
Fred W. Klein Jr.  
Chair, Facilities and Physical Properties Committee

10/02/19  
Date



# UNC CHARLOTTE

## The University of North Carolina at Charlotte Board of Trustees

Friday, April 12, 2019  
Popp Martin Student Union, Multipurpose Room ABDE

### Open Session Minutes

#### Present

Joe L Price, Chair  
Dennis N. Bunker III  
Susan D. DeVore  
Theresa J. Drew  
J. Brett Keeter  
Dhiaa Jamil  
Fred W. Klein Jr.  
Niayai L. Lavien  
Mary Ann Rouse  
Laura A. Schulte  
Michael L. Wilson  
Teross W. Young Jr.

#### Absent

Sharon Decker

#### Staff and Guests in Attendance

Philip L. Dubois, Chancellor  
Kevin Bailey, Vice Chancellor for Student Affairs  
Kim Bradley, Assistant Secretary and Chief of Staff  
Susan Burgess, Director of University Compliance  
Philip Byers, Member, UNC Board of Governors  
Anna Clark, Executive Assistant to the Chief of Staff  
Betty Doster, Special Assistant to the Chancellor for Constituent Relations  
Shari Dunn, Senior Executive Assistant to the Chancellor  
Beth Hardin, Vice Chancellor for Business Affairs  
Mike Hill, Director of Intercollegiate Athletics  
Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel  
Melva Jenkins, Administrative Support Associate, Chancellor's Office  
Richard Leeman, Faculty Council President  
Karen Morgan, American Council on Education Fellow  
Joan Lorden, Provost and Vice Chancellor for Academic Affairs

Brenda Shue, Executive Assistant to the Chancellor  
Dave Spano, Associate Vice Chancellor for Student Affairs and Director of Counseling and Psychological Services  
Niles Sorensen, Vice Chancellor for University Advancement  
Jennifer Ward, Liaison to the Board of Trustees and the Chancellor's Cabinet  
Kelly Weatherman, Associate Executive Director of the Athletic Foundation  
Rick Tankersley, Interim Vice Chancellor for Research and Economic Development

Call to Order. Mr. Price called the meeting to order and reminded members of the Board of their duty to avoid conflicts of interest and appearances of conflicts under the State Government Ethics Act in accordance with Chapter 138A of the North Carolina General Statutes and advised the Trustees to make him aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board at any time during this meeting. No conflicts of interest were reported.

Introductions and Announcements. Mr. Price reminded the Trustees about two upcoming events: the rededication of the Halton Reading Room in Atkins Library on Tuesday, April 15, starting at 4 p.m. and the Retiring Faculty Reception on Thursday, April 25, at 6 p.m. in Harris Alumni Center.

Mr. Price then reiterated the details of the University's three spring commencement ceremonies. He stated that Ms. Drew, Mr. Bunker, Mr. Wilson and he will be attending either the Friday or Saturday ceremonies and asked any other Trustees intending to attend to let Ms. Bradley know.

Mr. Price also reminded the Trustees that the next Board meeting will be a conference call in July to elect officers for the upcoming year. The annual retreat will be September 10 at Whitehead Manor. The first fall meeting will be October 2, and as Chancellor Dubois noted at our last meeting, the dedication of the University Recreation Center will be held right after that meeting and Trustees will be invited to attend that event.

Mr. Price then reminded the Trustees that Statements of Economic Interest are due April 16 and asked that they please complete and submit their reports on time.

Lastly, Mr. Price congratulated Chancellor Dubois and Ms. Devore, whom the Charlotte Observer named two of Charlotte's Most Admired CEOs, and Ms. Bradley, whom the Mecklenburg Times named one of Charlotte's 50 Most Influential Women. He also congratulated Dr. Bailey for receiving the Distinguished Alumni Award from his alma mater, Indiana University of Pennsylvania.

Trustee Recognition. Chancellor Dubois thanked outgoing Student Government Association (SGA) President Niayai Lavien for her service on the Board of Trustees. He commended her for consistently demonstrating courageous and thoughtful leadership as president of the SGA and wished her success as she graduates next month. Chancellor Dubois then presented Ms. Lavien with a gift from the Board.



Chancellor Dubois then recognized Mr. Price, Ms. Schulte, and Mr. Jamil for their service to the University as members of the Board of Trustees. Chancellor Dubois provided an overview of Mr. Price's service to the University. Mr. Price has served on the Board since October 2007. He first filled a vacancy created by another Trustee's resignation, and then was re-elected to two full terms in 2011 and 2015. Mr. Price has served on every committee except Academic and Student Affairs. Mr. Price served as vice chair for three years and has served as chair for the last two years. He also serves on the Advisory Board of the Belk College of Business. Chancellor Dubois also stated that Mr. Price came to the Board as one of our most accomplished alumni, as a banking and finance executive, and as someone who has always been willing to share his time and his insight with us and with our students. Further, Mr. Price and his wife, Christine, have shown their unwavering commitment to UNC Charlotte and their concern for our students through the naming of the Christine F. Price Center for Counseling and Psychological Services.

Chancellor Dubois then provided an overview of Ms. Schulte's service to the University. She has served on the Board since 2009, when she came on to fill an unexpired term and stayed for two more terms. Ms. Schulte served as Board Secretary, and she has given her time and talents to every single one of the Board's committees, including chairing Academic and Student Affairs, Athletics, and ACERM. Ms. Schulte has also been a strong supporter of University Athletics and served as chair of the very successful Let Me Play luncheon in 2013.

Chancellor Dubois then provided an overview of Mr. Jamil's service to the University. He has served on the Board since 2011. As a distinguished graduate of our William States Lee College of Engineering, Mr. Jamil continued Duke Energy's historic engagement with UNC Charlotte with his service on our Board of Trustees. Mr. Jamil has served on the Facilities and Executive committees and served on and chaired the Athletics and Academic and Student Affairs committees. Chancellor Dubois stated that Mr. Jamil has also brought much to the University at large. He served the UNC Charlotte Foundation Board and also served as chair of the Industry Advisory Board for EPIC, the Energy Production and Infrastructure Center, since its inception. Mr. Jamil has also brought his concern and advocacy for our students to life with the Jamil Niner Student Pantry, which has served nearly 725 students dealing with food insecurity in this academic year.

Introduction and Swearing-In of New Student Body President. Ms. Lavien introduced the new Student Government Association President, Chandler Crean. Mr. Price called on Ms. Clark, who officially swore in Mr. Crean as a member of the Board of Trustees.

Remarks from Board of Governors Liaison. Mr. Price thanked Mr. Byers for attending the meeting. Mr. Byers provided the Trustees with an update on the most recent Board of Governors meeting that took place at Appalachian State University and discussed the possibility of summer school funding. He stated that he has had conversations with other Board of Governors' members and that UNC Charlotte is well regarded for its steady growth and absence of significant issues.

Remarks by the Chancellor.

Chancellor Dubois provided an update on the Hotel and Conference Center stating that there was not much to report on the construction and he noted that Mr. Sorenson would be providing an update on the project's financing later in the meeting. He also reported that Mr. Sorenson and he will be traveling to Atlanta in late May for final design decisions and furnishing selections. Lastly, the contractors will actually build a model room that can be visited prior to the initiation of formal construction—and that room will be built at some site in Charlotte. If it turns out to be workable, he will invite the Trustees to visit the site when it is ready.

Chancellor Dubois next reported on the relocation of the two Early Colleges. He met with CMS Superintendent Clayton Wilcox and his Board chair and vice chair last week. They reported that they were able to authorize the Superintendent to hire a designer so that we can get a more precise understanding of the costs. The Chancellor expects the costs will be somewhere in the neighborhood of \$10 million, but the net cost to CMS will be around \$7 million since we have offered to buy the existing modular unit on the CRI campus for \$3 million. We can certainly use those classrooms for our own purposes, and by relocating both Charlotte Engineering Early College High School (CEEC) and Charlotte Teacher Early College (CTEC) we will recapture four classrooms in the Education Building and two classrooms on the CRI campus that have been needed. Chancellor Dubois then stated that a related and critical part of the project is to create another entrance and exit onto North Tryon Street that allows for school buses and parents to drop off and pick up students without conflicting with the light rail. The crossing gates stop all traffic and, as a result, we can see some pretty difficult gridlock. He reported that our staff have had discussions with both CDOT and NCDOT about creating right turn in and right turn out access points from and to North Tryon, located well to the north of the light rail stop. We hope to bring those discussions to a close reasonably soon. In the near term, this road will also double as the construction road for the hotel/conference center.

Chancellor Dubois then reminded the Trustees that in November, he mentioned some very preliminary conversations that we have been having with potential private sector partners to develop a second Center City facility that would be largely focused on the Belk College of Business and our Big Data Initiative. He reported that the project has been stalled due to a difference of opinion within the owners group of the land we want—which is directly across 9th street from our existing CCB—about whether the entire block should be kept and sold intact or whether it could be sold in parcels. Conversations are ongoing with the co-owners.

Lastly, Chancellor Dubois reported on a new partnership program with Central Piedmont Community College called 49er Next. Essentially, this will be a dual admission program that is highly structured to help students complete their two-year degrees from CPCC and then their bachelor's degree from UNC Charlotte. Both institutions will offer proactive advising to ensure that students don't make ill-advised course choices, as well as a coordinated set of support services such as career planning and financial aid. CPCC students will also enjoy access to some aspects of student life at UNC Charlotte, such as the ability to attend athletic events, ride the light rail, etc. There will be a public signing ceremony when all of the details of the agreement have been finalized.

Approval of Minutes. Mr. Price requested action on open and closed session minutes of the February 19, 2019 meetings of the Board of Trustees. The minutes were corrected to reflect that Mr. Young was in attendance and Ms. Drew and Mr. Klein were absent.

Action. On a motion by Mr. Bunker, seconded by Ms. Schulte, the Trustees approved the February 19, 2019 meeting minutes, as amended to reflect correct attendance.

Hotel and Conference Center (HCC) Update. Mr. Niles Sorenson, Vice Chancellor for University Advancement, provided an update on the project, including the ownership structure for the HCC during design and construction, and then during operation; the financing plan; the project's flow of funds; the summary of financing terms; and, the transition for HCC governance. The HCC will be built and owned by the Foundation and then ownership will be transferred to the Endowment Fund in March 2021, which will result in \$500 thousand in property tax savings. Discussion ensued about the HCC's risk potential and Mr. Sorenson outlined the process to test the viability of the project. Mr. Klein stated that the team has done a great job in planning the project and it is highly unlikely that construction problems will occur.

Legislative Update. Ms. Betty Doster, Special Assistant to the Chancellor for Constituent Relations, thanked the Trustees who were able to attend the recent legislative dinner in Raleigh. From all accounts, the dinner was a success, with 30 legislators in attendance. She reported that the Senate budget has been released and the House is working on its budget. Summer school has never been funded by the legislature so this state funding is really important, particularly for graduation and retention rates. Little attention has been given to faculty and staff raises; the focus is on capital plans. Ms. Doster stated that there are three capital plans that have been introduced; we are in favor of all of them. Ms. Doster then gave an overview of R&R funding.

Overview of Christine F. Price Counseling Center. Dr. David Spano, Associate Vice Chancellor for Student Affairs and Director of Counseling and Psychological Services, provided an overview of the work of the Christine F. Price Counseling Center. Dr. Spano first provided the history of counseling services at UNC Charlotte, beginning in 1967 when the Counseling Center was founded by Dr. Sam Simino. He discussed the current location of the Counseling Center, which opened in September 2017 and doubled the space that had been available in its former location. The staff of the Counseling Center includes 15.5 psychologists, 3 social workers, 1 postdoctoral fellow, 3 doctoral interns, 8 practicum students (psychology, counseling, and social work), 4 support staff, and 2 psychiatrists in the Student Health Center. Dr. Spano gave an overview of services offered to students, as well as utilization rates. The number of students seeking counseling has increased 118% over 10 years, 36% over 5 years, and 6.5% year-to-date over last year. Approximately 6,500 students used counseling services in the past 4 years, and approximately 5,000 students attended 180 outreach programs last year. Dr. Spano indicated that increased staffing has allowed the Counseling Center to meet the increased demand. The wait time for students requesting same day appointments is 1.82 days in 2018-2019 as compared to 3.89 days in 2012-2013.

Recognition of Jennifer Ward. Mr. Price recognized Jennifer Ward, outgoing Liaison to the Board, and thanked her for her service. He then presented her with a gift as a token of the Board's appreciation and wished her well in Hawaii.

Alcohol Sales. Mr. Mike Hill, Athletic Director, and Ms. Kelly Weatherman, Associate Executive Director for the Athletic Foundation, presented a proposal for a pilot program that will test a new system for alcohol sales at select athletic events. Mr. Hill provided the relevant history and timeline that led to the opening of Norm's Tavern in September 2017, as well as the details of this current alcohol sales model. Currently, the Athletic Foundation secures an ABC Special One-Time Permit for the sale of alcohol based on its 501(c)(3) status. The Foundation orders and purchases beer and wine and Chartwells acts as a third-party vendor to serve the beer and wine, as well as administer all points of sale. All alcohol sales and consumption are restricted to a contained area with significant controls. This model presents both pros and cons to the fan experience.

There is current legislation, NC House Bill 389, that proposes that schools and college campuses be permitted to sell beer and wine at stadiums, athletics facilities, and arenas located on the campus or property if the Board of Trustees approves such sales.

If NC House Bill 389 becomes law, Mr. Hill is recommending for approval the following alcohol sales pilot, which would be implemented with the beginning of the 2019 football season and will be in place for one year. Fans will be able to purchase beer and wine at four locations at the four corners of the stadium and be permitted to take the beverages back to their seats. A similar setup would be placed on the concourse of the Robert and Mariam Hayes Stadium for baseball, at the food court of Halton Arena for men's and women's basketball, and on the concourse of the Irwin Belk Track & Field Center for soccer. Athletics will work closely with Chartwells and stadium/house operations to ensure that only fans 21 years of age and older are served and that set-up is in compliance with building codes. Fan behavior and alcohol-related incidents will be closely monitored during the one-year pilot period to ensure that the overall fan experience is not diminished due to excessive drinking. Mr. Hill provided statistics on the experiences of other programs that allow alcohol sales, whereby the number of alcohol-related incidents decreased after alcohol sales were allowed.

Mr. Price presented the Board with two options for action: consider the recommendation now, subject to passage of NC House Bill 389; or authorize the Executive Committee to consider the recommendation once the legislation is passed. The Board chose the first option. Mr. Price called for action to approve the issuance of permits for the sale of alcoholic beverages in Jerry Richardson Stadium, Halton Arena, Robert and Mariam Hayes Stadium, and Belk Track and Field facility in accordance with North Carolina General Statute 18B-1006(a), pending passage of North Carolina House Bill 389.

Action. On a motion by Mr. Keeter and seconded by Mr. Young, the Board unanimously approved the issuance of permits for the sale of alcoholic beverages in Jerry Richardson Stadium, Halton Arena, Robert and Mariam Hayes Stadium, and Belk Track and Field facility in accordance with North Carolina General Statute 18B-1006(a), pending passage of North Carolina House Bill 389.

Report of the Executive Committee.

Mr. Price reported that Ms. Kim Bradley, Chief of Staff, presented a plan for onboarding new members of the Board of Trustees, which would include some time for intentional sessions with some members of the senior administration. The plan should help ensure that new Trustees are able to get up to speed quickly and have plenty of opportunities to ask questions and begin to understand how the University operates, who is responsible for what, and the extent of the Trustees' governance responsibilities. Several Trustees indicated that they would also like to participate in the sessions.

Report of the Officer Nominating Committee.

Mr. Young provided the Nominating Committee report on behalf of Ms. Rouse. Mr. Young indicated that the Nominating Committee will meet in May.

Report of the Academic and Student Affairs Committee.

Mr. Wilson reported that Dr. Mike Carlin, Vice Chancellor for Information Technology Services, provided the University's Annual State of Information Security Report, as required by Policy 1400.2 in the UNC Policy Manual. Mr. Wilson also reported that Chancellor's Professor Steven Rogelberg discussed his recently published book, "The Surprising Science of Meetings: How You Can Lead Your Team to Peak Performance." Dr. Rogelberg's book was recognized by the Washington Post as the #1 leadership book to watch in 2019. Mr. Wilson then reported that Dean Ellen McIntyre and Associate Dean Teresa Petty of the Cato College of Education shared the College's efforts in redesigning its teacher preparation programs.

Report of the Athletics Committee. Ms. DeVore reported that Mr. Darin Spease, Deputy Athletic Director, presented the Athletics Budget Annual Report, including the operational and operations/recruiting budgets. Ms. DeVore also stated that the Committee received an overview of the Sports Medicine program from AJ Lukjanczuk, Director of Sports Medicine and Head Football Athletic Trainer, who reviewed the policy and procedures of the training room with specific emphasis on the concussion protocol. Lastly, she reported that Mr. Hill reviewed the facility master plan and brand assessment currently underway. He also advised the Committee of upcoming events and the success of both the women's golf and tennis programs. Ms. DeVore noted that Kim Whitestone is retiring, thanking her for her 30 years of service to Charlotte Athletics and wishing her well in her new role.

Report of the Facilities and Physical Properties Committee. Ms. Drew presented a motion from the Committee to approve a capital improvement project, Cameron Applied Research Center-Second Floor Renovation Advanced Planning, with a budget of \$195,000.

Action. The Board unanimously approved the capital improvement project, Cameron Applied Research Center-Second Floor Renovation Advanced Planning, with a budget of \$195,000.

Ms. Drew presented a motion from the Committee to approve Capital Project Design Selections-Open-Ended Professional Services Agreements for FY 20.

Action. The Board unanimously approved the Capital Project Design Selections-Open-Ended Professional Services Agreements for FY 20.

Ms. Drew presented a motion from the Committee to approve the proposed changes in the ordinances and rates governing parking, traffic, and the registration of motor vehicles for the University.

Action. The Board unanimously approved the proposed changes in the ordinances and rates governing parking, traffic, and the registration of motor vehicles for the University.

Ms. Drew presented a motion from the Committee to authorize the University to lease 1,000 square feet of research space in the David H. Murdock Core Laboratory building at North Carolina Research Campus in Kannapolis, North Carolina, at a cost of \$95,688.75 for a thirty (30) month term.

Action. The Board unanimously authorized the University to lease 1,000 square feet of research space in the David H. Murdock Core Laboratory building at north Carolina Research Campus in Kannapolis, North Carolina, at a cost of \$95,688.75 for a thirty (30) month term.

Ms. Drew also reported that the Committee had reviewed the Capital Projects Report.

Report of the Audit, Compliance, and Enterprise Risk Management Committee. Ms. Schulte reported that the Office of Federal Contract Compliance Programs has completed its two-year audit and that the University has received a clean audit report with no findings. She also shared that Provost Lorden reported that the U.S. Department of Education has granted a two-year provisional approval, rather than the standard five-year approval, for our eligibility to participate in federal financial aid programs. The provisional approval is the result of the University not meeting an administrative requirement to report certain new graduate certificate programs before awarding aid to enrolled students. As a remediation measure, the Office of Accreditation and Assessment will assume responsibility for reporting and obtaining approval for new programs developed after February 28, 2019. Ms. Schulte also shared that Mr. Jesh Humphrey, Vice Chancellor for Institutional Integrity and General Counsel, reported that the affiliated entities IRS form 990 will no longer be a line item on the ACERM Committee agenda, as the Board separately reviewed these forms pursuant to University policy. Ms. Schulte reported that the Committee also reviewed the Internal Audit plan, summarized the Internal Audit reports issued since the last Committee meeting, and reviewed the Internal Audit Findings Exception Report. Ms. Jennifer Walker, Chief Audit Officer, presented the Internal Audit Plan for FY20 and it was approved by the Committee. Ms. Schulte also reported that Ms. Susan Burgess, Chief Compliance Officer, provided the compliance update and Mr. Humphrey introduced Mr. Steven Dunham as the new Chief Risk Officer.

Report of the University Faculty Council. Mr. Price stated that this is Dr. Richard Leeman's last meeting as Faculty Chair and acknowledged that he has represented the faculty with excellence and has been a great partner in the expansion of shared governance at the University. Dr. Leeman then presented the report of the Faculty Council as included in the Trustees' boardbook.

Report of the University Staff Council. Ms. Corpening was not present so Mr. Price referred the Trustees to the written report that is included in the boardbook.

Report of the Student Government Association. Mr. Crean introduced himself and gave an overview of his Cabinet and his administration's priorities and goals for the 2019-2020 academic year.

Motion to Convene in Closed Session. Mr. Price called for a motion for the Board to convene in closed session.

Action. On a motion by Mr. Young, seconded by Mr. Bunker, the Board convened in closed session pursuant to the following General Statutes of the State of North Carolina:

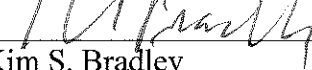
- a. *N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7; and*
- b. *N.C.G.S. §143-318.11(a)(3), to consult with and receive advice from counsel.*


#### **Closed Session**

*Closed session minutes are filed in the office of the assistant secretary to the Board of Trustees.*

#### **Open Session**

Adjournment. There being no additional items, Mr. Price adjourned the meeting.

Submitted:  10/18/19  
Kim S. Bradley Date  
Assistant Secretary to the Board  
UNC Charlotte Board of Trustees

Approved:  10.02.19  
Michael L. Wilson Date  
Chair  
UNC Charlotte Board of Trustees